

# Legislative Auditor Annual Performance Evaluation Workplan

Draft for Executive Subcommittee Consideration

## Scope

The Legislative Audit Commission (LAC) has adopted a Legislative Auditor Performance Evaluation Policy. The Legislative Coordinating Commission (LCC) is available to assist with the logistical aspects of the annual performance evaluation for Judy Randall, Legislative Auditor. The performance period covered by the evaluation is November 8, 2023, through November 8, 2024. This reflects the third year of the six-year appointment term.

## Evaluation Process and Components

1. **Self-assessment:** Completed independently by Judy Randall.
2. **Staff, stakeholder, and member input:** Conducted via interview by designated LAC members.
3. **Final evaluation:** In-person meeting with Judy Randall and the chair and vice-chair of the LAC.

## Timeline: Third Year Review

Task	Responsible	Timeline
1. Draft workplan developed	Michelle Yurich	August 1
2. Executive subcommittee meeting to approve workplan and timeline	Subcommittee members	August 12
3. Canvass LAC members to conduct staff, stakeholder, and member interviews	Senator Koran Representative Hansen	By August 30
4. Conduct staff, stakeholder, and member interviews	TBD	By September 30
5. Provide verbal summary of staff, stakeholder, and member interviews to chair/vice-chair	TBD	By October 25
6. Self-assessment provided to chair and vice-chair	Judy Randall	October 31
7. Performance review of deputy auditor(s)	Judy Randall	By October 31
8. Complete final review and discuss with Judy Randall	Senator Koran Representative Hansen	On or after November 8
9. Develop summary materials and report for distribution to LAC members	Michelle Yurich, in consultation with chair and vice-chair	By November 30
10. If requested, present public information to full LAC	Senator Koran Representative Hansen	TBD, if applicable

## Templates

1. **Self-assessment:** This will be developed by Judy Randall and consistent with the format used during the first and second-year self-assessment.

2. **Staff, stakeholder, and member input:** Sample interview questions will be developed by Michelle Yurich with input from Alexis Stangl, S Senate Counsel, Research, and Fiscal Analysis (SCRFA), and Matt Gehring, Director, House Research Department (HRD), for use by the designated LAC members.
3. **Final review form:** A template will be developed by Michelle Yurich with input from Alexis Stangl and Matt Gehring for use by the chair and vice-chair. This will serve as the official performance evaluation document.
4. **Guidelines for confidentiality and records retention:** A statement will be included on all the templates used during the evaluation process to protect the privacy of information collected.

### Role of the LCC

1. Provide overall coordination and logistical support by:
  - a. Developing templates
  - b. Designing questions or the format for staff, stakeholder, and member input
2. Assist in developing materials for the chair and vice-chair to report to the members of the LAC.
3. Assist in the development of information to be included at a public LAC meeting, if a meeting is scheduled.
4. Maintain documentation from the evaluation process.
5. The LCC director will not be involved in the review of the self-assessment, participate in interviews, or participate in the meeting with Judy Randall and the chair and vice-chair where performance is discussed.

### Performance Concerns

Members gathering information during the interview process and conducting the performance appraisal are advised to consult with Alexis Stangl, Director, SCRFA; Matt Gehring, Director, HRD, or Michelle Yurich, Executive Director, LCC, in the event information is made available that is sensitive in nature or could require additional action.

### Mid-Appointment Evaluation

The executive subcommittee is required to approve a workplan and independent evaluation of the legislative auditor for the performance evaluation scheduled to occur after the third year of the appointment. This evaluation must be conducted by an entity that is not part of the legislative, judicial, or executive branch. A copy of the evaluation will be provided to each member of the LAC. The evaluation is confidential and may not be released to the public.

### Timeline: Fourth Year Review

Task	Responsible	Timeline
1. Request for proposal developed and published	Michelle Yurich	November 15
2. Vendor Selected	Michelle Yurich, in consultation with Alexis Stangl, and Matt Gehring	November 29
3. Notification to Executive subcommittee on selected vendor, timeline, and approach to review	Michelle Yurich	December 6