European Parliament





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*****I** REPORT

on the proposal for a regulation of the European Parliament and of the Council on the European Institute of Innovation and Technology (recast) (COM(2019)0331 – C9-0042/2019 – 2019/0151(COD))

Committee on Industry, Research and Energy

Rapporteur: Marisa Matias

(Recast - Rule 110 of the Rules of Procedure)

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Symbols for procedures

- * Consultation procedure
- *** Consent procedure
- ***I Ordinary legislative procedure (first reading)
- ***II Ordinary legislative procedure (second reading)
- ***III Ordinary legislative procedure (third reading)

(The type of procedure depends on the legal basis proposed by the draft act.)

Amendments to a draft act

Amendments by Parliament set out in two columns

Deletions are indicated in *bold italics* in the left-hand column. Replacements are indicated in *bold italics* in both columns. New text is indicated in *bold italics* in the right-hand column.

The first and second lines of the header of each amendment identify the relevant part of the draft act under consideration. If an amendment pertains to an existing act that the draft act is seeking to amend, the amendment heading includes a third line identifying the existing act and a fourth line identifying the provision in that act that Parliament wishes to amend.

Amendments by Parliament in the form of a consolidated text

New text is highlighted in **bold italics**. Deletions are indicated using either the symbol or strikeout. Replacements are indicated by highlighting the new text in **bold italics** and by deleting or striking out the text that has been replaced.

By way of exception, purely technical changes made by the drafting departments in preparing the final text are not highlighted.

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DRAFT EUROPEAN PARLIAMENT LEGISLATIVE RESOLUTION

on the proposal for a regulation of the European Parliament and of the Council on the European Institute of Innovation and Technology (recast) (COM(2019)0331 – C9-0042/2019 – 2019/0151(COD))

(Ordinary legislative procedure – recast)

The European Parliament,

- having regard to the Commission proposal to Parliament and the Council (COM(2019)0331),
- having regard to Article 294(2) and Article 173(3) of the Treaty on the Functioning of the European Union, pursuant to which the Commission submitted the proposal to Parliament (C9-0042/2019),
- having regard to the opinion of the Committee on Legal Affairs on the proposed legal basis,
- having regard to Article 294(3) of the Treaty on the Functioning of the European Union,
- having regard to the reasoned opinion submitted, within the framework of Protocol No
 2 on the application of the principles of subsidiarity and proportionality, by the
 Romanian Chamber of Deputies, asserting that the draft legislative act does not comply with the principle of subsidiarity,
- having regard to the Interinstitutional Agreement of 28 November 2001 on a more structured use of the recasting technique for legal acts¹,
- having regard to the letter of 10 January 2020 sent by the Committee on Legal Affairs to the Committee on Industry, Research and Energy in accordance with Rule 110(3) of its Rules of Procedure,
- having regard to Rules 110, 59 and 40 of its Rules of Procedure,
- having regard to the opinion of the Committee on Culture and Education,
- having regard to the report of the Committee on Industry, Research and Energy (A9-0120/2020),
- A. whereas, according to the Consultative Working Party of the legal services of the European Parliament, the Council and the Commission, the Commission proposal does not include any substantive amendments other than those identified as such in the proposal and whereas, as regards the codification of the unchanged provisions of the earlier acts together with those amendments, the proposal contains a straightforward

¹ OJ C 77, 28.3.2002, p. 1.

codification of the existing texts, without any change in their substance;

- 1. Adopts its position at first reading hereinafter set out, taking into account the recommendations of the Consultative Working Party of the legal services of the European Parliament, the Council and the Commission;
- 2. Calls on the Commission to refer the matter to Parliament again if it replaces, substantially amends or intends to substantially amend its proposal;
- 3. Instructs its President to forward its position to the Council, the Commission and the national parliaments.

Amendment 1

Proposal for a regulation Recital 2

Text proposed by the Commission

(2) Member States are primarily responsible for sustaining a strong European industrial, competitive and innovative base. However, the nature and scale of the innovation challenge *in the Union* also require action to be taken at Union level.

Amendment

(2) Member States are primarily responsible for sustaining a strong European industrial, competitive and innovative base. However, the nature and scale of the innovation challenge also require action to be taken at Union level.

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or because the amendments are inextricably linked to other admissible amendments.

Amendment 2

Proposal for a regulation Recital 4

Text proposed by the Commission

(4) The EIT, primarily through its Knowledge and Innovation Communities ('KICs'), should aim at strengthening innovation ecosystems that tackle global challenges. It should primarily have the objective of contributing to the development of the Union's and the

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Amendment

(4) The EIT, primarily through its Knowledge and Innovation Communities ('KICs'), *has the mission of contributing to sustainable Union economic* development and *competitiveness by reinforcing* the innovation capacity *of the Member States and the Union. It also* Member States' innovation capacity at the highest standards. In order to achieve this objective, the EIT should facilitate and enhance networking and cooperation and create synergies between different innovation communities in Europe.

aims to deliver on the Union strategic priorities and contribute to the realisation of EU objectives and policies, including the European Green Deal, the European Recovery Plan, the European data, digital, SME and Industrial strategies and achieving Europe's strategic autonomy. Furthermore, it should contribute to tackling global challenges, including the **United Nations Sustainable Development** Goals (SDGs) by following the principles of the Agenda 2030 and the Paris Agreement, and achieve a transition to a net-zero greenhouse gas (GHG) economy by 2050 at the latest. This transition will only be possible through a boost in research and innovation, which underscores the need to reinforce favourable conditions and investments in enhancing Europe's knowledge base and research and innovation capacity, in particular in green climate-friendly technologies and innovations.

Amendment 3

Proposal for a regulation Recital 4 a (new)

Text proposed by the Commission

Amendment

(4a) The EIT should facilitate and enhance networking and cooperation and create synergies between different innovation communities in Europe, ensuring a wide geographical coverage with the aim of bridging regional disparities and preventing innovation divide, while keeping excellence its prior criterion.

Amendment 4

Proposal for a regulation Recital 5

Text proposed by the Commission

(5) The strategic priority fields and financial needs for the EIT for a period of seven years, covering the respective **Multiannual Financial Framework** ('MFF'), should be laid down in a Strategic Innovation Agenda ('SIA'). The SIA should ensure alignment with the **Union** framework programme supporting research and innovation and foster synergies with other relevant Union programmes of the MFF as well as with other Union initiatives, policies and instruments, in particular with those supporting education and regional *development*. Given the importance of the SIA for the Union innovation policy and the resulting political significance of its socio-economic impact for the Union, the SIA should be adopted by the European Parliament and the Council on the basis of a Commission proposal based on a contribution provided by the EIT.

Amendment

(5)The strategic priority fields and financial needs for the EIT for a period of seven years, including short-term actions aimed at tackling the COVID-19 crisis and recovery should be laid down in a Strategic Innovation Agenda ('SIA'). The SIA should ensure alignment with Horizon *Europe* - the Framework Programme *for* Research and Innovation (Horizon *Europe*), *namely strategic R&I plans*, and foster synergies with other relevant Union programmes as well as with other Union initiatives, policies and instruments. Given the importance of the SIA for the Union innovation policy and the resulting political significance of its socio-economic impact, the SIA should be adopted by the European Parliament and the Council on the basis of a Commission proposal based on a contribution provided by the EIT. Those contributions should also be made available to the European Parliament.

Amendment 5

Proposal for a regulation Recital 5 a (new)

Text proposed by the Commission

Amendment

(5a)The crisis resulting from the outbreak of COVID-19 has caused a massive and disruptive impact on Member States' health and economic systems, causing societal disruptions. Those impacts will require the collaboration of all Union institutions, bodies, offices and agencies and the EIT should contribute to the innovation efforts that are needed to provide a coherent response to the crisis. Moreover, the EIT and its KICs should reinforce its actions in order to support and increase the resilience of microenterprises, small and medium-sized enterprises (SMEs), start-ups as well as

students, researchers, entrepreneurs and employees.

Amendment 6

Proposal for a regulation Recital 5 b (new)

Text proposed by the Commission

Amendment

(5b) The economic shock linked to the COVID-19 crisis has a major impact on universities, researchers, companies and other EIT stakeholders. It is important to identify and tackle related challenges, in particular access to finance, in order to safeguard the knowledge triangle and rebuild the trust and confidence among all stakeholders. In the medium-term, all KICs should adapt to the impact of the shock and re-orient their focus and activities, therefore they need to be agile and flexible in order to seek new opportunities.

Amendment 7

Proposal for a regulation Recital 5 c (new)

Text proposed by the Commission

Amendment

(5c) Ongoing research into tackling the effects of the COVID-19 crisis should be accelerated. The EIT should boost the impact of that research and help develop products and innovative services arising from that research, ensuring that they reach as many people as possible.

Amendment 8

Proposal for a regulation Recital 5 d (new)

(5d) The EIT Governing Board and the KICs should adopt flexibility measures and actions necessary to provide the appropriate support to their ecosystem based on the knowledge triangle, creating, where necessary, ad hoc support measures for their partners and beneficiaries and even beyond their communities. They should provide their partners, beneficiaries and students with tools and instruments to adapt to more decentralised and remote working methods, less travel, more uncertainty and continued social distancing.

Amendment 9

Proposal for a regulation Recital 6

Text proposed by the Commission

(6) In line with *the Union framework programme supporting research and innovation*, the activities of the EIT should address strategic long-term challenges for innovation in Europe, particularly in trans-and/or inter-disciplinary areas including *those already identified at European level*. In so doing, the EIT should promote periodic dialogue with civil society.

Amendment

(6) In line with *Horizon Europe*, the activities of the EIT should address strategic long-term challenges for innovation in Europe, particularly in transand/or inter-disciplinary areas including *the development of innovative non-technological solutions as a necessary complement to technology focused innovation activities*. In so doing, the EIT should promote periodic dialogue with civil society *and with research and innovation centres, SMEs, higher education and industry*.

Amendment 10

Proposal for a regulation Recital 7

Text proposed by the Commission

(7) The EIT should give priority to the transfer of its higher education, research and innovation activities to *the* business context and their commercial application, as well as *to supporting* the creation of start-ups, spin-offs and *small and medium-sized enterprises* (SMEs).

Amendment

(7) The EIT should give priority to the transfer of its higher education, research and innovation activities and entrepreneurial activities to a business context and to their commercial application. In doing so, it should support the innovation and entrepreneurial capacity of higher education, promote excellence in research and boost, as well as foster, the creation of start-ups, spin-offs and SMEs.

Amendment 11

Proposal for a regulation Recital 7 a (new)

Text proposed by the Commission

Amendment

(7a) The EIT should seek to engage more closely with SMEs to ensure their active participation in the activities of the KICs. Furthermore, public companies and local authorities, as well as non-profit organisations, should be involved in the KICs, where appropriate.

Amendment 12

Proposal for a regulation Recital 7 b (new)

Text proposed by the Commission

Amendment

(7b) Social-enterprises that are very innovative in nature through the goods or services they offer, their organisation or the production methods they employ, the promotion of social entrepreneurship activities should be part of the activities of the EIT and the KICs.

Proposal for a regulation Recital 8

Text proposed by the Commission

(8) The EIT should primarily operate through excellence-driven and autonomous large-scale European Partnerships of higher education institutions, research organisations, companies and other stakeholders in the form of sustainable and long-term self-supporting strategic networks in the innovation process. Those partnerships should be selected by the Governing Board of the EIT on the basis of a transparent and excellence-based process , in accordance with the criteria of the Union framework programme supporting research and innovation for the selection of European Partnerships, and designated as KICs.

Amendment

(8) The EIT should primarily operate through excellence-driven and autonomous institutionalised European Partnerships of higher education institutions, research organisations, companies and other stakeholders in the form of sustainable and long-term self-supporting strategic networks in the innovation process. Those partnerships should aim to become financially sustainable by mobilising funds from other public and private sources and to attract and engage the widest possible range of new partners. They should be selected by the Governing Board of the EIT on the basis of a transparent and excellence-based process, in accordance with this Regulation and Horizon Europe for the selection of European Partnerships, and designated as KICs.

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or because the amendments are inextricably linked to other admissible amendments.

Amendment 14

Proposal for a regulation Recital 8 a (new)

Text proposed by the Commission

Amendment

(8a) The selection and launch of new KICs should be based on an open, transparent and competitive process that takes into account the Strategic Planning of Horizon Europe, the mid-term review of the EIT and the KICs, the pace of recovery of the Union in the COVID 19

pandemic aftermath and the budget allocated to the EIT for the respective budgetary period. In the process and running of the KICs, the EIT should take into account the fact that not all KICS have the same financial needs, with some being more capital-intensive than others.

Amendment 15

Proposal for a regulation Recital 9

Text proposed by the Commission

(9) Taking into account the specificity of KICs, it is necessary to provide for special minimum conditions to form a KIC, *derogating* from the rules for participation and dissemination of *the Union framework programme supporting research and innovation*. Similarly, specific rules on ownership, access rights, exploitation and dissemination may be necessary for KIC added-value activities.

Amendment 16

Proposal for a regulation Recital 10

Text proposed by the Commission

(10) The Governing Board should also steer the activities of the EIT and coordinate the reporting, monitoring and evaluation of the activities of the KICs in line with the provisions of *the Union framework programme supporting research and innovation*. Membership of the Governing Board should balance experience from *the* business *and the* higher education *and/or* research *worlds* as well as from the innovation sector.

Amendment

(9) Taking into account the specificity of KICs, it is necessary to provide for special minimum conditions to form a KIC. *Those conditions may, on an exceptional basis derogate* from the rules for participation and dissemination of *Horizon Europe*. Similarly, specific rules on ownership, access rights, exploitation and dissemination may be necessary for KIC added-value activities.

Amendment

(10) The Governing Board should also steer the activities of the EIT and coordinate the reporting, monitoring and evaluation of the activities of the KICs in line with the provisions of *Horizon Europe. The EIT Governing Board should be able to make recommendations on how to reduce the administrative burden on KICs.* Membership of the Governing Board should balance experience from business, higher education *and* research as well as from the innovation sector. *With excellence as a guiding principle, the EIT Governing Board*

should also ensure geographical and gender balance in its composition.

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or because the amendments are inextricably linked to other admissible amendments.

Amendment 17

Proposal for a regulation Recital 11

Text proposed by the Commission

(11) In order to contribute to the competitiveness and to reinforce the international attractiveness of the European economy and its innovation capacity, the EIT and the KICs should be able to attract partner organisations, researchers and students from all over the world, including by encouraging their mobility, as well as to cooperate with third-country organisations.

Amendment

(11) In order to contribute to the competitiveness and to reinforce the international attractiveness of the European economy and its innovation *and entrepreneurial* capacity, the EIT and the KICs should be able to attract partner organisations, researchers and students from all over the world, *including from the Union's outermost regions,* including by encouraging their mobility, as well as to cooperate with third-country organisations.

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or because the amendments are inextricably linked to other admissible amendments.

Amendment 18

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Proposal for a regulation Recital 12

Text proposed by the Commission

(12) Relations between the EIT and the KICs should be based on framework partnership agreements and grant agreements, which will set out the KICs' rights and obligations, ensure an adequate level of coordination and outline the

Amendment

(12) Relations between the EIT and the KICs should be based on framework partnership agreements and *multiannual* grant agreements, which will set out the KICs' rights and obligations, ensure an adequate level of coordination and outline

mechanism for monitoring and evaluating KICs' activities and outcomes. The framework partnership agreement should ensure continuity of the EIT financial contribution to a KIC beyond the respective MFF. By way of derogation from Regulation (EU, Euratom) 2018/1046 of the European Parliament and of the Council¹² (the 'Financial Regulation'), the EIT should be able to establish such framework partnership agreement for an initial period of seven years *and* extend *it beyond that period* for *another* period *of* a maximum of seven years.

Amendment 19

Proposal for a regulation Recital 12 a (new)

the mechanism for monitoring and evaluating KICs' activities and outcomes. The framework partnership agreement should ensure continuity of the EIT financial contribution to a KIC beyond the respective MFF. By way of derogation from Regulation (EU, Euratom) 2018/1046 of the European Parliament and of the Council¹² (the 'Financial Regulation'), the EIT should be able to establish such framework partnership agreement for an initial period of seven years. Subject to a positive evaluation on the progress of the KIC with regard to the achievement of its objectives and milestones, to be carried out by year 4 of the first period, the EIT should be able to extend that framework partnership agreement for a further period up to a maximum of seven years, on the basis of a further evaluation with a positive outcome. In the event of an extension of the initial seven-year agreement, the KIC shall be subject to another mid-term review based on the same criteria and methodology in order to decide on the further continuation of the KIC.

¹² Regulation (EU, Euratom) 2018/1046 of the European Parliament and of the Council of 18 July 2018 on the financial rules applicable to the general budget of the Union, amending Regulations (EU) No 1296/2013, (EU) No 1301/2013, (EU) No 1303/2013, (EU) No 1304/2013, (EU) No 1309/2013, (EU) No 1316/2013, (EU) No 223/2014, (EU) No 283/2014, and Decision No 541/2014/EU and repealing Regulation (EU, Euratom) No 966/2012 (OJ L 193, 30.7.2018, p. 1).

¹² Regulation (EU, Euratom) 2018/1046 of the European Parliament and of the Council of 18 July 2018 on the financial rules applicable to the general budget of the Union, amending Regulations (EU) No 1296/2013, (EU) No 1301/2013, (EU) No 1303/2013, (EU) No 1304/2013, (EU) No 1309/2013, (EU) No 1316/2013, (EU) No 223/2014, (EU) No 283/2014, and Decision No 541/2014/EU and repealing Regulation (EU, Euratom) No 966/2012 (OJ L 193, 30.7.2018, p. 1).

(12a) Subject to the outcome of a final review before the expiry of the fourteenth year of the framework partnership agreement, the EIT Governing Board, in exceptional circumstances, where duly substantiated, may decide to extend the partnership agreement with a KIC from the first wave of KICs. Such extension should be subject to a positive in-depth evaluation by independent external experts of the KICs' effectiveness, provided that they have achieved a sufficient level of impact and financial sustainability. The extension should be limited as regards time, budget and scope of the activities of the KIC that are not so close to the market and thus cannot yet be financially sustainable, but remain crucial for the fulfilment of its tasks, activities and capabilities in responding to pressing societal challenges.

Amendment 20

Proposal for a regulation Recital 13

Text proposed by the Commission

(13)There is a need to support higher education as an integral, but often missing, component of a comprehensive innovation strategy. The framework partnership agreements or grant agreements between the EIT and KICs should provide that the degrees and diplomas awarded through the KICs should be awarded by participating higher education institutions, which should be encouraged to label them also as EIT degrees and diplomas. In addition, the EIT should strengthen and widen the scope of the EIT-labelled degrees and diplomas in order to increase their recognition outside the EIT Community. Through its activities and work, the EIT should help promote

Amendment

(13)There is a need to support higher education as an integral, but often missing, component of a comprehensive innovation strategy. The framework partnership agreements or grant agreements between the EIT and KICs should provide that the degrees and diplomas awarded through the KICs should be awarded by participating higher education institutions, which should be encouraged to label them also as EIT degrees and diplomas. In addition, the EIT should strengthen and widen the scope of the EIT-labelled degrees and diplomas to lifelong learning activities, vocational training, skilling, re-skilling and upskilling programmes in order to increase

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mobility for students, researchers and staff . All those activities should be carried out without prejudice to Directive 2005/36/EC of the European Parliament and of the Council¹³.

their recognition *and* outside the EIT Community. Through its activities and work, the EIT should help promote mobility for students, researchers and staff, *as well as provide opportunities for lifelong learning, mentoring and coaching*. All those activities should be carried out without prejudice to Directive 2005/36/EC of the European Parliament and of the Council¹³.

¹³ Directive 2005/36/EC of the European Parliament and of the Council of 7
September 2005 on the recognition of professional qualifications (OJ L 255, 30.9.2005, p. 22). Directive as last amended by Commission Regulation (EC) No 1430/2007 (OJ L 320, 6.12.2007, p. 3). ¹³ Directive 2005/36/EC of the European Parliament and of the Council of 7
September 2005 on the recognition of professional qualifications (OJ L 255, 30.9.2005, p. 22). Directive as last amended by Commission Regulation (EC) No 1430/2007 (OJ L 320, 6.12.2007, p. 3).

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or because the amendments are inextricably linked to other admissible amendments.

Amendment 21

Proposal for a regulation Recital 14

Text proposed by the Commission

(14) Appropriate provisions should be made to guarantee the liability *and* transparency of the EIT. Appropriate rules regulating its governance and functioning should be laid down in the Statutes of the EIT.

Amendment 22

Proposal for a regulation Recital 16

Amendment

(14) Appropriate provisions should be made to guarantee the liability, transparency *and openness* of the EIT. Appropriate rules regulating its governance and functioning should be laid down in the Statutes of the EIT.

Text proposed by the Commission

(16) It is expected that industry, the finance and service sectors will contribute significantly to the budget of the KICs. The KICs should aim at maximising the share of contributions from the private sector and achieving financial sustainability. The KICs and their partner organisations should publicise the fact that their activities are undertaken in the context of the EIT and that they receive a financial contribution from the general budget of the Union.

Amendment

It is expected that *the COVID-19* (16)crisis will have an impact on the financial contributions from industry, the finance and service sectors will contribute significantly to the budget of the KICs. Nevertheless, the KICs should aim at maximising the share of contributions from the private sector and *actively work from* early on towards achieving financial sustainability. The KICs and their partner organisations should publicise the fact that their activities are undertaken in the context of the EIT and that they receive a financial contribution from the general budget of the Union. Furthermore, transparency of the funding should be increased by providing publicly available information on which projects are funded and on the allocation of the funding.

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or because the amendments are inextricably linked to other admissible amendments.

Amendment 23

Proposal for a regulation Recital 17 a (new)

Text proposed by the Commission

Amendment

(17a) The EIT should ensure a balanced distribution of the budget for the duration of the period and a smooth transition from the current period to the next MFF period, in particular for the ongoing activities.

Amendment 24

Proposal for a regulation Recital 19

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Text proposed by the Commission

(19) The EIT should produce a consolidated annual activity report, outlining the activities conducted and results of the operations in the preceding calendar year . The EIT should also produce a single programming document, outlining its planned initiatives in terms of annual and multiannual programming and allowing the EIT to respond to internal and external developments in the fields of science, technology, higher education, innovation and other relevant areas.

Amendment

(19)The EIT should produce a consolidated annual activity report, outlining the activities conducted and results of the operations in the preceding calendar year . The EIT should also produce a single programming document, outlining its planned initiatives in terms of annual and multiannual programming and allowing the EIT to respond to internal and external developments in the fields of science, technology, higher education, research and innovation and other relevant areas. That single programming document should address the aspects of the two-year crisis response programme. Those documents should be transmitted to the European Parliament, the Council and the Commission for information purposes.

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or because the amendments are inextricably linked to other admissible amendments.

Amendment 25

Proposal for a regulation Recital 20

Text proposed by the Commission

(20) It is appropriate that the Commission initiate independent, external evaluations of the operation of the EIT, including the KICs, in particular with a view to preparing the SIA. Those evaluations should examine how the EIT fulfils its mission, cover all activities of the EIT and KICs and should assess their relevance, effectiveness, efficiency, European added value, and coherence. Those evaluations should feed into the Commission programme evaluations provided for in *the Union framework*

Amendment

(20) It is appropriate that the Commission initiate independent, external evaluations of the operation of the EIT, including the KICs, in particular with a view to preparing the SIA. Those evaluations should examine how the EIT fulfils its mission, cover all activities of the EIT and KICs and should assess their relevance, effectiveness, efficiency, European added value, *openness, outreach activities, communication, visibility, dissemination of results, impact* and coherence. Those evaluations should feed programme supporting research and innovation.

into the Commission programme evaluations provided for in *Horizon Europe*.

Amendment 26

Proposal for a regulation Recital 20 a (new)

Text proposed by the Commission

Amendment

(20a) EIT KICs are organised around co-location centres and innovation hubs, but they often use different terms to name them (hubs, nodes, offices, etc.). EIT should make best efforts to streamline the terminology related to the structure of each KIC, with the purpose of further simplifying, clarifying and enhancing recognisability of the EIT.

Amendment 27

Proposal for a regulation Recital 20 b (new)

Text proposed by the Commission

Amendment

(20b) The EIT, primarily through its KICs and the EIT Regional Innovation Scheme should aim to strengthen innovation ecosystems for the development of an overall Union capacity for innovation that tackles global challenges, by fostering the integration of business, research, higher education and entrepreneurship.

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or because the amendments are inextricably linked to other admissible amendments.

Amendment 28

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Text proposed by the Commission

Amendment

(20c) The KICs, acting as an innovation facilitator through their co-location centres and innovation hubs, should consider the smart specialisation strategy priorities of Member States and thus enhance their innovation capacity by fully reflecting regional capacities and potential, opportunities, weaknesses, as well as local actors and their activities and markets.

Amendment 29

Proposal for a regulation Recital 20 d (new)

Text proposed by the Commission

Amendment

(20d) Synergies of the EIT, through its KICs, with Cohesion Policy Funds should be strengthened in order to promote regional and cross-regional cooperation between the knowledge triangle actors and managing authorities, as well as to increase the overall impact and visibility of the EIT.

Amendment 30

Proposal for a regulation Recital 20 e (new)

Text proposed by the Commission

Amendment

(20e) It is essential to promote strong synergies between the EIT and the European Innovation Council (EIC). KICs should stimulate the creation of innovative companies in close synergy with the EIC, while avoiding duplication, and EIT beneficiaries should be able to

apply to the EIC instruments for support additional to the services provided by the EIT KICs; Start-ups with a high growth potential supported by KICs may have more direct access to EIC actions in accordance with the relevant provisions of Horizon Europe in order to help them scale-up rapidly.

Amendment 31

Proposal for a regulation Recital 20 f (new)

Text proposed by the Commission

Amendment

(20f) The EIT should foster innovation in its activities and should support the integration of higher education in the innovation ecosystem, in particular by stimulating entrepreneurial education, fostering trans-disciplinary collaboration between industry and higher education and research and by identifying prospective skills for future innovators to address global challenges, which includes advanced digital, sustainability and innovation skills. Support schemes provided by the EIT should benefit EIC beneficiaries, while start-ups emerging from KICs should have fast-track access to EIC actions.

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or because the amendments are inextricably linked to other admissible amendments.

Amendment 32

Proposal for a regulation Recital 20 g (new)

Text proposed by the Commission

Amendment

(20g) With the aim of avoiding silos and

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the duplication of efforts and strategies, the EIT and EIC should envisage reciprocal and systematic exchanges of information, as well as having a common member in each of their Governing Boards.

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or because the amendments are inextricably linked to other admissible amendments.

Amendment 33

Proposal for a regulation Recital 20 h (new)

Text proposed by the Commission

Amendment

(20h) Within the scope of Horizon Europe and in line with its strategic planning, the EIT should be able to decide to present new KICs in priority fields that contribute to addressing new and emerging global challenges. The first such KIC should relate to Cultural and Creative Sectors and Industries (CCSI), while the second should relate to the Water, Marine and Maritime Sectors and Ecosystems.

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or because the amendments are inextricably linked to other admissible amendments.

Amendment 34

Proposal for a regulation Recital 20 i (new)

Text proposed by the Commission

Amendment

(20i) The EIT should be able to decide to present new KICs in priority fields, in

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line with Horizon Europe and with its strategic planning, that contribute to addressing new and emerging global and societal challenges. The first such KIC should relate to CCSI, to be launched in 2022, with the possibility of postponing the launch until 2023 in the event that the EIT Governing Board considers that further consultation of stakeholders is necessary before launching the call. The second such KIC should be on Water, Marine and Maritime Sectors and Ecosystems to be launched in 2025, after a positive impact assessment to be carried out by 2023.

Amendment 35

Proposal for a regulation Article 2 – paragraph 1 – point 1

Text proposed by the Commission

(1) 'innovation' means the process, including its outcome, by which new ideas respond to societal *or* economic needs and demand and generate new products, services or business *and* organisational models that are successfully introduced into an existing market or that are able to create new markets and that provide value to society;

Amendment

(1) 'innovation' means the process, including its outcome, by which new ideas respond to societal, economic *or environmental* needs and demand and generate new products, services or business, organisational *and social* models that are successfully introduced into an existing market or that are able to create new markets and that provide value to society;

Amendment 36

Proposal for a regulation Article 2 – paragraph 1 – point 2

Text proposed by the Commission

(2) 'Knowledge and Innovation Community' (KIC) means an autonomous *large-scale* European partnership of higher education institutions, research organisations, companies and other stakeholders in the innovation process in

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Amendment

(2) 'Knowledge and Innovation Community' *or* 'KIC' means an autonomous *institutionalised* European partnership *as defined in Horizon Europe* of higher education institutions, research organisations, companies and other

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the form of a strategic network, regardless of its precise legal form, based on joint mid- to long-term innovation planning to meet the EIT challenges and contribute to attaining the objectives established under *the Union framework programme supporting research and innovation*; stakeholders in the innovation process in the form of a strategic network, regardless of its precise legal form, based on joint mid- to long-term innovation planning to meet the EIT challenges and contribute to attaining the objectives established under *Horizon Europe*;

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or because the amendments are inextricably linked to other admissible amendments.

Amendment 37

Proposal for a regulation Article 2 – paragraph 1 – point 2 a (new)

Text proposed by the Commission

Amendment

(2a) 'KIC co-location centre' means a physical space, established in an open and transparent manner, covering a geographical area, where a KIC's main knowledge triangle partners can easily interact, providing the focal point for the KIC's activity in that area;

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or because the amendments are inextricably linked to other admissible amendments.

Amendment 38

Proposal for a regulation Article 2 – paragraph 1 – point 2 b (new)

Text proposed by the Commission

Amendment

(2b) 'crisis response programme' means a two-year plan in which the EIT sets its priorities in response to the needs of each KIC with a view to overcoming the COVID-19 crisis and adapting to the

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Proposal for a regulation Article 2 – paragraph 1 – point 2 c (new)

Text proposed by the Commission

Amendment

(2c)'EIT innovation hub' means a local community hub established in a wide range of Member States, particularly in the Member States and regions targeted by the Regional Innovation Scheme, where the KICs can collaborate with local knowledge triangle actors in order to implement innovation goals across the whole Union, ensuring a more balanced geographical presence of the activities of the EIT Community, serving as an entry point for interacting with local players, mobilising and internationalising the local networks, establishing synergies and promoting the active integration of ecosystems at all levels and facilitating KICs' efforts to foster complementarities and collaboration within the knowledge triangle with an ambition to grow into regional co-location centres;

Amendment 40

Proposal for a regulation Article 2 – paragraph 1 – point 2 d (new)

Text proposed by the Commission

Amendment

(2d) 'EIT country liaison officers network' means a network of national representatives nominated by the governments of the Member States and countries associated to the Horizon Europe, part of the National Contact Points for Horizon Europe, tasked with facilitating a more structured dissemination of opportunities, results

and achievements emerging from the EIT Community as well as encouraging mutual transfer of knowledge, advice and best practise, in line with the EIT's institute function;

Amendment 41

Proposal for a regulation Article 2 – paragraph 1 – point 3

Text proposed by the Commission

(3) 'partner organisation' means any legal entity which is a member of a KIC and may include, in particular, higher education institutions, vocational education and training providers, research organisations, public or private companies, financial institutions, regional and local authorities, foundations and non-profit organisations;

Amendment 42

Proposal for a regulation Article 2 – paragraph 1 – point 7

Text proposed by the Commission

(7) 'Strategic Innovation Agenda' ('SIA') means a policy document outlining the *priority fields and the long-term* strategy of the EIT for future initiatives, its capacity to generate the best innovation added-value, including *an overview of* planned higher education, research and innovation activities over a period of seven years, covering the respective MFF;

Amendment

(3) 'partner organisation' means any legal entity which is a member of a KIC and may include, in particular, higher education institutions, vocational education and training providers, research organisations, public *institutions, public* or private companies, financial institutions, regional and local authorities, foundations and non-profit organisations;

Amendment

(7) 'Strategic Innovation Agenda' or 'SIA' means a policy document outlining the strategy *and priorities* of the EIT for future initiatives, its capacity to generate the best innovation added-value, including *objectives, key actions,* planned higher education, research and innovation activities, *its mode of operation, expected impacts and resources needed* over a period of seven years, covering *Horizon Europe and* the respective MFF;

Proposal for a regulation Article 2 – paragraph 1 – point 8

Text proposed by the Commission

(8) 'Regional Innovation Scheme' ('*RIS'*) means an outreach scheme targeted at partnerships between higher education institutions, research organisations, companies and other stakeholders, in *order to foster* innovation across the Union;

Amendment

(8) 'Regional Innovation Scheme' or 'RIS' means an outreach scheme targeted at *establishing* partnerships between higher education institutions, research organisations, companies and other stakeholders, in low R&I performing countries as defined in point (15a) of Article 2 of Regulation [xxx] establishing Horizon Europe as well as, to the extent that they are not low R&I performing countries, in countries and regions of those countries that are classified as modest or moderate innovators in the European Innovation Scoreboard, with the aim of fostering innovation across the Union and bridging regional disparities and thereby mitigating the innovation divide;

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or because the amendments are inextricably linked to other admissible amendments.

Amendment 44

Proposal for a regulation Article 2 – paragraph 1 – point 10

Text proposed by the Commission

(10) 'KIC business plan' means a document describing the objectives and the planned KIC added-value activities;

Amendment

(10) 'KIC *multiannual* business plan' means a document describing the objectives and the planned KIC addedvalue activities, *milestones, targets and impacts of the KIC which contribute to tackling global challenges, as well as containing an estimation of financial sources and funding, including its strategies aiming to achieve financial*

sustainability, openness to new partners and a more geographically balanced participation in its activities;

Amendment 45

Proposal for a regulation Article 2 – paragraph 1 – point 12

Text proposed by the Commission

(12) 'memorandum of co-operation' means an agreement between the EIT and a KIC aimed at keeping a KIC as an active member of the EIT Community after the end date of the framework partnership agreement, without any financial contribution from the EIT; Amendment

deleted

Amendment 46

Proposal for a regulation Article 2 – paragraph 1 – point 13

Text proposed by the Commission

(13) 'financial sustainability' means a capacity of a KIC to *fund* its knowledge triangle activities independently *after the end date* of the *framework partnership agreement*.

Amendment

(13) 'financial sustainability' means *a* capacity of a KIC to *generate sufficient revenue to finance most or all* its knowledge triangle activities, *including a significant share of the costs of maintaining the ecosystem*, independently of the *contributions from the EIT*.

Amendment 47

Proposal for a regulation Article 3 – paragraph 1

Text proposed by the Commission

The EIT's mission is to contribute to sustainable Union economic *growth* and competitiveness by reinforcing the innovation capacity of the Member States

Amendment

1. The EIT's mission is to contribute to sustainable Union economic *development* and competitiveness by reinforcing the innovation capacity of the

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and the Union *in order to address major challenges faced by the society. It shall do this by promoting synergies and cooperation among, and integrating, higher education, research and innovation of the highest standards,* including *by fostering entrepreneurship.* Member States and the Union. It is also to deliver on the Union strategic priorities and contribute to the realisation of EU objectives and policies, including the European Green Deal, the European Recovery Plan, the European data, digital, SME and Industrial strategies and achieving Europe's strategic autonomy. Furthermore, it shall contribute to tackling global challenges, including the SDGs by following the principles of the Agenda 2030 and the Paris Agreement, and achieving a net-zero GHG economy by 2050 at the latest

Amendment 48

Proposal for a regulation Article 3 – paragraph 2

Text proposed by the Commission

The EIT shall contribute to *deliver on* the general and specific objectives of *the Union framework programme supporting research and innovation*.

Amendment 49 Proposal for a regulation Article 3 – paragraph 2 a (new)

Text proposed by the Commission

Amendment

2. For the budget period of 2021-2027, the EIT shall contribute to *delivering* the general and specific objectives of *Horizon Europe*.

Amendment

3. The specific objectives of the EIT shall be to:

(a) increase the impact of KICs and their transparency, and boost knowledge triangle integration;

(b) increase the openness of the KICs by including a wider range of stakeholders from across the Union;

(c) increase the entrepreneurial and innovation capacity of the higher education sector across the Union by guiding and monitoring KICs in

promoting a better integration in the innovation ecosystems and an institutional change in higher education institutions (HEIs);

(d) increase the regional outreach of the EIT and its KICs, as well as ensure a better dissemination and exploitation of results, in order to address regional disparities in the innovation capacity across the Union and within individual Member States to ensure a balanced geographical coverage;

(e) implement a two-year crisis response programme with the necessary flexibility to be able to contribute to protecting the current innovation ecosystems and to help EIT stakeholders prepare for the economic recovery.

Amendment 50

Proposal for a regulation Article 4 – paragraph 1

Text proposed by the Commission

1. The SIA shall define the *priority fields and* strategy for the EIT for the seven-year period concerned, taking into account *the Union framework programme supporting research and innovation, and* shall include an assessment of its socioeconomic impact and its capacity to generate the best innovation added-value. The SIA shall take into account the results of the monitoring and evaluation of the EIT as referred to in Article 19.

Amendment

1. The SIA shall define the strategy and priorities for the EIT for the sevenyear period concerned, *including the* short-term actions aiming to tackle the **COVID-19** crisis and the subsequent recovery, taking into account Horizon Europe, including its strategic planning. The SIA shall also include an assessment of the expected socioeconomic impact and the outreach activities of the EIT and of the EIT's capacity to generate the best innovation ecosystems and added-value. The SIA shall take into account the results of the monitoring and evaluation of the EIT as referred to in Article 19.

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or because the amendments are inextricably linked to other admissible amendments.

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Proposal for a regulation Article 4 – paragraph 2

Text proposed by the Commission

2. The SIA shall be aligned with the objectives of the Union framework programme supporting research and *innovation*, the strategic multiannual planning, reporting, monitoring and evaluation and other requirements of that programme, and foster synergies with other relevant Union programmes of the respective MFF, in particular with those supporting education and regional *development*. It shall also establish appropriate synergies and complementarities between the EIT activities and other Union initiatives, policies and instruments .

Amendment

The SIA shall be aligned with the 2. objectives of *Horizon Europe*, the strategic multiannual planning, reporting, monitoring and evaluation and other requirements of that programme, and foster synergies with other relevant Union programmes of the respective MFF. It shall also establish appropriate synergies and complementarities between the EIT activities and other Union initiatives, policies and instruments, *in particular the* European Universities Initiative, the EIC, the Missions and the European Partnerships as referred to in Horizon Europe. The Commission shall offer the EIT all the necessary support in establishing appropriate synergies and complementarities with other Horizon Europe activities, as well as other Union initiatives and programmes, while avoiding duplication.

Amendment 52

Proposal for a regulation Article 4 – paragraph 3

Text proposed by the Commission

3. The SIA shall include an estimate of financial needs and sources in view of the future operation, long-term development and funding of the EIT. It shall also contain an indicative financial plan covering the period of the respective MFF.

Amendment

3. The SIA shall include an estimate of financial needs and sources in view of the future operation, long-term development and funding of the EIT, *including the financial aspects related to the European Recovery Plan.* It shall also contain an indicative financial plan covering the period of the respective MFF.

Proposal for a regulation Article 4 – paragraph 4

Text proposed by the Commission

4. The EIT shall *submit its contribution* to the *Commission's proposal for the SIA*.

Amendment

4. The EIT shall, *taking into account the view and input from existing KICs, prepare a draft SIA, submit it* to the *Commission and make it public.*

Amendment 54

Proposal for a regulation Article 5 – paragraph 1 – point a

Text proposed by the Commission

(a) a Governing Board composed of high-level members *experienced* in higher education, research, innovation and business. It shall be responsible for steering the activities of the EIT, for the selection, designation, monitoring and evaluation of the KICs, and for all other strategic decisions;

Amendment

a Governing Board composed of (a) high-level members with proven excellent experience in higher education, research, innovation and business, *including a a* member who is also a member of the EIC **Board**. It shall be responsible for steering the activities of the EIT, for the selection, designation, *financing*, monitoring and evaluation of the KICs, *including for* taking appropriate corrective measures in the event of underperformance and for all other strategic decisions; The selection of the Governing Board shall take into account criteria concerning gender and geographical balance;

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or because the amendments are inextricably linked to other admissible amendments.

Amendment 55

Proposal for a regulation Article 5 – paragraph 1 – point b

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Text proposed by the Commission

(b) an Executive Committee composed of selected members and the Chairperson of the Governing Board. It shall assist the Governing Board in the performance of its tasks and prepare the Governing Board meetings in cooperation with the Director ;

Amendment

(b) an Executive Committee composed of selected members *representing all three dimensions of the knowledge triangle* and the Chairperson of the Governing Board. It shall assist the Governing Board in the performance of its tasks and prepare the Governing Board meetings in cooperation with the Director;

Amendment 56

Proposal for a regulation Article 5 – paragraph 1 – point c

Text proposed by the Commission

(c) a Director, appointed by the Governing Board, who shall be the legal representative of the EIT responsible for its operations and day-to-day management. The Director shall be accountable to the Governing Board and report to it on an ongoing basis on the development of the EIT and all activities falling under his/her responsibility;

Amendment

a Director, appointed by the (c) Governing Board, who shall be the legal representative of the EIT *and* responsible for the implementation of the decisions of the Governing Board and for its operations and day-to-day management. The Director shall be accountable to the Governing Board and report to it on an ongoing basis on the development of the EIT and all activities falling under his/her responsibility, *including the* implementation of the EIT Budget. The Directive shall report to the European Parliament on a regular basis, at least after each monitoring and evaluation process;

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or because the amendments are inextricably linked to other admissible amendments.

Amendment 57 Proposal for a regulation Article 5 – paragraph 2

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Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or

Amendment 60

Proposal for a regulation Article 6 – paragraph 1 – point a a (new)

Text proposed by the Commission

because the amendments are inextricably linked to other admissible amendments.

In order to achieve *its objective*, the EIT shall in particular :

identify its activities, in accordance

(a) with the main priorities set out in the SIA;

Amendment

Amendment 59

Proposal for a regulation Article 6 – paragraph 1 – point a

Text proposed by the Commission

identify, in accordance with *the* (a) SIA, its main priorities and activities;



(aa) present a two-year crisis response programme in cooperation with the KICs;

The detailed provisions regarding 2. the EIT bodies and governance are set out in the Statutes of the EIT, annexed to this Regulation.

Amendment

In order to achieve the objectives set out in

Article 3, the EIT shall in particular:

Amendment

Text proposed by the Commission

the EIT bodies are set out in the Statutes of

the EIT, annexed to this Regulation.

The detailed provisions regarding

2.

Amendment 58

Proposal for a regulation

Article 6 – paragraph 1 – introductory part Text proposed by the Commission

Proposal for a regulation Article 6 – paragraph 1 – point b

Text proposed by the Commission

(b) raise awareness among potential partner organisations *and* encourage their participation in its activities;

Amendment

(b) *ensure openness to and* raise awareness among potential partner organisations, *to* encourage their participation in its activities *especially among SMEs and emerging centres of excellence in countries and regions eligible for RIS actions*;

Amendment 62

Proposal for a regulation Article 6 – paragraph 1 – point c

Text proposed by the Commission

(c) select and designate KICs in the priority fields in accordance with Article 9 and define their rights and obligations by framework partnership agreements and grant agreements, provide them with appropriate support, apply *appropriate* quality control measures and continuously monitor and periodically evaluate their activities;

Amendment

select and designate KICs in the (c) priority fields in accordance with Article 9 and define their rights and obligations by framework partnership agreements and grant agreements, provide them with appropriate strategic guidelines and support, apply *the adequate* quality control, close monitoring as well as set conditions and take appropriate and corrective measures in the event of underperformance based on the outcome of the assessments in accordance with Article 11 and continuously monitor and periodically evaluate their activities and their steps towards achieving financial sustainability;

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or because the amendments are inextricably linked to other admissible amendments.

Amendment 63

Proposal for a regulation Article 6 – paragraph 1 – point c a (new)

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Amendment

(ca) Steer the implementation of the RIS by providing means for establishing EIT Innovation Hubs in EIT RIS Member States;

Amendment 64

Proposal for a regulation Article 6 – paragraph 1 – point f

Text proposed by the Commission

(f) promote the dissemination of best practices for the integration of the knowledge triangle, including among KICs, in order to develop a common innovation and knowledge transfer culture, and encourage participation in outreach activities, including in the RIS;

Amendment

(f) promote the dissemination of best practices for the integration of the knowledge triangle, including among KICs *and across the Union*, in order to develop a common innovation and knowledge transfer culture, and encourage participation *and openness to new members* in outreach activities, including in the RIS;

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or because the amendments are inextricably linked to other admissible amendments.

Amendment 65

Proposal for a regulation Article 6 – paragraph 1 – point f a (new)

Text proposed by the Commission

Amendment

(fa) establish an EIT country liaison officers network, as part of the National Contact Points for Horizon Europe in order to encourage the mutual transfer of knowledge, advice and best practice in line with the EIT's function;

Proposal for a regulation Article 6 – paragraph 1 – point f b (new)

Text proposed by the Commission

Amendment

(fb) promote the wide dissemination, communication and exploitation of the results and opportunities emerging from the EIT Community, including through the EIT country liaison officers network, in order to increase the awareness, visibility and knowledge of the EIT across the Union, as well as to encourage participation in EIT Community activities;

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or because the amendments are inextricably linked to other admissible amendments.

Amendment 67

Proposal for a regulation Article 6 – paragraph 1 – point f c (new)

Text proposed by the Commission

Amendment

(fc) support the KICs in the development of an effective financial sustainability strategy for the mobilisation of funds from other public and private sources;

Amendment 68

Proposal for a regulation Article 6 – paragraph 1 – point h

Text proposed by the Commission

(h) promote multidisciplinary approaches to innovation, *including* the integration of technological, social and Amendment

 (h) promote multidisciplinary approaches to innovation, *across all sectors, namely through* the integration of

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non-technological solutions, organisational approaches and new business models;

technological, social and non-technological solutions, *sustainability and climate neutrality by design*, organisational approaches, *an entrepreneurial ethos* and new business models;

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or because the amendments are inextricably linked to other admissible amendments.

Amendment 69

Proposal for a regulation Article 6 – paragraph 1 – point i

Text proposed by the Commission

(i) ensure complementarity and synergy between EIT activities and other Union programmes, where appropriate;

Amendment

(i) ensure complementarity and synergy between EIT activities and other Union programmes, where appropriate *as referred to in Horizon Europe*;

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or because the amendments are inextricably linked to other admissible amendments.

Amendment 70

Proposal for a regulation Article 6 – paragraph 1 – point i a (new)

Text proposed by the Commission

Amendment

(ia) develop and provide guidance on innovative Intellectual Property Rights models promoting knowledge transfer and dissemination, both within the context of the KICs and more widely across the Union;

Amendment 71

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Proposal for a regulation Article 6 – paragraph 1 – point i b (new)

Text proposed by the Commission

Amendment

(ib) provide the necessary support and synergies with KICs to develop innovative solutions;

Amendment 72

Proposal for a regulation Article 6 – paragraph 1 – point i c (new)

Text proposed by the Commission

Amendment

(ic) ensure that KICs can operate with the necessary flexibility to adapt their operations to the increasing demands arising from the COVID-19 crisis and help with the European Recovery Plan;

Amendment 73

Proposal for a regulation Article 6 – paragraph 1 – point j

Text proposed by the Commission

(j) organise regular meetings of a Stakeholder Forum to *inform about* the activities of the EIT, *its* experiences, best practices and contribution to Union innovation, research and education policies and objectives and to allow stakeholders to express their views;

Amendment

(j) organise regular meetings, *at least annually*, of a Stakeholder Forum to *share and discuss* the activities of the EIT, *exchange* experiences *and* best practices and contribution to Union innovation, research and education policies and objectives and to allow stakeholders to express their views;

Amendment 74

Proposal for a regulation Article 6 – paragraph 1 – point k

organise meetings of a Member (k) States' Representatives Group, at least *twice a year*, independently from the meetings of the Stakeholder Forum, to ensure appropriate communication and flow of information with the EIT, and be informed of the achievements of, give advice to, and share experiences with, the EIT and the KICs. *The Member States*' **Representatives Group shall also ensure** appropriate synergies and complementarities between EIT and KIC activities with national programmes and initiatives, including the potential national co-financing of KIC activities;

Amendment

(k) organise meetings of a Member States' Representatives Group independently from the meetings of the Stakeholder Forum, *referred to in point (j)*, to ensure appropriate communication and flow of information with the EIT, and be informed of the achievements of, give advice to, and share experiences with, the EIT and the KICs;

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or because the amendments are inextricably linked to other admissible amendments.

Amendment 75

Proposal for a regulation Article 6 – paragraph 1 – point k a (new)

Text proposed by the Commission

Amendment

(ka) facilitate the establishment of EIT Community shared services facilities, aiming to jointly handle, common for all KICs;

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or because the amendments are inextricably linked to other admissible amendments.

Amendment 76

Proposal for a regulation Article 6 – paragraph 1 – point k b (new)

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Amendment

(kb) encourage the networking, over time, of the various EIT Innovation Hubs in all Member States in order to facilitate cooperation between the EIT Community and the local innovation ecosystems;

Amendment 77

Proposal for a regulation Article 6 – paragraph 1 – point l

Text proposed by the Commission

(1) design and coordinate support actions undertaken by the KICs for the development of entrepreneurial and innovation capacity of higher education institutions and their integration in innovation ecosystems.

Amendment

(1) design and coordinate *and monitor the implementation of* support actions undertaken by the KICs for the development of *the* entrepreneurial and innovation capacity of *HEIs, professional training institutions, SMEs and start-ups* and their integration in innovation ecosystems, *throughout the Union and in line with the knowledge triangle approach;*

Amendment 78

Proposal for a regulation Article 6 – paragraph 1 – point l a (new)

Text proposed by the Commission

Amendment

(la) design and launch a higher education initiative, in cooperation with the Commission and based on the input from KICs, to support the development of innovation and entrepreneurial capacity in higher education, which will be implemented by and through the KICs;

Amendment 79

Proposal for a regulation Article 6 – paragraph 1 – point l b (new)

Text proposed by the Commission

Amendment

(lb) widen the scope and strengthen the recognition and visibility outside the EIT Community of degrees and diplomas which are awarded by participating HEIs and which shall be labelled EIT degrees and diplomas and extend them to lifelong learning mentoring, vocational training, skilling and upskilling programmes while ensuring a gender-balanced approach, and which may be awarded in certified and qualified training institutions;

Amendment 80

Proposal for a regulation Article 6 – paragraph 1 – point l c (new)

Text proposed by the Commission

Amendment

(lc) strengthen the visibility and recognition of EIT-funded activities to the wider public and expand the EIT Label in order to improve the visibility and the recognition of EIT on education programmes based partnerships between different HEIs, training institutions, research centres and companies, while enhancing its overall quality by offering 'learning-by-doing' curricula and purposeful entrepreneurship as well as international, inter-organisational and cross-sectoral mobility;

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or because the amendments are inextricably linked to other admissible amendments.

Amendment 81

Proposal for a regulation Article 6 – paragraph 1 a (new)

Text proposed by the Commission

Amendment

The Commission shall assist the EIT and its KICs in identifying synergies with other Union programmes.

Amendment 82

Proposal for a regulation Article 6 – paragraph 1 b (new)

Text proposed by the Commission

Amendment

The Member States' Representatives Group referred to in point (k) of paragraph 1 shall be composed of one representative from each Member State and one representative from each associated country.

Amendment 83 Proposal for a regulation Article 6 – paragraph 1 c (new)

Text proposed by the Commission

Amendment

It shall advise the Governing Board and the Director of the EIT on strategically important issues. It shall also ensure appropriate synergies and complementarities between the activities of the EIT and the KICs and national programmes and initiatives, including the potential national co-financing of KIC activities.

Amendment 84

Proposal for a regulation Article 7 – paragraph 1 – point a

(a) innovation activities and investments with *European* added value, including *the support to* the creation and development of innovative businesses, fully integrating the higher education and research dimensions to attain a critical mass and stimulating the dissemination and exploitation of results;

Amendment

(a) innovation activities and investments with *Union* added value, including *facilitating and supporting* the creation and development of innovative businesses *and start-ups*, fully integrating the higher education and research dimensions to attain a critical mass and stimulating the dissemination and exploitation of results;

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or because the amendments are inextricably linked to other admissible amendments.

Amendment 85

Proposal for a regulation Article 7 – paragraph 1 – point b

Text proposed by the Commission

(b) innovation-driven experimentation, prototyping and demonstration in areas of key economic and societal interest and drawing on the results of Union and national research, with the potential to strengthen Union's competitiveness at international level and find solutions for the major challenges faced by European society;

Amendment

(b) innovation-driven *research*, experimentation, prototyping and demonstration in areas of key economic and societal interest and drawing on the results of Union and national research, with the potential to strengthen Union's competitiveness at international level and find solutions for the major challenges faced by European society, *including health and digital challenges*;

Amendment 86

Proposal for a regulation Article 7 – paragraph 1 – point c

Text proposed by the Commission

(c) education and training activities in particular at masters and doctoral level, as well as professional training courses, in

Amendment

(c) education and training activities in particular at masters and doctoral level, as well as professional training courses, in

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disciplines with the potential to meet future European socio-economic needs and which expand the Union's talent base, promote the development of innovation-related skills, the improvement of managerial and entrepreneurial skills and the mobility of researchers and students, and foster knowledge-sharing, mentoring and networking among the recipients of EITlabelled *degrees* and training; disciplines with the potential to meet future European socio-economic *and socioecological* needs and which expand the Union's talent base, promote the development of innovation-related skills, the improvement of managerial and entrepreneurial skills and the mobility of researchers and students, and foster knowledge-sharing, mentoring and networking among the recipients of EITlabelled *education* and training *activities*;

Amendment 87

Proposal for a regulation Article 7 – paragraph 1 – point c a (new)

Text proposed by the Commission

Amendment

(ca) actions supporting HEIs to better integrate in innovation value chains and ecosystems and bringing them together with other key innovation players from the knowledge triangle and thereby improve their strategic capacity development;

Amendment 88

Proposal for a regulation Article 7 – paragraph 1 – point d

Text proposed by the Commission

(d) outreach activities and the dissemination of best practices in the innovation sector with a focus on the development of cooperation between higher education, research and business, including the service and financial sectors;

Amendment

(d) outreach activities and the dissemination of best practices in the *field of* innovation sector with a focus on the development of cooperation *and interdisciplinary learning loops* between higher education, research and *public and third sector organisations as well as* business, including the service and financial sectors;

Proposal for a regulation Article 7 – paragraph 1 – point d a (new)

Text proposed by the Commission

Amendment

(da) RIS activities, fully integrated in the KICs' multiannual strategy in order to strengthen the innovation capacity and to develop sustainable innovation ecosystems across the Union with a view to decreasing the innovation performance disparities and divide across the Union and to ensuring a more geographically balanced participation in the EIT Community across the Union;

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or because the amendments are inextricably linked to other admissible amendments.

Amendment 90

Proposal for a regulation Article 7 – paragraph 1 – point e

Text proposed by the Commission

(e) to seek synergies and complementarities between KIC activities and existing European, national and regional programmes, where appropriate.

Amendment

(e) to seek synergies and complementarities between KIC activities and existing European, national and regional programmes, *in particular the EIC, other European Partnerships and Missions, as well as universities, HEIs and Research Centres,* where appropriate;

Amendment 91

Proposal for a regulation Article 7 – paragraph 1 – point e a (new)

Text proposed by the Commission

Amendment

(ea) the mobilisation of funds from

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public and private sources and income generated by their own activities, in accordance with Article 17, with the aim of increasing a proportion of their budget;

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or because the amendments are inextricably linked to other admissible amendments.

Amendment 92

Proposal for a regulation Article 7 – paragraph 1 – point e b (new)

Text proposed by the Commission

Amendment

(eb) establishing a repository mapping out the relationship between inventions and patents held by the KICs and the identification of the owners of those inventions.

Amendment 93

Proposal for a regulation Article 7 – paragraph 2 – introductory part

Text proposed by the Commission

2. Without prejudice to the framework partnership agreements and grant agreements between the EIT and the KICs, the KICs shall have substantial overall autonomy to define their internal organisation and composition, as well as their precise agenda and working methods. In particular, KICs shall:

Amendment

2. Without prejudice to the framework partnership agreements and *the multi-annual* grant agreements between the EIT and the KICs, the KICs shall have substantial overall autonomy to define their internal organisation and composition, as well as their precise agenda and working methods *provided that those agenda and working methods result in progress towards achieving the objectives of the EIT and the KICs*. In particular, KICs shall:

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or

because the amendments are inextricably linked to other admissible amendments.

Amendment 94 Proposal for a regulation Article 7 – paragraph 2 – point a

Text proposed by the Commission

(a) establish internal governance arrangements which reflect the knowledge triangle of higher education, research and innovation;

Amendment 95

Proposal for a regulation Article 7 – paragraph 2 – point b

Text proposed by the Commission

(b) ensure their openness to new members whenever they add value to the partnership;

Amendment

(a) establish internal *transparent* governance arrangements which reflect the knowledge triangle of higher education, research and innovation;

Amendment

(b) ensure their openness to *the widest possible range of* new members whenever they add value to the partnership, *all across the Union, including through clear and transparent entry and exit criteria and open calls*;

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or because the amendments are inextricably linked to other admissible amendments.

Amendment 96

Proposal for a regulation Article 7 – paragraph 2 – point c

Text proposed by the Commission

(c) *function* in an open and transparent way, in accordance with *their internal rules*;

Amendment

(c) establish internal rules that ensure the functioning of the KICs in an open and transparent way in accordance with codes of good governance to be laid down in those internal rules;

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or because the amendments are inextricably linked to other admissible amendments.

Amendment 97

Proposal for a regulation Article 7 – paragraph 2 – point d a (new)

Text proposed by the Commission

Amendment

(da) develop, as part of their multiannual business plan, a two-year strategic plan detailing initiatives envisaged to mitigate the effects of the COVID-19 crisis, while paying particular attention to actions aiming to increase the resilience of microenterprises, SMEs and start-ups, but also of the students, researchers and employees;

Amendment 98

Proposal for a regulation Article 7 – paragraph 2 – point d b (new)

Text proposed by the Commission

Amendment

(db) in synergy with other Union innovation strands and other Union institutions, bodies, offices and agencies and in order to respond to the European recovery plan, publish dedicated calls, promote initiatives using their partnerships, ecosystems and communities, develop individual and cross-KIC projects to support business restructuring, and identify microenterprises, SMEs, start-ups and other stakeholders that need support and for example a better access to finance;

Amendment 99

Proposal for a regulation Article 7 – paragraph 2 – point d c (new)

Text proposed by the Commission

Amendment

(dc) propose initiatives aiming to support their ecosystem based on the knowledge triangle, while at the same time being flexible enough to create measures that are fit for purpose for their partners and beneficiaries and beyond their existing communities;

Amendment 100

Proposal for a regulation Article 7 – paragraph 2 – point e

Text proposed by the Commission

(e) establish and implement strategies for financial sustainability.

Amendment

(e) establish and implement strategies for *achieving* financial sustainability;

Amendment 101

Proposal for a regulation Article 7 – paragraph 2 – point e a (new)

Text proposed by the Commission

Amendment

(ea) develop outreach activities, in particular with emerging institutions and centres of excellence in Union regions that perform less well than others:

Amendment 102

Proposal for a regulation Article 7 – paragraph 3

Text proposed by the Commission

3. The relationship between the EIT and each KIC shall be based on framework partnership agreements and grant

Amendment

3. The relationship between the EIT and each KIC shall be based on framework partnership agreements and *multi-annual*

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Proposal for a regulation Article 7 – paragraph 3 a (new)

Text proposed by the Commission

Amendment

3a. When selecting projects and partners, and when is strictly necessary to separate proposals of equal quality, after an assessment based on the excellence criterion, KICs shall give priority to proposals that meet one or more of the following criteria:

(a) inclusion of a higher number of countries or regions which take part in the RIS;

(b) inclusion of a higher number of regions or countries that are not yet participating in the KIC community;

(c) involvement of regions and countries that commit to contribute with ESI funds;

(d) involvement of a higher number of SMEs;

(e) ensuring a better gender balance.

Amendment 104

Proposal for a regulation Article 8 – paragraph 1 – introductory part

Text proposed by the Commission

The rules for participation and dissemination of *the Union framework programme supporting research and innovation* shall apply. By way of derogation from those rules:

Amendment

The rules for participation and dissemination of *Horizon Europe* shall apply. By way of derogation from those rules:

Proposal for a regulation Article 8 – paragraph 1 – point b

Text proposed by the Commission

(b) specific rules on ownership, access rights, exploitation and dissemination may apply for KIC added-value activities.

Amendment

(b) specific rules on ownership, access rights, exploitation and dissemination may apply for KIC added-value activities, *where appropriate*.

Amendment 106

Proposal for a regulation Article 9 – paragraph 1

Text proposed by the Commission

1. A partnership shall be selected and designated by the EIT to become a KIC following a competitive, open and transparent procedure. The criteria of *the Union framework programme supporting research and innovation* for the selection of European partnerships shall apply. The EIT Governing Board *may* further specify those criteria, by adopting and publishing criteria for the selection of KICs based on the principles of excellence and innovation relevance.

Amendment

1. A partnership shall be selected and designated by the EIT to become a KIC following a competitive, open and transparent procedure. The *applicable conditions and* criteria of *Horizon Europe* for the selection of European partnerships shall apply. The EIT Governing Board *shall* further specify those criteria, by adopting and publishing criteria for the selection of KICs based on the principles of excellence, *balanced geographical spread* and innovation relevance *in delivering on societal challenges and Union policy priorities*.

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or because the amendments are inextricably linked to other admissible amendments.

Amendment 107

Proposal for a regulation Article 9 – paragraph 2

2. The EIT shall launch the selection and designation of KICs according to the priority fields and time schedule defined in the SIA.

Amendment

2. The EIT shall launch the selection and designation of KICs according to the priority fields and time schedule defined in the SIA *and taking into account the priorities as defined in the strategic planning of Horizon Europe*.

Amendment 108

Proposal for a regulation Article 9 – paragraph 3

Text proposed by the Commission

3. The minimum *condition* to form a KIC is the participation of at least three independent partner organisations, established in at least three different Member States.

Amendment

3. The minimum *conditions* to form a KIC is the participation of at least three independent partner organisations, *comprising at least one HEI, one research institution and one private undertaking and* established in at least three different Member States.

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or because the amendments are inextricably linked to other admissible amendments.

Amendment 109

Proposal for a regulation Article 9 – paragraph 4

Text proposed by the Commission

4. In addition to the condition set out in paragraph 3, at least two thirds of the partner organisations forming a KIC shall be established in the Member States. *At least one higher education institution*, *one research organisation and one private company shall be part of each KIC*.

Amendment

4. In addition to the condition set out in paragraph 3, at least two thirds of the partner organisations forming a KIC shall be established in the Member States. *A balanced geographical coverage, including the participation of RIS and the participation of new partners, shall be taken into account in the selection and designation procedure.*

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or because the amendments are inextricably linked to other admissible amendments.

Amendment 110

Proposal for a regulation Article 9 – paragraph 5

Text proposed by the Commission

5. The EIT shall adopt and publish criteria and procedures for financing, monitoring and evaluating the activities of the KICs prior to the launching of the selection procedure for new KICs. The Member States' Representatives Group referred to in Article 6(k) shall be promptly informed of them.

Amendment

5. The EIT shall adopt and publish criteria and procedures for financing, monitoring and evaluating the activities of the KICs prior to the launching of the selection procedure for new KICs. The Member States' Representatives Group referred to in Article 6(2), the EIT country liaison officers' network and the European Parliament shall be promptly informed of them.

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or because the amendments are inextricably linked to other admissible amendments.

Amendment 111

Proposal for a regulation Article 10 – paragraph 1

Text proposed by the Commission

The EIT shall, on the basis of indicators set out, inter alia, in the *Union framework programme supporting research and innovation* and in the SIA, and in close cooperation with the Commission, organise continuous monitoring and periodic external evaluations of the output, results *and* impact of each KIC. The results of such monitoring and evaluations shall be reported to the European Parliament and to

Amendment

The EIT shall, on the basis of indicators set out, inter alia, in the *Horizon Europe* and in the SIA, and in close cooperation with the Commission, organise continuous monitoring and periodic external evaluations of the output, results, and impact *and steps taken towards achieving the financial sustainability* of each KIC. The results of such monitoring and evaluations shall be reported to the European Parliament and to the Council

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the Council and shall be made public.

Amendment 112

Proposal for a regulation Article 11 – paragraph 2

Text proposed by the Commission

2. Subject to the outcome of a comprehensive mid-term review before the expiry of the initial period of seven years, he Governing Board may decide to extend the framework partnership agreement with a KIC *beyond* the initial period *for another* period of a maximum of seven years or to discontinue the EIT's financial contribution and not to extend the framework partnership agreement. The Governing Board shall take into account in particular the *achieved* level of financial sustainability of a KIC, its capacity to ensure openness to new members as well as the limits of the Union financial contribution referred to in Article 20 and relevance with regard to the objectives of the EIT.

Amendment

2. Subject to the *positive* outcome of a comprehensive mid-term review by independent external experts, which shall be carried out by the end of the fourth *year* of the initial *seven-year* period, *the* Governing Board may decide to *maintain* the framework partnership agreement with a KIC until the end of the initial period or to discontinue the EIT's financial contribution. The Governing Board shall take into account in particular the relevance to Union's global and societal challenges, the criteria of Horizon Europe for implementation, monitoring and evaluation of the European Partnerships, the achievement of the goals set by the KIC itself, the KIC's coordination efforts with other relevant research and innovation initiatives, , thelevel of financial sustainability *achieved by* a KIC, its capacity to ensure openness to new members and its success in attracting new partners, the transparency of its governance, its capacity to develop sustainable innovation ecosystems and its geographical coverage and gender *balance* as well as the limits of the Union financial contribution referred to in Article 20 and relevance with regard to the objectives of the EIT.

The Governing Board shall submit those mid-term reviews to the European Parliament and to the Council and shall make them public.

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or

because the amendments are inextricably linked to other admissible amendments.

Amendment 113

Proposal for a regulation Article 11 – paragraph 2 a (new)

Text proposed by the Commission

Amendment

2a. At the end of the initial seven-year period, the Governing Board may decide to extend the framework partnership agreement for a further seven-year period. That decision shall be based on the criteria set out in paragraph 2 and on the final evaluation of the KIC's performance to be carried out before the end of the initial seven-year period.

The EIT shall consult the European Parliament before extending the initial seven-year period.

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or because the amendments are inextricably linked to other admissible amendments.

Amendment 114

Proposal for a regulation Article 11 – paragraph 2 b (new)

Text proposed by the Commission

Amendment

2b. In the event of an extension of the seven-year agreement, the KIC shall be subject to a new mid-term review by the end of the fourth year of the second seven-year period, based on the same criteria and methodology.

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or because the amendments are inextricably linked to other admissible amendments.

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Proposal for a regulation Article 11 – paragraph 3

Text proposed by the Commission

3. In the event that evaluations of a KIC show inadequate results or lack of European added value , the Governing Board shall take appropriate measures, including the reduction, modification or withdrawal of the EIT's financial contribution or the termination of the framework partnership agreement.3.

Amendment

3. In the event that evaluations of a KIC show inadequate results or lack of European added value, the Governing Board shall take appropriate *corrective* measures, including the reduction, modification or withdrawal of the EIT's financial contribution or the termination of the framework partnership agreement.

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or because the amendments are inextricably linked to other admissible amendments.

Amendment 116

Proposal for a regulation Article 11 – paragraph 4

Text proposed by the Commission

4. Subject to the outcome of a final review before the expiry of the fourteenth year of the framework partnership agreement, *the EIT may conclude a memorandum of cooperation* with a KIC.

Amendment

4. Subject to the outcome of a final review before the expiry of the fourteenth year of the framework partnership agreement, *under strict conditions the Governing Board, after a thorough evaluation by independent experts, may decide to extend the framework partnership agreement* with a KIC. *That extended framework partnership agreement shall be subject to the following:*

(a) a positive in-depth evaluation of the KIC by the external experts and the Governing Board, taking into account the assessment criteria set out in paragraph

2;

(b) a detailed plan by the KIC setting out the reasons why the framework partnership agreement should be extended;

(c) a limitation in time, scope and budget encompassing higher education, training and horizontally-structured activities of the KIC that cannot yet be financially sustainable, but remain crucial for the fulfilment of its tasks, activities and capabilities in responding to societal challenges.

Those activities shall be described in detail. That description shall be submitted by the Governing Board for evaluation.

Amendment 117

Proposal for a regulation Article 12 – paragraph 1

Text proposed by the Commission

1. Degrees and diplomas relating to the higher education activities referred to in point (c) of Article 7(1) shall be awarded by participating *higher education institutions* in accordance with national rules and accreditation procedures. The framework partnership agreements and grant agreements between the EIT and the KICs shall provide that these degrees and diplomas *may* also be labelled EIT degrees and diplomas.

Amendment

1. Degrees and diplomas relating to the higher education activities referred to in point (c) of Article 7(1) shall be awarded by participating *HEIs and certified training* institutions in accordance with national rules and accreditation procedures. The framework partnership agreements and grant agreements between the EIT and the KICs shall provide that these degrees and diplomas *shall* also be labelled EIT degrees and diplomas.

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or

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because the amendments are inextricably linked to other admissible amendments.

Amendment 118

Proposal for a regulation Article 12 – paragraph 2 – introductory part

Text proposed by the Commission

Amendment

2. The EIT shall encourage participating *higher education institutions* to:

2. The EIT shall encourage participating *HEIs and certified training institutions* to:

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or because the amendments are inextricably linked to other admissible amendments.

Amendment 119

Proposal for a regulation Article 12 – paragraph 2 – point b a (new)

Text proposed by the Commission

Amendment

(ba) promote and publicise the EIT Label in their training and diplomas;

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or because the amendments are inextricably linked to other admissible amendments.

Amendment 120

Proposal for a regulation Article 12 – paragraph 2 – point b b (new)

Text proposed by the Commission

Amendment

(bb) become more integrated in innovation chains, developing different strategies with the aim of cooperating effectively with innovation ecosystems and

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2a.

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Amendment 123

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Proposal for a regulation Article 16 – paragraph 1

dedicated to gender balance and gender-

sensitive approaches, especially in areas such as ICT, Science, Technology, Engineering and Mathematics, where women continue to be underrepresented.

Special attention shall be

Amendment

Amendment 122

Amendment 121

Proposal for a regulation Article 12 – paragraph 2 a (new)

Text proposed by the Commission

Text proposed by the Commission

Article 12 – paragraph 2 – point b c (new)

Amendment

(bc) create programmes focusing on *lifelong learning and certification;*

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or because the amendments are inextricably linked to other admissible amendments.

Proposal for a regulation

because the amendments are inextricably linked to other admissible amendments.

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or

businesses and of promoting an *entrepreneurial mind-set;*

1. The EIT and the KICs shall ensure that their activities are conducted with a high level of transparency. In particular, the EIT and the KICs shall set up an accessible, free website providing information about their activities .

Amendment

1. The EIT and the KICs shall ensure that their activities are conducted with a high level of transparency. In particular, the EIT and the KICs shall set up an accessible, free website providing information about their activities *and opportunities they provide, in particular open calls*.

Amendment 124

Proposal for a regulation Article 16 – paragraph 1 a (new)

Text proposed by the Commission

Amendment

1a. The EIT and the KICs shall make available detailed information on all calls for proposals issued by them. That information shall be made available in a timely, searchable and traceable manner in the relevant common online databases of Union-funded research and innovation projects, in accordance with the Regulation [xxx] establishing Horizon Europe.

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or because the amendments are inextricably linked to other admissible amendments.

Amendment 125

Proposal for a regulation Article 16 – paragraph 1 b (new)

Text proposed by the Commission

Amendment

1b. Detailed information on the monitoring and evaluation processes referred to in Articles 11 and 18.

Proposal for a regulation Article 17 – paragraph 6

Text proposed by the Commission

6. Contributions from partner organisations to the financing of the KICs shall be determined in accordance with the co-funding rates *refered* to in paragraph 4 of this Article and reflect the KICs strategy for financial sustainability.

Amendment

6. Contributions from partner organisations *and other public sources from third countries as well as private funding sources* to the financing of the KICs shall be determined in accordance with the co-funding rates *referred* to in paragraph 4 of this Article and reflect the KICs strategy for financial sustainability.

Amendment 127

Proposal for a regulation Article 17 – paragraph 7

Text proposed by the Commission

7. The EIT shall establish a competitive allocation mechanism for granting an appropriate share of its financial contribution to the KICs. It shall include assessing the KICs' business plans and performance as measured by continuous monitoring , and in particular their progress in achieving financial sustainability .

Amendment

The EIT shall establish a 7. competitive and performance-based allocation mechanism for granting an appropriate share of its financial contribution to the KICs. It shall include assessing the KICs' business plans, the KIC's openness to new members, their dissemination strategies and their geographical spread, as well as the performance of those business plans as measured by continuous monitoring, and in particular their progress in achieving financial sustainability. Particular attention shall be paid to actions put in place to mitigate the effects of the **COVID-19** crisis and to the development of innovative ideas.

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or because the amendments are inextricably linked to other admissible amendments.

Proposal for a regulation Article 18 – paragraph 1 – point b a (new)

Text proposed by the Commission

Amendment

(ba) an estimate of the staffing needs arising from the new tasks;

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or because the amendments are inextricably linked to other admissible amendments.

Amendment 129

Proposal for a regulation Article 18 – paragraph 1 – point b b (new)

Text proposed by the Commission

Amendment

(bb) aspects of the two-year crisis response programme;

Amendment 130

Proposal for a regulation Article 18 – paragraph 1 – point c

Text proposed by the Commission

(c) appropriate indicators for monitoring *the KICs and EIT* activities using an impact-oriented approach; Amendment

(c) appropriate *qualitative and quantitative methods, tools and* indicators for monitoring *EIT and KIC* activities using an impact-oriented *and performance-based* approach;

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or because the amendments are inextricably linked to other admissible amendments.

Proposal for a regulation Article 18 – paragraph 2

Text proposed by the Commission

2. The EIT shall adopt a consolidated annual activity report, which shall include comprehensive information on the activities conducted by the EIT and the KICs during the preceding calendar year and on the EIT's contribution to the objectives of the Union framework programme supporting research and *innovation*, and to the Union innovation, research and education policies and objectives. It shall also assess the results with respect to the objectives, indicators and timetable set, the risks associated with the activities carried out, the use of resources and the general operation of the EIT. The consolidated annual activity report shall include further comprehensive information in accordance with the EIT's financial rules.

Amendment

2. The EIT shall adopt a consolidated annual activity report, which shall include comprehensive information on the activities conducted by the EIT and the KICs during the preceding calendar year and on the EIT's contribution to the objectives of Horizon Europe, and to the Union innovation, research and education policies and objectives. It shall also assess the results with respect to the objectives, indicators and timetable set, the risks associated with the activities carried out. the use of resources, including its contribution to the climate mainstreaming target under Horizon Europe broken down by KIC, and the general operation of the EIT. The consolidated annual activity report shall include further comprehensive information in accordance with the EIT's financial rules

Amendment 132

Proposal for a regulation Article 18 – paragraph 2 – subparagraph 1 a (new)

Text proposed by the Commission

Amendment

Every year the EIT Director shall present the annual activity report to the competent committees of the European Parliament.

Amendment 133

Proposal for a regulation Article 19 – paragraph 2

2. The Commission shall provide for evaluations of the EIT with the assistance of independent experts selected on the basis of a transparent process in accordance with its financial rules. Those evaluations shall examine how the EIT fulfils its mission, cover all activities of the EIT and the KICs and assess the European added value of the EIT, the impact, effectiveness, sustainability, efficiency and relevance of the activities pursued and their coherence and/or complementarity with relevant national and Union policies, including synergies with other parts of *the* Union framework programme supporting research and innovation . They shall take into account the views of stakeholders, at both European and national level and feed into the Commission programme evaluations provided for in the Union framework programme supporting research and innovation.

Amendment

2 The Commission shall provide for evaluations of the EIT with the assistance of independent experts selected on the basis of a transparent process in accordance with its financial rules. Those evaluations shall examine how the EIT fulfils its mission, cover all activities of the EIT and the KICs and assess the European added value of the EIT, the impact, effectiveness, sustainability, efficiency and relevance of the activities pursued and their coherence and/or complementarity with relevant national and Union policies, including synergies with other parts of Horizon Europe, in particular the EIC, other partnerships and missions. The evaluation shall also assess aspects relating to the impact of RIS and its openness to the participation of new partners. They shall take into account the views of stakeholders, at both European and national level and feed into the Commission programme evaluations provided for in Horizon Europe.

Amendment 134

Proposal for a regulation Article 19 a (new)

Text proposed by the Commission

Amendment

Article 19a

Mid-term review of the EIT

The EIT shall be subject to a thorough mid-term review by the Commission, on the basis of the periodic evaluations referred to in Article 19. It shall be conducted with the assistance of independent experts and carried out no later than three years after the start of the next financial period. That mid-term review shall assess, inter alia:

(a) the results and impacts of the education initiative and its potential continuation;

(b) the effectiveness of the KICs' financial sustainability strategies;

(c) the implementation and impact of the RIS;

(d) the feasibility of further increasing the collaboration between the EIT and all implementing bodies under Pillar III of Horizon Europe in order to examine whether the EIT could play a more horizontal role across all pillars and/or establish a one-stop-shop for innovation with a set of different complementary activities.

Amendment 135

Proposal for a regulation Article 20 – paragraph 1 – subparagraph 2

Text proposed by the Commission

The Union contribution *may* be provided through a financial contribution *from the Union* and other Union programmes, without prejudice to the amounts decided in the respective MFF.

Amendment

The Union contribution *shall* be provided through a financial contribution *of [4 %] of the overall budget of Horizon Europe* and other Union programmes, without prejudice to the amounts decided in the respective MFF. *The EIT may receive additional funding from other Union programmes.*

Amendment 136

Proposal for a regulation Article 24 a (new)

Text proposed by the Commission

Amendment

Article 24a

Transitional Provisions

Agreements concluded by the EIT shall be governed by the rules applicable at the

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Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or because the amendments are inextricably linked to other admissible amendments.

Amendment 137

Proposal for a regulation Annex I – section 1 – point 1 – paragraph 1

Text proposed by the Commission

The Governing Board shall be composed of 15 members, appointed by the Commission, providing a balance between those with experience in business, higher education and research. The term of office of the members of the Governing Board shall be four years. The Commission may extend that term of office once by a twoyear period on the proposal of the Governing Board.

Amendment

The Governing Board shall be composed of 15 members, *including a Member of the EIC*, appointed by the Commission providing a balance between those with experience in business, higher education, *innovation* and research. The term of office of the members of the Governing Board shall be four years. The Commission may extend that term of office once by a two-year period on the proposal of the Governing Board.

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or because the amendments are inextricably linked to other admissible amendments.

Amendment 138

Proposal for a regulation Annex I – section 1 – point 1 – paragraph 2

Text proposed by the Commission

Whenever necessary, the Governing Board shall submit to the Commission a shortlist of candidates for the purpose of appointment of a new member or members. The shortlisted candidates shall be selected on the basis of the Amendment

deleted

outcome of a transparent and open procedure initiated by the EIT.

Amendment 139

Proposal for a regulation Annex I – section 1 – point 1 – paragraph 2 a (new)

Text proposed by the Commission

Amendment

The members of the Governing Board shall be appointed by the Commission in a transparent manner and shall have proven excellent experience in higher education, research, innovation and business. This Appointment shall be made following an open call for expression of interests.

Amendment 140

Proposal for a regulation Annex I – section 1 – point 1 – paragraph 3

Text proposed by the Commission

The Commission shall *have regard to* the balance between higher education, research, innovation and business experience as well as *to* gender and geographical balance and an appreciation of the higher education, research and innovation environment across the Union.

Amendment

The Commission shall *ensure* the balance between higher education, research, innovation and business experience as well as *the* gender and geographical balance and *the*appreciation of the higher education, research and innovation environment across the Union.

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or because the amendments are inextricably linked to other admissible amendments.

Amendment 141

Proposal for a regulation Annex I – section 1 – point 1 – paragraph 3 a (new)

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Amendment

Whenever necessary, the Governing Board shall submit to the Commission a shortlist of candidates for the purpose of appointment of a new member or members. The shortlisted candidates shall be selected on the basis of the outcome of a transparent and open procedure initiated by the EIT.

Amendment 142

Proposal for a regulation Annex I – section 1 – point 1 – paragraph 4 a (new)

Text proposed by the Commission

Amendment

The Commission shall appoint a new member or members in accordance with the procedure laid down in subparagraphs 2 and 3.

Amendment 143

Proposal for a regulation Annex I – section 2 – point 1 – introductory part

Text proposed by the Commission

1. The Governing Board , in exercising its responsibility for steering the activities of the EIT, shall take strategic decisions, in particular:

Amendment 144

Proposal for a regulation Annex I – section 2 – point 1 – point a

Text proposed by the Commission

(a) adopt the EIT's contribution to the Commission's proposal on the EIT's

Amendment

1. The Governing Board, in exercising its responsibility for steering the activities of the EIT, shall take strategic decisions, in particular *it shall*:

Amendment

(a) adopt the EIT's contribution to the Commission's proposal on the EIT's

Strategic Innovation Agenda (SIA);

Strategic Innovation Agenda (SIA) *after taking into account the opinion of the existing KICs*;

Amendment 145

Proposal for a regulation Annex I – section 2 – point 1 – point c

Text proposed by the Commission

(c) adopt criteria and procedures for financing, monitoring and evaluating the activities of the KICs, including the maximum allocation of EIT funds to them ;

Amendment

(c) adopt criteria and procedures for financing, monitoring and evaluating the activities of the KICs, including the maximum allocation of EIT funds to them, *taking into account the requirements set out in Article 11, as well as decide on appropriate corrective measures to be taken in the event of underperformance relating to the KICs' objectives*;

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or because the amendments are inextricably linked to other admissible amendments.

Amendment 146

Proposal for a regulation Annex I – section 2 – point 1 – point d

Text proposed by the Commission

(d) adopt the selection procedure of the KICs;

Amendment

(d) adopt the selection procedure of the KICs and promptly inform the Member States' Representatives Group referred to in Article 6.2, the EIT country liaison officers network, as well as national and regional authorities of that procedure;

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or because the amendments are inextricably linked to other admissible amendments.

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Proposal for a regulation Annex I – section 2 – point 1 – point e

Text proposed by the Commission

(e) select and designate a partnership as a KIC or withdraw the designation where appropriate;

Amendment

(e) select and designate a partnership as a KIC, *based on the principles of excellence, balanced geographical representation, a turnover of partners and innovation, in accordance with criteria set out in Article 9* or withdraw the designation where appropriate;

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or because the amendments are inextricably linked to other admissible amendments.

Amendment 148

Proposal for a regulation Annex I – section 2 – point 1 – point g

Text proposed by the Commission

(g) authorise the Director to extend framework partnership agreements with the KICs beyond the period initially set;

Amendment

(g) authorise the Director to extend framework partnership agreements with the KICs beyond the period initially set *based on a positive outcome of the assessments in accordance with Articles 10 and 11*;

Amendment 149

Proposal for a regulation Annex I – section 2 – point 1 – point j

Text proposed by the Commission

(j) take appropriate measures, including the reduction, modification or withdrawal of the EIT's financial contribution to the KICs or the termination of the framework partnership agreements with them;

Amendment

(j) take appropriate measures, including the reduction, modification or withdrawal of the EIT's financial contribution to the KICs, *in the event of underperformance*, or the termination of the framework partnership agreements with

them based on the outcome of the assessments in accordance with Articles 10, 11 and 17;

Amendment 150

Proposal for a regulation Annex I – section 2 – point 1 – point j a (new)

Text proposed by the Commission

Amendment

(ja) be able to exceptionally request (by two thirds majority) a KIC Supervisory Board Chairpersons or a KIC Chief Executive Officers to step down in case of negligence, underperformance or grave misconduct and issue, if deemed necessary, non-binding recommendations for the KIC concerned with this internal re-organisation;

Amendment 151

Proposal for a regulation Annex I – section 2 – point 1 – point l

Text proposed by the Commission

(1) decide on the design and coordination of support actions undertaken by the KICs for the development of entrepreneurial and innovation capacity of higher education institutions and their integration in innovation ecosystems.

Amendment

(1) decide on the design and coordination of support actions undertaken by the KICs for the development of entrepreneurial and innovation capacity of higher education institutions and *certified training institutions and* their integration in innovation ecosystems.

Amendment 152

Proposal for a regulation Annex I – section 2 – point 1 – point l a (new)

Text proposed by the Commission

Amendment

(la) promote the creation of synergies between the EIT and the KICs and the

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Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or because the amendments are inextricably linked to other admissible amendments.

Amendment 153

Proposal for a regulation Annex I – section 2 – point 2 – introductory part

Text proposed by the Commission

2. The Governing Board shall take other procedural and operational decisions necessary for the fulfilment of its tasks and the activities of the EIT, in particular:

Amendment 154

Proposal for a regulation Annex I – section 2 – point 2 – point c

Text proposed by the Commission

(c) adopt *a* procedure for selecting the Executive Committee ;

Amendment

2. The Governing Board shall take other procedural and operational decisions necessary for the fulfilment of its tasks and the activities of the EIT, in particular *to*:

Amendment

(c) adopt *an open and transparent* procedure for selecting the Executive Committee;

Amendment 155

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Proposal for a regulation Annex I – section 2 – point 3

Text proposed by the Commission

3. The Governing Board shall take decisions in compliance with the Staff Regulations of Officials and the Conditions of Employment of Other Servants of the European Union, laid down in Council Regulation (EEC, Euratom, ECSC) No 259/68³⁵, relating to Amendment

deleted

the EIT staff and the conditions of its employment, in particular:

(a) adopt the implementing measures for giving effect to the Staff Regulations and the Conditions of Employment of Other Servants, in accordance with Article 110(2) of the Staff Regulations;

(b) exercise in accordance with point (c) the powers conferred by the Staff Regulations on the Appointing Authority and by the Conditions of Employment of Other Servants on the Authority Empowered to Conclude a Contract of Employment (the 'appointing authority powers');

(c) adopt, in accordance with Article 110(2) of the Staff Regulations, a decision based on Article 2(1) of the Staff Regulations and Article 6 of the Conditions of Employment of Other Servants, delegating relevant appointing authority powers to the Director and defining the conditions under which this delegation of powers can be suspended. The Director shall be authorised to subdelegate those powers;

(d) adopt a decision to temporarily suspend, where exceptional circumstances so require, the delegation of the appointing authority powers to the Director and those sub-delegated by the latter and exercise them itself or delegate them to one of its members or to a staff member other than the Director.

³⁵ OJ L 56, 4.3, 1968, p. 1.

Amendment 156

Proposal for a regulation Annex I – section 4 – point 2

Text proposed by the Commission

2. The Executive Committee shall

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Amendment

2. The Executive Committee shall

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consist of *four* members and the Chairperson of the Governing Board, who shall also chair the Executive Committee. The *four* members other than the Chairperson shall be chosen by the Governing Board from among the Governing Board's members , providing a balance between those with experience in business, higher education and research. The term of office of the members of the Executive Committee shall be two years, renewable once. consist of *three* members and the Chairperson of the Governing Board, who shall also chair the Executive Committee. The *three* members other than the Chairperson shall be chosen by the Governing Board from among the Governing Board's members, providing a balance between those with experience in business, higher education and research. The term of office of the members of the Executive Committee shall be two years, renewable once.

Amendment 157

Proposal for a regulation Annex I – section 4 – point 10 a (new)

Text proposed by the Commission

Amendment

10a. The Governing Board shall take decisions in accordance with the Staff Regulations of Officials and the Conditions of Employment of Other Servants of the European Union, laid down in Council Regulation (EEC, Euratom, ECSC) No 259/68^{1a}, relating to the EIT staff and the conditions of its employment. In particular it shall:

(a) adopt the implementing measures in order to give effect to the Staff Regulations and the Conditions of Employment of Other Servants, in accordance with Article 110(2) of the Staff Regulations;

(b) in accordance with point (c) of this paragraph, exercise the powers conferred by the Staff Regulations on the Appointing Authority and by the Conditions of Employment of Other Servants on the Authority Empowered to Conclude a Contract of Employment (the 'appointing authority powers');

(c) in accordance with Article 110(2) of the Staff Regulations, adopt a decision based on Article 2(1) of the Staff

Regulations and on Article 6 of the Conditions of Employment of Other Servants, delegating relevant appointing authority powers to the Director and establishing the conditions under which that delegation can be suspended with the Director being authorised to sub-delegate those powers;

(d) adopt a decision to temporarily suspend, where exceptional circumstances so require, the delegation of the appointing authority powers to the Director and those sub-delegated by the latter and exercise them itself or delegate them to one of its members or to a staff member other than the Director.

^{1a} OJ L 56, 4.3.1968, p. 1.

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or because the amendments are inextricably linked to other admissible amendments.

Amendment 158

Proposal for a regulation Annex I – section 5 – point 3

Text proposed by the Commission

3. The term of office of the Director shall be four years. The Governing Board, acting on a proposal from the Commission that takes into account its evaluation of the Director's performance and the EIT's future tasks and challenges, may extend that term of office once for a period of up to *two* years. A Director whose term of office has been extended may not participate in another selection procedure for the same post.

Amendment

3. The term of office of the Director shall be four years. The Governing Board, acting on a proposal from the Commission that takes into account its evaluation of the Director's performance and the EIT's *best interests as well as its* future tasks and challenges, may extend that term of office once for a period of up to *four* years. A Director whose term of office has been extended may not participate in another selection procedure for the same post.

Amendment 159

Proposal for a regulation Annex I – section 5 – point 6 – point e

Text proposed by the Commission

(e) prepare and administer the KICs selection process and ensure that the various stages of that process are carried out in a transparent and objective manner, under the supervision of the Governing Board;

Amendment

(e) prepare and administer the KICs selection process and ensure that the various stages of that process are carried out in a transparent and objective manner, under the supervision of the Governing Board, *annexing a detailed report of the selection process to the consolidated annual activity report*;

Amendment 160

Proposal for a regulation Annex I – section 5 – point 6 – point j

Text proposed by the Commission

(j) ensure the implementation of effective monitoring and evaluation procedures relating to the performance of the EIT in accordance with Article 19, under the supervision of the Governing Board;

Amendment

(j) ensure the implementation of effective monitoring and evaluation procedures relating to the performance of the EIT in accordance with Article 19, *as well as implement appropriate corrective measures in the event of underperformance with regard to the EIT's objectives and target*, under the supervision of the Governing Board;

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or because the amendments are inextricably linked to other admissible amendments.

Amendment 161

Proposal for a regulation Annex I – section 5 – point 6 – point n

Text proposed by the Commission

(n) ensure effective communication

Amendment

(n) ensure effective communication

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with the Union's institutions, under the supervision of the Governing Board;

with the Union's institutions, *by means of annual presentations to the European Parliament and the Council* under the supervision of the Governing Board;

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or because the amendments are inextricably linked to other admissible amendments.

Amendment 162

Proposal for a regulation Annex I – section 6 – point 1

Text proposed by the Commission

1. The staff of the EIT shall consist of personnel employed directly by the EIT . The Staff Regulations, the Conditions of Employment of Other Servants and the rules adopted by agreement between the institutions of the Union for giving effect to them shall apply to the staff of the EIT.

Amendment

1. The staff of the EIT shall consist of personnel employed directly by the EIT . The Staff Regulations, the Conditions of Employment of Other Servants and the rules adopted by agreement between the institutions of the Union for giving effect to them shall apply to the staff of the EIT. *This paragraph shall apply to EIT staff whose contracts expire in 2020.*

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text or because the amendments are inextricably linked to other admissible amendments.

EXPLANATORY STATEMENT

Established in 2008, the European Institute of Innovation and Technology (EIT) aims to boost sustainable European economic growth and competitiveness by reinforcing the innovation capacity of the Member States and the Union. In particular, it reinforces the Union's innovation capacity and addresses societal challenges through the integration of the knowledge triangle of higher education, research and innovation.

The EIT operates through its Knowledge and Innovation Communities (KICs): large-scale European partnerships which address specific societal challenges by bringing together education, research and business organisations, and provides them with grants, whilst monitoring their activities, supporting cross-KIC collaboration and disseminating results and good practices.

The 2008 Regulation set out the EIT's mission and tasks and the framework for its functioning. It was amended in 2013 in order, *inter alia*, to be aligned with Horizon 2020.

On 11 July 2019, the Commission published its new EIT proposals, consisting of a recast proposal on an updated EIT Regulation and a proposal for a decision on new Strategic Innovation Agenda (SIA) for the EIT the period 2021-2027.

Recasting the EIT Regulation consists of adopting of a new Regulation which incorporates in a single text both the substantive amendments which it makes to the existing Regulation and the unchanged provisions of that Regulation. The Commission considered the update to the Regulation necessary as provisions in the existing EIT Regulation made direct reference to Horizon 2020 which ends at the end of the year. The recast proposal aims therefore to ensure the alignment of the EIT Regulation with Horizon Europe, by notably removing references to Horizon 2020 and implementing decisions which will be taken as part of Horizon Europe.

The proposed EIT Regulation focuses on the key principles of the functioning of the EIT and its KICs. It aims to ensure greater legal clarity vis-à-vis Horizon Europe as well as to enshrine the financial sustainability principle for the KICs. For the period 2021-2027, the EIT is integral part of Horizon Europe. The European Commission sets out the draft budget of €3bn for the next MFF as well as its rationale, added value, areas of intervention and broad lines of activity.

The Rapporteur is supportive of the EIT, its mission and achievements to date and, overall, welcomes the Commission's proposal to ensure greater legal clarity vis-à-vis Horizon Europe as well as to enshrine the financial sustainability principle for the KICs. However, the rapporteur has expressed on several occasions serious objections to the Commission's decision of using the Recast procedure, as it's an unnecessary and fictitious limitation placed on the colegislators. Indeed, the rapporteur considers that several substantial aspects of the proposal need to be modified and is therefore proposing amendments covering, among other, the following issues:

The rapporteur **rejects the Commission proposal to make the regulation "time neutral**" and therefore introduces the necessary amendments to modify that. In this regard, the rapporteur proposes several modifications to the text with a view of **increasing alignment with Horizon Europe** in the areas of **simplification** of procedures, clarity and limitations to the type of

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derogations from Horizon Europe rules, increased **cooperation** with the European Innovation Council, among other.

Concerning **transparency and openness**, the rapporteur makes several proposals to improve these aspects within the EIT and KICs' procedures and guidelines as well as in the calls for partners and projects. An improvement in these aspects will also lead to a better spread across the Union of innovation capacity and will work hand in hand with **the Regional Innovation Scheme**.

While considering that the **high autonomy** awarded to the KICs is an essential element to their success, the rapporteur considers that this autonomy must go hand in hand with increased **monitoring and evaluation** of their business plans and outcomes than in the existing legislation. This would also allow to better applying the **principle of financial sustainability taking into account the specificities of each KIC**. To this aim, the rapporteur proposes several amendments.

With regards to the Higher education and training aspects of the proposal, the rapporteur believes that the EIT label should be further promoted by ensuring all higher education degrees and masters participating should get the **EIT label** and that a more work needs to be done to ensure that training and life long learning modules can also use the label.

The European water, marine and maritime sectors are world-leading in terms of science and technology development, as such, there is an excellent level of science, technology and business capacity to innovate through close collaboration with partners across the knowledge triangle with an ample capacity to scale up innovations. The rapporteur therefore considers that **an EIT KIC on Blue New Deal** would address a major socio-economic and relevant societal challenge "creating sustainable, circular and blue economies that are based on healthy water and maritime ecosystems" as defined in Horizon Europe framework programme.

Finally, with regard to resources, the rapporteur reiterates the Parliament's position asking for **4% of the Horizon Europe budget to be allocated to the EIT** and introduces several amendments to ensure that adequate **employment conditions** are ensured at the EIT with a view to maintaining a high standard of expertise and delivering on the additional responsibilities entrusted upon the EIT.

ANNEX: LETTER FROM THE COMMITTEE ON LEGAL AFFAIRS

D(2020)737

Mr Cristian-Silviu BUŞOI Chair of the Committee on Industry, Research and Energy ASP 11E108 Brussels

<u>Subject</u>: Proposal for a regulation of the European Parliament and of the Council on the European Institute of Innovation and Technology (recast) (COM(2019)0331 – C9-0042/2019 – 2019/0151(COD))

Dear Chair,

The Committee on Legal Affairs has examined the proposal referred to above pursuant to Rule 110 on Recasting of Parliament's Rules of Procedure.

Paragraph 3 of that Rule reads as follows:

"If the committee responsible for legal affairs considers that the proposal does not entail any substantive changes other than those identified as such in the proposal, it shall inform the committee responsible for the subject matter thereof.

In such a case, over and above the conditions laid down in Rules 169 and 170, amendments shall be admissible within the committee responsible for the subject-matter only if they concern those parts of the proposal which contain changes.

However, amendments to parts of the proposal which remain unchanged may, by way of exception and on a case-by-case basis, be accepted by the Chair of the committee responsible for the subject matter if he or she considers that this is necessary for pressing reasons relating to the internal logic of the text or because the amendments are inextricably linked to other admissible amendments. Such reasons must be stated in a written justification to the amendments."

Following the opinion of the Consultative Working Party of the Legal Services of the Parliament, the Council and the Commission, which has examined the recast proposal, and in keeping with the recommendations of the rapporteur, the Committee on Legal Affairs considers that the proposal in question does not include any substantive changes other than those identified as such and that, as regards the codification of the unchanged provisions of the earlier acts with those changes, the proposal contains a straightforward codification of the existing texts, without any change in their substance.

The Committee notes, however, that the examination of the proposal resulted in the Consultative Working Party's establishing, by common accord, as follows:

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1. The following should have been marked with the grey-shaded type generally used for identifying substantive changes:

- the deletion of the entire text of recital 6 of Regulation (EC) No 294/2008;

- in Article 6(g), the adding of the final words 'by promoting the KIC's as excellent innovation partners';

- in Article 11(3), the adding of the words '*framework partnership*' before of the final word '*agreement*';

- in Article 17(5), the replacement of the words 'through the general budget of the European Union' with the words 'under another Union programme';

- in point 2(i) of Section 2 of Annex I, the replacement of the words 'language policy' with 'working languages';

- in point 1 of Section 6 of Annex I, the deletion of the words 'the Director'.

2. In Article 11(2), the adding of the word 'Union' should have been identified with adaptation arrows.

3. In Article 22, the existing wording of paragraph 4 of Article 21 of Regulation (EC) No 294/2008, entirely marked with a 'substantive deletion' sign, should have read as follows: 'Upon a recommendation from the Council, the European Parliament shall, before 15 May of the year N + 2, give a discharge for the year N to the Director in respect of the implementation of the EIT budget'.

In conclusion, at its meeting of 9 January 2020, the Committee on Legal Affairs, with 21 votes in favour, none against and 1 abstention¹, recommends that the Committee on Industry, Research and Energy, as the committee responsible, can proceed to examine the above proposal in accordance with Rule 110.

Yours sincerely,

Lucy NETHSINGHA

Encl.: Opinion of the Consultative Working Party.

¹ The following Members were present: Lucy Nethsingha (Chair), Marion Walsmann (Vice-Chair), Ibán García Del Blanco (Vice-Chair), Raffaele Stancanelli (Vice-Chair), Gunnar Beck, Patrick Breyer, Geoffroy Didier, Angel Dzhambazki, Evelyne Gebhardt, Esteban Gonzáles Pons, Jackie Jones, Mislav Kolakušić, Gilles Lebreton, Karen Melchior, Sabrina Pignedoli, Jiří Pospíšil, Franco Roberti, Liesje Schreinemacher, Marie Toussaint, Edina Tóth (for József Szájer pursuant to Rule 209(7)), Bettina Vollath and Axel Voss.

ANNEX: OPINION OF THE CONSULTATIVE WORKING PARTY OF THE LEGAL SERVICES OF THE EUROPEAN PARLIAMENT, THE COUNCIL AND THE COMMISSION



CONSULTATIVE WORKING PARTY OF THE LEGAL SERVICES

Brussels, 7 November 2019

OPINION

FOR THE ATTENTION OF THE EUROPEAN PARLIAMENT THE COUNCIL THE COMMISSION

Proposal for a regulation of the European Parliament and of the Council on the European Institute of Innovation and Technology (recast) COM(2019)0331 of 11.3.2020 – 2019/0151(COD)

Having regard to the Inter-institutional Agreement of 28 November 2001 on a more structured use of the recasting technique for legal acts, and in particular to point 9 thereof, the Consultative Working Party consisting of the respective legal services of the European Parliament, the Council and the Commission met on 18 and 26 September 2019 for the purpose of examining the aforementioned proposal submitted by the Commission.

At those meetings², an examination of the proposal for a Regulation of the European Parliament and of the Council recasting Regulation (EC) No 294/2008 of the European Parliament and of the Council of 11 March 2008 establishing the European Institute of Innovation and Technology resulted in the Consultative Working Party's establishing, by common accord, as follows.

1. The following should have been marked with the grey-shaded type generally used for identifying substantive changes:

- the deletion of the entire text of recital 6 of Regulation (EC) No 294/2008;

- in Article 6(g), the adding of the final words 'by promoting the KIC's as excellent innovation partners';

- in Article 11(3), the adding of the words 'framework partnership' before of the final word

² The Consultative Working Party worked on the basis of the English language version of the proposal, being the master-copy language version of the text under discussion.

'agreement';

- in Article 17(5), the replacement of the words 'through the general budget of the European Union' with the words 'under another Union programme';

- in point 2(i) of Section 2 of Annex I, the replacement of the words 'language policy' with 'working languages';

- in point 1 of Section 6 of Annex I, the deletion of the words 'the Director'.

2. In Article 11(2), the adding of the word 'Union' should have been identified with adaptation arrows.

3. In Article 22, the existing wording of paragraph 4 of Article 21 of Regulation (EC) No 294/2008, entirely marked with a 'substantive deletion' sign, should have read as follows: 'Upon a recommendation from the Council, the European Parliament shall, before 15 May of the year N + 2, give a discharge for the year N to the Director in respect of the implementation of the EIT budget'.

In consequence, examination of the proposal has enabled the Consultative Working Party to conclude, without dissent, that the proposal does not comprise any substantive amendments other than those identified as such. The Working Party also concluded, as regards the codification of the unchanged provisions of the earlier act with those substantive amendments, that the proposal contains a straightforward codification of the existing legal text, without any change in its substance.

| F. DREXLER | T. BLANCHET | L. ROMERO REQUENA |
|--------------|--------------|-------------------|
| Jurisconsult | Jurisconsult | Director General |

9.6.2020

OPINION OF THE COMMITTEE ON CULTURE AND EDUCATION

for the Committee on Industry, Research and Energy

on the proposal for a regulation of the European Parliament and of the Council on the European Institute of Innovation and Technology (recast) (COM(2019)0331 - C9-0042/2019 - 2019/0151(COD))

Rapporteur for opinion: Christian Ehler

(*) Associated committee – Rule 57 of the Rules of Procedure

SHORT JUSTIFICATION

Established in 2008, the European Institute of Innovation and Technology (EIT) aims to boost sustainable European economic growth and competitiveness by reinforcing the innovation capacity of the Member States and the Union. In particular, it reinforces the Union's innovation capacity and addresses societal challenges through the integration of the knowledge triangle of higher education, research and innovation.

The EIT operates through its Knowledge and Innovation Communities (KICs): large-scale European partnerships which address specific societal challenges by bringing together education, research and business organisations, and provides them with grants, whilst monitoring their activities, supporting cross-KIC collaboration and disseminating results and good practices.

The 2008 Regulation set out the EIT's mission and tasks and the framework for its functioning. It was amended in 2013 in order, *inter alia*, to be aligned with Horizon 2020.

On 11 July 2019, the Commission published its new EIT proposals, consisting of a recast proposal on an updated EIT Regulation and a proposal for a decision on new Strategic Innovation Agenda (SIA) for the EIT the period 2021-2027.

Recasting the EIT Regulation consists of adopting of a new Regulation which incorporates in a single text both the substantive amendments which it makes to the existing Regulation and the unchanged provisions of that Regulation. The Commission considered the update to the Regulation necessary as provisions in the existing EIT Regulation made direct reference to Horizon 2020 which ends at the end of the year. The recast proposal aims therefore to ensure the alignment of the EIT Regulation with Horizon Europe, by notably removing references to Horizon 2020 and implementing decisions which will be taken as part of Horizon Europe.

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The proposed EIT Regulation focuses on the key principles of the functioning of the EIT and its KICs. It aims to ensure greater legal clarity vis-à-vis Horizon Europe as well as to enshrine the financial sustainability principle for the KICs. For the period 2021-2027, the EIT is integral part of Horizon Europe. The European Commission sets out the draft HEU budget of €3bn for the next MFF as well as its rationale, added value, areas of intervention and broad lines of activity.

The Rapporteur considers that this legislative package reinforces the EIT's mission whilst aligning its objectives with Horizon Europe by:

- Increasing the regional impact of KICs through improved openness to potential partners and stakeholders, and better articulated KIC regional strategies; including links to the relevant Smart Specialisation Strategies,
- Boosting the innovation capacity of higher education to integrate a wider number of higher education institutions into innovation value chains and ecosystems,
- Launching new KICs in priority fields to be selected according to their relevance to the policy priorities of Horizon Europe. The first new KIC is planned for launch in 2022,
- and would be in the field of Cultural and Creative Sectors (CCS), in line with the Cluster 'Inclusive and Creative Societies' of Horizon Europe as adopted in the partial agreement reached in April 2019.

Overall, the Rapporteur welcomes the effort that the Commission has put into this proposal. Nevertheless, the Rapporteur considers it to be lacking in crucial detail and in legal clarity, and that a recast may not have been the best avenue to follow. In that regard, the Rapporteur suggests a series of amendments, focusing particularly on providing greater legal clarity and certainty to the Regulation.

The main points of the draft opinion are:

- Securing the funding of the EIT with a budget of 4% of the overall budget of Horizon Europe, as adopted by the European Parliament,
- Ensuring the establishment of a Cultural and Creative Sectors (CCS) KIC as a main priority of the EIT for the period 2021-2027 by ensuring in particular sufficient funding for its establishment without jeopardising the financial sustainability of the existing KIC. The Rapporteur would like to stress in particular that all the efforts and resources should be dedicated to the set up of the CCSKIC, before considering the launch of a second new KIC after 2025,
- Clarifying the overall proposal in particular as regards the general and specific objectives and synergies with other programmes.

AMENDMENTS

The Committee on Culture and Education calls on the Committee on Industry, Research and Energy, as the committee responsible, to take into account the following amendments:

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Amendment 1

Proposal for a regulation Recital 5

Text proposed by the Commission

(5)The strategic priority fields and financial needs for the EIT for a period of seven years, covering the respective Multiannual Financial Framework ('MFF'), should be laid down in a Strategic Innovation Agenda ('SIA'). The SIA should ensure alignment with the Union framework programme supporting research and innovation and foster synergies with other relevant Union programmes of the MFF as well as with other Union initiatives, policies and instruments, in particular with those supporting education and regional development. Given the importance of the SIA for the Union innovation policy and the resulting political significance of its socio-economic impact for the Union, the SIA should be adopted by the European Parliament and the Council on the basis of a Commission proposal based on a contribution provided by the EIT.

Amendment

The strategic priority fields and (5) financial needs for the EIT for a period of seven years, covering the respective Multiannual Financial Framework ('MFF'), should be laid down in a Strategic Innovation Agenda ('SIA'). The SIA should ensure alignment with Horizon Europe - the Framework Programme for **Research and Innovation ('Horizon** Europe') and foster synergies with other relevant Union programmes of the MFF as well as with other Union initiatives, policies and instruments, in particular with those supporting education and regional development. Given the importance of the SIA for the Union innovation policy and the resulting political significance of its socio-economic impact for the Union, the SIA should be adopted by the European Parliament and the Council on the basis of a Commission proposal based on a contribution provided by the EIT.

(This amendment applies throughout the text. Adopting it will necessitate corresponding changes throughout.)

Amendment 2

Proposal for a regulation Recital 11 a (new)

Text proposed by the Commission

Amendment

(11a) In order to contribute to addressing new and emerging global challenges, the EIT should launch new KICs in priority fields selected based on

criteria assessing, among other aspects, their relevance to Horizon Europe policy priorities, and their added value and potential to be addressed through the EIT model. The launch of new KICs should take into account the Strategic Planning of Horizon Europe and the budget allocated to the EIT for the respective MFF.

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text.

Amendment 3

Proposal for a regulation Recital 11 b (new)

Text proposed by the Commission

Amendment

(11b) The EIT should foster multidisciplinary and cross-sectoral cooperation as one of the key domains where innovation takes place. It should strive to foster cooperation between the economic, technological, societal and scientific spheres, including the arts and humanities. The EIT should contribute to the emergence of science, technology, engineering, art, and mathematics (STEAM) communities by strengthening the links between the KICs.

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text.

Amendment 4

Proposal for a regulation Recital 11 c (new)

Amendment

(11c) Cultural and Creative Sectors ('CCS') are a sector with a high growth potential, many grass-roots initiatives and strong citizen appeal. They are strongly embedded in their local and regional ecosystems. However, CCS are still a very fragmented sector and the innovators and business creators lack the needed entrepreneurial and innovation skills. A KIC should thus help address such challenges, thanks to its approach of fostering the integration of the knowledge triangle, long-term perspective and placebased approach and with its holistic and integrated approach. CCS also have the strongest complementarity with the eight existing KICs, as well as with the potential priority areas for other European Partnerships to be launched in the framework of Horizon Europe. A first KIC on CCS should therefore be launched in 2022. By covering nearly all sectors of Union citizens' lives, society and economy, such a KIC will be highly relevant in terms of economic and societal impact, unlocking strategic opportunities for economic, technological and social innovation.

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text.

Amendment 5

Proposal for a regulation Recital 11 d (new)

Text proposed by the Commission

Amendment

(11d) An EIT KIC on CCS should empower networking opportunities, collaboration, co-creation and know-how transfer between education, research and

business, within the cultural and creative sectors and with other sectors of the society and the economy. It should catalyse bottom-up and top-down initiatives at regional, national and Union levels. It should also develop the necessary framework conditions for the creation and scale-up of new ventures in innovative ecosystems. It should provide researchers and students in many disciplines (including arts, humanities, business, social sciences and applied hard sciences) and entrepreneurs of the CCS and other sectors with the knowledge and skills necessary to deliver innovative solutions and to turn them into new business opportunities.

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text.

Amendment 6

Proposal for a regulation Article 2 – paragraph 1 – point 1

Text proposed by the Commission

(1) 'innovation' means the process, including its outcome, by which new ideas respond to societal or economic needs and demand and generate new products, services or business and organisational models that are successfully introduced into an existing market or that are able to create new markets and that *provide* value to society;

Amendment

(1) 'innovation' means the process, including its outcome, by which new ideas respond to societal or economic needs and demand and generate new products, services, *processes, experiences* or business and organisational models that are successfully introduced into an existing market or that are able to create new markets and that *deliver* value to *industries and* society;

Justification

This amendment is needed to ensure the internal logic and coherence of the text.

Amendment 7

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Proposal for a regulation Article 2 – paragraph 1 – point 2 a (new)

Text proposed by the Commission

Amendment

(2a) 'co-location centre' means a geographical area where the main knowledge triangle partners of KICs are based and can easily interact, providing the focal point for the KICs' activity in that area;

Justification

This amendment is needed to ensure the internal logic and coherence of the text.

Amendment 8

Proposal for a regulation Article 2 – paragraph 1 – point 3

Text proposed by the Commission

(3) 'partner organisation' means any legal entity which is a member of a KIC and may include, in particular, higher education institutions, vocational education and training providers, research organisations, public *or* private companies, financial institutions, regional and local authorities, foundations and non-profit organisations;

Amendment

(3) 'partner organisation' means any legal entity which is a member of a KIC and may include, in particular, higher education institutions, vocational education and training providers, research organisations, public *institutions, public and* private companies, *social enterprises*, financial institutions, regional and local authorities, foundations and non-profit organisations *from across the Union*;

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text.

Amendment 9

Proposal for a regulation Article 2 – paragraph 1 – point 7

Text proposed by the Commission

(7) 'Strategic Innovation Agenda'
('SIA') means *a policy document outlining the priority fields and* the long-

Amendment

(7) 'Strategic Innovation Agenda'
('SIA') means *an act setting out* the longterm strategy *and priorities* of the EIT *and*

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term strategy of the EIT *for future initiatives,* its capacity to generate the best innovation added-value, *including an overview of planned higher education, research and innovation activities* over a period of seven years, covering the respective MFF; *defining its objectives, key actions,* its capacity to generate the best innovation added-value, *expected results and resources needed* over a period of seven years, covering the respective MFF;

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text.

Amendment 10

Proposal for a regulation Article 2 – paragraph 1 – point 8

Text proposed by the Commission

(8) 'Regional Innovation Scheme' ('RIS') means an outreach scheme targeted at partnerships between higher education institutions, research organisations, companies and other stakeholders, in order to foster innovation across the Union;

Amendment

(8) 'Regional Innovation Scheme' ('RIS') means a scheme fostering the integration of the knowledge triangle and the innovation capacity of moderate and modest innovator countries, in particular by attracting and integrating new partners into the KICs;

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text, in particular for being more precise on the definition of RIS.

Amendment 11

Proposal for a regulation Article 2 – paragraph 1 – point 10

Text proposed by the Commission

(10) 'KIC business plan' means a document describing the objectives and the planned KIC added-value activities;

Amendment

(10) 'KIC business plan' means a document, annexed to the grant agreement, that describes the objectives, the ways to achieve them and the expected results of the KIC for the relevant period and the planned KIC added-value activities, and the related financial needs

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and resources;

Amendment 12

Proposal for a regulation Article 3 – paragraph 1

Text proposed by the Commission

The EIT's mission is to contribute to sustainable Union economic growth and competitiveness by reinforcing the innovation capacity of *the* Member States and the Union *in order to address major challenges faced by the society*. It shall do this by promoting synergies and cooperation among, *and* integrating, higher education, research and innovation of the highest standards, including by fostering entrepreneurship.

Amendment

The EIT's mission is to contribute to addressing major challenges faced by society and to boost sustainable Union economic growth and competitiveness by reinforcing the scientific, economic, technological and societal innovation capacity of all Member States and the Union. It shall do this by promoting synergies and cooperation among partners and across sectors, and by integrating higher education, research and innovation of the highest standards, including by fostering entrepreneurship.

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text.

Amendment 13

Proposal for a regulation Article 3 – paragraph 2

Text proposed by the Commission

The EIT shall contribute to deliver on the general and specific objectives of the *Union framework programme supporting research and innovation*.

Amendment 14

Proposal for a regulation Article 3 a (new)

Amendment

For the period 2021-2027, the EIT shall in particular contribute to deliver on the general and specific objectives of Horizon Europe, taking fully into account its strategic planning.

Text proposed by the Commission

Amendment

Article 3a

General objectives

The general objectives of the EIT shall be:

(a) to strengthen sustainable challenges-based innovation ecosystems throughout the Union that help to tackle global challenges;

(b) to foster the development of entrepreneurial and innovation skills in a lifelong learning perspective, including increasing capacities of Union higher education institutions across the Union, and to support their entrepreneurial transformation; and

(c) to bring new solutions to global and societal challenges to the market.

The EIT shall develop synergies with other Union programmes and shall bring added value within Horizon Europe, as outlined in Annex Ia. The implementation shall take place through support to KICs and EIT-coordinated activities.

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text.

Amendment 15

Proposal for a regulation Article 3 b (new)

Text proposed by the Commission

Amendment

Article 3b

Specific objectives

The specific objectives of the EIT shall be:

(a) to increase the impact of KICs and knowledge triangle integration;

(b) to increase the innovation capacity of the higher education sector by promoting higher education institutions ('HEIs');

(c) to increase the regional outreach of the EIT in order to address disparities in innovation capacity across the Union.

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text.

Amendment 16

Proposal for a regulation Article 4 – paragraph 1

Text proposed by the Commission

1. The SIA shall define the *priority fields and* strategy for the EIT for the seven-year period concerned, *taking into account the Union framework programme supporting research and innovation, and* shall include an assessment of its socioeconomic impact and its capacity to generate the best innovation added-value. The SIA shall take into account the results of the monitoring and evaluation of the EIT as referred to in Article 19.

Amendment

The SIA shall define the strategy 1. and the priorities for the EIT for the seven-year period concerned, in line with the objectives and the priorities of Horizon Europe. It shall include an assessment of its socioeconomic impact and its capacity to generate the best innovation added-value across the Union. The SIA shall be aligned with the objectives, the strategic multiannual planning, reporting, monitoring and evaluation and other requirements of Horizon Europe and shall take into account the results of the monitoring and evaluation of the EIT as referred to in Article 19.

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text.

Amendment 17

Proposal for a regulation Article 4 – paragraph 2

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Text proposed by the Commission

2. The SIA shall *be aligned* with the objectives of the Union framework programme supporting research and innovation, the strategic multiannual planning, reporting, monitoring and evaluation and other requirements of that programme, and foster synergies with other relevant Union programmes of the respective MFF, in particular with those supporting education and regional *development*. It shall *also* establish appropriate synergies and complementarities between the EIT activities and other Union initiatives, policies and instruments.

Amendment

2 The SIA shall *ensure coherence* with the *challenges of Horizon Europe*, as well as complementarity with the **European Innovation Council ('EIC')** established under Horizon Europe. It shall foster and establish appropriate synergies and complementarities between the EIT activities and other Union initiatives, policies and instruments, as well as national and regional programmes supporting research and innovation, education and skills development, sustainable and competitive industry, entrepreneurship, and regional development.

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text.

Amendment 18

Proposal for a regulation Article 4 – paragraph 3

Text proposed by the Commission

3. The SIA shall include an estimate of financial needs and sources in view of the future operation, long-term development and funding of the EIT. It shall also contain an indicative financial plan covering the period of the respective MFF.

Amendment

3. The SIA shall include an estimate of *the* financial needs *arising from the implementation of the set strategy* and sources in view of the future operation, long-term development and funding of the EIT. It shall also contain an indicative financial plan covering the period of the respective MFF.

Justification

This amendment is needed to ensure the internal logic and coherence of the text.

Amendment 19

Proposal for a regulation

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Article 6 – paragraph 1 – point b

Text proposed by the Commission

(b) raise awareness among potential partner organisations and encourage their participation in its activities;

Amendment

(b) raise awareness among potential partner organisations and encourage their participation in its activities, *especially from Member States that are vastly underrepresented in EIT*;

Justification

This amendment is needed to ensure the internal logic and coherence of the text.

Amendment 20

Proposal for a regulation Article 6 – paragraph 1 – point c

Text proposed by the Commission

(c) *select and designate* KICs in the priority fields in accordance with Article 9 and define their rights and obligations by framework partnership agreements and grant agreements , provide them with appropriate support, apply appropriate quality control measures and continuously monitor and periodically evaluate their activities;

Amendment

(c) launch new KICs, taking into account the Strategic Planning of Horizon Europe and the budget allocated to the EIT for the period of the respective *MFF*, in the priority fields *selected based* on criteria assessing, inter alia, their relevance to Horizon Europe policy priorities, and their added value and potential, in order to tackle future and emerging global and societal challenges, in accordance with Article 9, and define their rights and obligations by framework partnership agreements and grant agreements, provide them with appropriate support, apply appropriate quality control measures, and continuously monitor and periodically evaluate their activities;

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text.

Amendment 21

Proposal for a regulation Article 6 – paragraph 1 – point e

Text proposed by the Commission

(e) strengthen the recognition outside the EIT Community of degrees and diplomas which are awarded by participating higher education institutions and *which may be labelled EIT degrees and diplomas and* extend them to lifelong learning programmes ;

Amendment

(e) strengthen the recognition *and the promotion* outside the EIT Community of *EIT-labelled* degrees and diplomas which are awarded by participating higher education institutions and extend them to lifelong learning programmes;

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text.

Amendment 22

Proposal for a regulation Article 6 – paragraph 1 – point f

Text proposed by the Commission

(f) promote the dissemination of best practices for the integration of the knowledge triangle, including among KICs, in order to develop a common innovation and knowledge transfer culture, and encourage participation in outreach activities, *including in the RIS*;

Amendment

(f) promote the dissemination of best practices for the integration of the knowledge triangle, including among KICs *and across the Union inter alia through the EIT RIS*, in order to develop a common innovation and knowledge transfer culture, and encourage participation in outreach activities;

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text.

Amendment 23

Proposal for a regulation Article 6 – paragraph 1 – point i Text proposed by the Commission

(i) ensure complementarity and synergy between EIT activities and other Union programmes, *where appropriate*; Amendment

(i) ensure complementarity and synergy between EIT activities and other Union programmes;

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text.

Amendment 24

Proposal for a regulation Article 7 – paragraph 1 – introductory part

Text proposed by the Commission

1. *KICs* shall *in particular undertake*:

Amendment

1. The EIT shall consolidate existing KICs, foster their growth and impact, and accompany their transition to financial sustainability at the end of framework partnership agreements. The EIT shall provide support to KICs that are running portfolios of knowledge triangle activities through:

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text.

Amendment 25

Proposal for a regulation Article 7 – paragraph 1 – point a

Text proposed by the Commission

(a) innovation activities and investments with European added value , including *the* support *to* the creation *and* development of innovative businesses, fully integrating the higher education and research dimensions to attain a critical mass and stimulating the dissemination and

Amendment

(a) innovation activities and investments with European added value, including *facilitating* support *for* the creation *of innovative start-ups and social ventures, and the* development of innovative businesses *and other organisational forms, in complementarity with the EIC and the InvestEU*,

Erasmus+ and Creative Europe

programmes, fully integrating the higher education and research dimensions to attain a critical mass and stimulating the dissemination and exploitation of results;

Justification

This amendment is necessary for pressing reasons related to the internal logic of the text.

Amendment 26

Proposal for a regulation Article 7 – paragraph 1 – point b

Text proposed by the Commission

(b) innovation-driven experimentation, prototyping and demonstration in areas of key economic *and* societal interest and drawing on the results of Union and national research, with the potential to strengthen Union's competitiveness at international level and find solutions for the major challenges faced by European society;

Amendment

(b) innovation-driven experimentation, prototyping and demonstration in areas of key economic, societal *and cultural* interest and drawing on the results of Union and national research, with the potential to strengthen Union's competitiveness at international level and find solutions for the major challenges faced by European society;

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text.

Amendment 27

Proposal for a regulation Article 7 – paragraph 1 – point c

Text proposed by the Commission

(c) education and training activities in particular at masters and doctoral level, as well as professional training courses, in disciplines with the potential to meet future European socio-economic needs and which expand the Union's talent base, promote the development of innovation-related

Amendment

(c) education and training activities *with strong entrepreneurship components* in particular at masters and doctoral level, as well as professional training courses, in disciplines with the potential to meet future European socio-economic needs and which expand the Union's talent base, promote

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skills, the improvement of managerial and entrepreneurial skills and the mobility of researchers and students, and foster knowledge-sharing, mentoring and networking among the recipients of *EITlabelled degrees* and training; the development of innovation-related skills, the improvement of managerial and entrepreneurial skills and the mobility of researchers and students, and foster knowledge-sharing, mentoring and networking among the recipients of *EIT education* and training *activities, including EIT-labelled activities*;

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text.

Amendment 28

Proposal for a regulation Article 7 – paragraph 1 – point d

Text proposed by the Commission

(d) outreach activities and the dissemination of best practices in the innovation *sector* with a focus on the development of cooperation between higher education, research and business, including the service and financial sectors;

Amendment

(d) outreach activities and the dissemination of best practices in the *field of* innovation with a focus on the development of cooperation between higher education, research and business, including the service and financial sectors;

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text.

Amendment 29

Proposal for a regulation Article 7 – paragraph 1 – point d a (new)

Text proposed by the Commission

Amendment

(da) support actions bringing together in projects HEIs and other key innovation players such as businesses to work on strategic capacity development areas, in order to support HEIs to integrate better in innovation value chains and ecosystems;

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text.

Amendment 30

Proposal for a regulation Article 7 – paragraph 1 – point e

Text proposed by the Commission

(e) *to seek* synergies and complementarities between KIC activities and existing European, national and regional programmes, *where appropriate*. Amendment

(e) synergies and complementarities between KIC activities and existing European, national and regional programmes.

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text.

Amendment 31

Proposal for a regulation Article 7 – paragraph 2 – point b

Text proposed by the Commission

(b) ensure their openness to new members whenever they add value to the partnership;

Amendment

(b) ensure their openness to new members *from across the Union* whenever they add value to the partnership *so as to ensure geographical balance*;

Justification

This amendment is needed to ensure the internal logic and coherence of the text.

Amendment 32

Proposal for a regulation Article 7 – paragraph 2 – point e

Text proposed by the Commission

(e) establish and implement strategies

Amendment

(e) establish and implement strategies

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for financial sustainability.

Justification

This amendment is needed to ensure the internal logic and coherence of the text.

Amendment 33

Proposal for a regulation Article 9 – paragraph 1

Text proposed by the Commission

1. A partnership shall be selected and designated by the EIT to become a KIC following a competitive, open and transparent procedure. The criteria of the Union framework programme supporting research and innovation for the selection of European partnerships shall apply. The EIT Governing Board may further specify those criteria, by adopting and publishing criteria for the selection of KICs based on the principles of excellence and innovation relevance.

Amendment

1. A partnership shall be selected and designated by the EIT to become a KIC following a competitive, open and transparent procedure, *respecting geographical balance*. The criteria of the Union framework programme supporting research and innovation for the selection of European partnerships shall apply. The EIT Governing Board may further specify those criteria, by adopting and publishing criteria for the selection of KICs based on the principles of excellence and innovation relevance.

Justification

This amendment is needed to ensure the internal logic and coherence of the text.

Amendment 34

Proposal for a regulation Article 9 a (new)

Text proposed by the Commission

Amendment

Article 9a

Launch of a new KIC in the field of Cultural and Creative Sectors

1. During the period from 2021 to 2027, a new KIC in the field of Cultural and Creative Sectors ('CCS') shall be launched, to harness the potential of culture-based creativity and help

strengthen Europe's competitiveness and smart growth and address societal challenges.

2. The KIC on CCS shall:

(a) foster technical innovation by connecting business and research across sectors and disciplines at local, regional, national and Union levels;

(b) train the next generation of innovators in the CCS by equipping them with the necessary entrepreneurial, technical and digital skills needed to thrive in a fast changing environment;

(c) contribute to the development of the right framework conditions to transform ideas into new technological developments and social innovation that will improve the quality of life and benefit Union citizens;

(d) provide the environment to ensure that a framework for the certification of artistic, cultural and creative competences and studies is developed, as well as the concomitant recognition of the titles and other skills between Member States, fostering mobility, visibility and access to opportunities without discrimination to Union citizens exercising their right to freely move;

e) foster the creation and development of new ventures in the CCS by mobilising investment, necessary resources and long-term commitment from the business sector complementary with other tools;

f) establish synergies with the existing KICs, as well as with other European partnerships, programmes and initiatives to drive innovation beyond CCS in other sectors of the economy; and

(g) strengthen the Union position as a global actor in CCS by harnessing creativity and cultural diversity of the Union's citizens and by fostering visibility and promotion of the European culture

and innovation globally.

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text.

Amendment 35

Proposal for a regulation Article 10 – paragraph 1

Text proposed by the Commission

The EIT shall, on the basis of indicators set out, inter alia, in the Union framework programme supporting research and innovation and in the SIA, and in close cooperation with the Commission, organise continuous monitoring and periodic external evaluations of the output, results and impact of each KIC. The results of such monitoring and evaluations shall be reported to the European Parliament and to the Council and shall be made public.

Amendment

The EIT shall, on the basis of indicators set out, inter alia, in the Union framework programme supporting research and innovation and in the SIA, and in close cooperation with the Commission, organise continuous monitoring and periodic external evaluations of the output, *performance*, results and impact of each KIC. The results of such monitoring and evaluations shall be reported to the European Parliament and to the Council and shall be made public.

Justification

This amendment is needed to ensure the internal logic and coherence of the text.

Amendment 36

Proposal for a regulation Article 16 – paragraph 1

Text proposed by the Commission

1. The EIT and the KICs shall ensure that their activities are conducted with a high level of transparency. In particular, the EIT and the KICs shall set up an accessible, free website providing information about their activities .

Amendment

1. The EIT and the KICs shall ensure that their activities are conducted with a high level of transparency. In particular, the EIT and the KICs shall set up an *easily* accessible, free website providing *comprehensive and up-to-date* information about their activities.

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Justification

This amendment is needed to ensure the internal logic and coherence of the text.

Amendment 37

Proposal for a regulation Article 18 – paragraph 1 – point c

Text proposed by the Commission

(c) appropriate indicators for monitoring the KICs and EIT activities using an impact-oriented approach; Amendment

(c) appropriate indicators for monitoring *and evaluating* the KICs and EIT activities using an impact-oriented approach;

Justification

This amendment is needed to ensure the internal logic and coherence of the text.

Amendment 38

Proposal for a regulation Article 19 – paragraph 1

Text proposed by the Commission

1. The EIT shall ensure that its activities, including those managed through KICs, shall be subject to continuous and systematic monitoring and *periodic* independent evaluation in accordance with its financial rules , to ensure both the highest quality of outcome, scientific excellence and the most efficient use of resources. The outcomes of the evaluations shall be made public.

Amendment

1. The EIT shall ensure that its activities, including those managed through KICs, shall be subject to continuous and systematic monitoring and independent evaluation in accordance with its financial rules, to ensure both the highest quality of outcome, scientific excellence and the most efficient use of resources. The outcomes of the evaluations shall be made public, *inter alia, through publication on the website referred to in Article 16(1) of this Regulation*.

Justification

This amendment is needed to ensure the internal logic and coherence of the text.

Amendment 39

Proposal for a regulation Article 19 – paragraph 2

Text proposed by the Commission

2. The Commission shall provide for evaluations of the EIT with the assistance of independent experts selected on the basis of a transparent process in accordance with its financial rules. Those evaluations shall examine how the EIT fulfils its mission, cover all activities of the EIT and the KICs and assess the European added value of the EIT, the impact, effectiveness, sustainability, efficiency and relevance of the activities pursued and their coherence and/or complementarity with relevant national and Union policies, including synergies with other parts of the Union framework programme supporting research and innovation . They shall take into account the views of stakeholders, at both European and national level and feed into the Commission programme evaluations provided for in the Union framework programme supporting research and innovation.

Amendment

2. The Commission shall provide for evaluations of the EIT with the assistance of independent experts with relevant qualifications selected on merit and on the basis of a transparent process in accordance with its financial rules. Those evaluations shall examine how the EIT fulfils its mission, cover all activities of the EIT and the KICs and assess the European added value of the EIT, the impact, effectiveness, sustainability, efficiency and relevance of the activities pursued and their coherence and/or complementarity with relevant national and Union policies, including synergies with other parts of the Union framework programme supporting research and innovation. They shall take into account the views of stakeholders, at both European and national level and feed into the Commission programme evaluations provided for in the Union framework programme supporting research and innovation.

Justification

This amendment is needed to ensure the internal logic and coherence of the text.

Amendment 40

Proposal for a regulation Article 19 – paragraph 3

Text proposed by the Commission

3. The Commission may carry out further evaluations of themes or topics of strategic relevance, with the assistance of independent experts selected on the basis of a transparent process, to examine the

Amendment

3. The Commission may carry out further evaluations of themes or topics of strategic relevance, with the assistance of independent experts *with relevant qualifications* selected *on merit and* on the progress made by the EIT towards the objectives set, identify the factors contributing to the implementation of the activities and identify best practices. By carrying out those further evaluations , the Commission shall fully consider the administrative impact on the EIT and the KICs. basis of a transparent process, to examine the progress made by the EIT towards the objectives set, identify the factors contributing to the implementation of the activities and identify best practices. By carrying out those further evaluations, the Commission shall fully consider the administrative impact on the EIT and the KICs.

Justification

This amendment is needed to ensure the internal logic and coherence of the text.

Amendment 41

Proposal for a regulation Article 20 – paragraph 1 – subparagraph 1 a (new)

Text proposed by the Commission

Amendment

For the period from 1 January 2021 to 31 December 2027, the Union contribution shall be provided through a financial contribution of 4 % from the overall budget for Horizon Europe.

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text.

Amendment 42

Proposal for a regulation Article 20 – paragraph 1 – subparagraph 2

Text proposed by the Commission

The Union contribution may be provided through a financial contribution from the Union framework programme supporting research and innovation and other Union programmes, without prejudice to the amounts decided in the respective MFF. Amendment

The EIT may receive additional financial *resources from* other Union programmes.

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Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text.

Amendment 43

Proposal for a regulation Annex I – section 2 – point 1 – point j

Text proposed by the Commission

(j) take appropriate measures, including the reduction, modification or withdrawal of the EIT's financial contribution to the KICs or the termination of the framework partnership agreements with them;

Amendment

(j) take appropriate measures, including the reduction, modification or withdrawal of the EIT's financial contribution to the KICs *after providing sufficient justification* or the termination of the framework partnership agreements with them;

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text.

Amendment 44

Proposal for a regulation Annex I – section 6 – point 1

Text proposed by the Commission

1. The staff of the EIT shall consist of personnel employed directly by the EIT . The Staff Regulations, the Conditions of Employment of Other Servants and the rules adopted by agreement between the institutions of the Union for giving effect to them shall apply to the staff of the EIT.

Amendment

1. The staff of the EIT shall consist of personnel employed directly by the EIT. The Staff Regulations, the Conditions of Employment of Other Servants and the rules adopted by agreement between the institutions of the Union for giving effect to them shall apply to the staff of the EIT. *As a transitionary measure, this provision shall apply to the staff of the EIT whose contracts expire in 2020.*

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text.

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Amendment 45

Proposal for a regulation Annex I a (new)

Text proposed by the Commission

Amendment

ANNEX IA

SYNERGIES WITH OTHER UNION PROGRAMMES

Building on its broad scope of action and distinctive role, the EIT shall create synergies and provide complementarities with other Union programmes or instruments, including by reinforcing its support to KICs in their planning and implementing activities.

1. Synergies with Horizon Europe: The EIT shall create strong synergies with the entire Horizon Europe programme. In particular, synergies with the EIC will be key for the impact of the **Open Innovation Pillar, as well as the** support to ecosystems. In the [Global **Challenges and European Industrial** Competitiveness/ Pillar, through its KICs, the EIT can collaborate with European Partnerships, contribute to missions, support demand-side measures and provide exploitation services to boost technology transfer and accelerate the commercialisation of results achieved by the thematic clusters or other European partnerships. The EIT shall intensify its efforts to capitalise on synergies and complementarities between existing KICs and with different actors and initiatives at Union and global levels and extend its network of collaborating organisations at both strategic and operational levels, while avoiding duplications, through:

- close cooperation with the EIC and InvestEU in streamlining the support (i.e. funding and services) offered to innovative ventures in both start-up and scale-up stages, in particular through KICs;

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- planning and implementation of EIT activities in order to maximise synergies and complementarities with other parts of Horizon Europe;

- engaging with Member States, at both national and regional level, establishing a structured dialogue and coordinating efforts to enable synergies with national and regional initiatives, including smart specialisation strategies, also considering doing so through the implementation of the "European Innovation Ecosystems", in order to identify, share and disseminate best practices and learnings;

- sharing and disseminating innovative practices and learnings throughout the Union and beyond, so as to contribute to innovation policy in the Union in coordination with other parts of Horizon Europe;

- provision of input to innovation policy discussions and contribution to the design and implementation of Union policy priorities by continuously working with all relevant European Commission services, other Union programmes and their stakeholders, and further exploring opportunities within policy implementing initiatives;

- exploitation of synergies with other Union programmes, including those supporting human capital development and innovation (such as European Cooperation in Science and Technology (COST), the European Social Fund Plus (ESF+), the European Regional Development Fund (ERDF), the Erasmus+ programme, the Creative Europe programme, the COSME Plus/Single Market programme, the InvestEU programme);

- building strategic alliances with key innovation actors at Union and international level, and support to KICs to develop collaboration and links with key knowledge triangle partners from third

countries, with the aim of opening new markets or opportunities for solutions supported by KICs and attracting financing and talents from outside the Union. The participation of third countries shall be promoted with regard to the principles of reciprocity and mutual benefits.

Moreover, the KIC on CCS shall establish strong synergies with relevant policy initiatives under Horizon Europe, and in particular under Pillar II with the cluster [Inclusive and Secure Society] and its areas of intervention on Cultural Heritage and Democracy. The KIC on CCS shall also endeavour to efficiently complement other parts of Horizon Europe and the intervention of the existing EIT Digital.

Synergies with Erasmus+: 2. Erasmus+ and the EIT shall establish synergies between their respective communities. Cooperation shall be targeted towards ensuring access for Erasmus+ learners participating in KIC partner education and training institutions to KICs' summer schools or other relevant training activities (for instance, on entrepreneurship and innovation management) and establishing contacts with the KICs' alumni network. Synergies shall be ensured, where possible, with the European Universities initiative, to help mainstream EIT's educational and training activities to reach a systemic impact.

3 Synergies with Digital Europe programme: KICs' co-location centres shall collaborate with the European Digital Innovation Hubs to support the digital transformation of the industry and public sector organisations. The KIC on CCS could also provide valuable horizontal inputs across various activities to be carried out in the cluster [Digital and Industry], in particular as regards the manufacturing technologies in which the need to develop new products rely heavily

on CCS.

4. Synergies with Cohesion Policy Funds (in particular ERDF and ESIF+): EIT KICs, through their co-location centres, shall promote regional and crossregional cooperation between the knowledge triangle actors (education, research, business) and managing authorities, in synergy with the work of the European Commission on interregional cooperation and investments in related smart specialisation priorities.

5. Synergies with InvestEU: EIT KICs shall seek the collaboration of the InvestEU Advisory Hub to provide technical support and assistance to KICsbacked ventures for the preparation, development, and implementation of projects. In the context of limited access to finance for the cultural and creative sectors, synergies shall be sought with the Cultural and Creative Sector Guarantee Facility, a financial mechanism to help scale up cultural and creative projects by providing insurance to financial intermediaries.

6. Synergies with Creative Europe: The Creative Europe programme is specifically relevant for the activities of the KIC on CCS, as its strands and special calls reflect some of the challenges facing the sector. Therefore, strong synergies and complementarities between the KIC on CCS and Creative Europe shall be developed.

Justification

This amendment is necessary for pressing reasons relating to the internal logic of the text.

PROCEDURE – COMMITTEE ASKED FOR OPINION

| Title | European Institute of Innovation and Technology (recast) | |
|---|---|--|
| References | COM(2019)0331 - C9-0042/2019 - 2019/0151(COD) | |
| Committee responsible Date announced in plenary | ITRE 18.7.2019 | |
| Opinion by Date announced in plenary | CULT 18.7.2019 | |
| Associated committees - date announced in plenary | 19.12.2019 | |
| Rapporteur Date appointed | Christian Ehler 18.9.2019 | |
| Discussed in committee | 21.1.2020 28.5.2020 | |
| Date adopted | 2.6.2020 | |
| Result of final vote | $\begin{array}{cccc} +: & 26 \\ -: & 0 \\ 0: & 3 \end{array}$ | |
| Members present for the final vote | Asim Ademov, Isabella Adinolfi, Christine Anderson, Vlad-Marius Botoş, Ilana Cicurel, Gilbert Collard, Gianantonio Da Re, Laurence Farreng, Tomasz Frankowski, Alexis Georgoulis, Irena Joveva, Niyazi Kizilyürek, Predrag Fred Matić, Dace Melbārde, Victor Negrescu, Niklas Nienaß, Peter Pollák, Marcos Ros Sempere, Domènec Ruiz Devesa, Andrey Slabakov, Massimiliano Smeriglio, Michaela Šojdrová, Sabine Verheyen, Salima Yenbou, Milan Zver | |
| Substitutes present for the final vote | Isabel Benjumea Benjumea, Christian Ehler, Łukasz Kohut | |
| Substitutes under Rule 209(7) present for the final vote | Angel Dzhambazki | |

FINAL VOTE BY ROLL CALL IN COMMITTEE ASKED FOR OPINION

| 26 | + |
|-----------|--|
| PPE | Asim Ademov, Isabel Benjumea Benjumea, Christian Ehler, Tomasz Frankowski, Peter Pollák, Michaela Šojdrová, Sabine Verheyen, Milan Zver |
| S&D | Domènec Ruiz Devesa, Łukasz Kohut, Predrag Fred Matić, Victor Negrescu, Marcos Ros Sempere, Massimiliano Smeriglio |
| RENEW | Vlad-Marius Botoş, Ilana Cicurel, Laurence Farreng, Irena Joveva |
| VERTS/ALE | Niklas Nienaß, Salima Yenbou |
| ECR | Angel Dzhambazki, Dace Melbārde, Andrey Slabakov |
| GUE/NGL | Alexis Georgoulis, Niyazi Kizilyürek |
| NI | Isabella Adinolfi |

| 0 | - |
|---|---|
| | |

| 3 | 0 |
|----|--|
| ID | Christine Anderson, Gilbert Collard, Gianantonio Da Re |

Key to symbols: +: in favour

-: against

0: abstention

PROCEDURE – COMMITTEE RESPONSIBLE

| Title | European Institute of Innovation and Technology (recast) | |
|---|---|--|
| References | COM(2019)0331 - C9-0042/2019 - 2019/0151(COD) | |
| Date submitted to Parliament | 11.7.2019 | |
| Committee responsible Date announced in plenary | ITRE 18.7.2019 | |
| Committees asked for opinions Date announced in plenary | BUDGCONTCULT18.7.201918.7.201918.7.2019 | |
| Not delivering opinions Date of decision | BUDG CONT 23.7.2019 4.12.2019 | |
| Associated committees Date announced in plenary | CULT 19.12.2019 | |
| Rapporteurs Date appointed | Marisa Matias 11.9.2019 | |
| Discussed in committee | 4.12.2019 28.4.2020 | |
| Date adopted | 16.6.2020 | |
| Result of final vote | $\begin{array}{cccc} +: & 56 \\ -: & 0 \\ 0: & 13 \end{array}$ | |
| Members present for the final vote | Nicola Beer, François-Xavier Bellamy, Hildegard Bentele, Tom Berendsen, Vasile Blaga, Manuel Bompard, Paolo Borchia, Marc Botenga, Markus Buchheit, Klaus Buchner, Martin Buschmann, Cristian-Silviu Buşoi, Jerzy Buzek, Carlo Calenda, Andrea Caroppo, Maria da Graça Carvalho, Ignazio Corrao, Ciarán Cuffe, Josianne Cutajar, Nicola Danti, Pilar del Castillo Vera, Christian Ehler, Valter Flego, Niels Fuglsang, Lina Gálvez Muñoz, Claudia Gamon, Jens Geier, Nicolás González Casares, Bart Groothuis, Christophe Grudler, András Gyürk, Henrike Hahn, Robert Hajšel, Ivo Hristov, Ivars Ijabs, Romana Jerković, Eva Kaili, Seán Kelly, Izabela-Helena Kloc, Łukasz Kohut, Zdzisław Krasnodębski, Andrius Kubilius, Miapetra Kumpula- Natri, Thierry Mariani, Marisa Matias, Eva Maydell, Georg Mayer, Joëlle Mélin, Iskra Mihaylova, Dan Nica, Angelika Niebler, Ville Niinistö, Aldo Patriciello, Mauri Pekkarinen, Tsvetelina Penkova, Morten Petersen, Markus Pieper, Clara Ponsatí Obiols, Jérôme Rivière, Robert Roos, Sara Skyttedal, Maria Spyraki, Jessica Stegrud, Beata Szydło, Riho Terras, Grzegorz Tobiszowski, Patrizia Toia, Evžen Tošenovský, Marie Toussaint, Isabella Tovaglieri, Henna Virkkunen, Pernille Weiss, Carlos Zorrinho | |
| Substitutes present for the final vote | Rasmus Andresen, Damien Carême, Susana Solís Pérez, Viola Von Cramon-Taubadel | |
| Date tabled | 19.6.2020 | |

FINAL VOTE BY ROLL CALL IN COMMITTEE RESPONSIBLE

| 56 | + |
|--------|--|
| ЕРР | François-Xavier Bellamy, Hildegard Bentele, Tom Berendsen, Vasile Blaga, Jerzy Buzek, Maria Da Graça Carvalho, Pilar Del Castillo Vera, Christian Ehler, András Gyürk, Seán Kelly, Andrius Kubilius, Eva Maydell, Angelika Niebler, Aldo Patriciello, Markus Pieper, Sara Skyttedal, Riho Terras, Henna Virkkunen, Pernille Weiss |
| S&D | Carlo Calenda, Josianne Cutajar, Niels Fuglsang, Lina Gálvez Muñoz, Nicolás González Casares, Robert Hajšel, Ivo Hristov, Romana Jerković, Eva Kaili, Łukasz Kohut, Dan Nica, Tsvetelina Penkova, Patrizia Toia, Carlos Zorrinho |
| RENEW | Nicola Beer, Nicola Danti, Valter Flego, Claudia Gamon, Bart Groothuis, Ivars Ijabs, Iskra Mihaylova, Mauri Pekkarinen, Morten Petersen, Susana Solís Pérez |
| Greens | Rasmus Andresen, Klaus Buchner, Damien Carême, Ciarán Cuffe, Henrike Hahn, Ville Niinistö, Marie Toussaint, Viola Von Cramon-Taubadel |
| GUE | Manuel Bompard, Marc Botenga, Marisa Matias |
| NI | Ignazio Corrao, Clara Ponsatí Obiols |

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|---|---|
| | |

| 13 | 0 |
|-----|--|
| ID | Paolo Borchia, Markus Buchheit, Andrea Caroppo, Thierry Mariani, Georg Mayer, Joëlle Mélin, Isabella Tovaglieri |
| ECR | Izabela-Helena Kloc, Zdzisław Krasnodębski, Robert Roos, Jessica Stegrud, Beata Szydło, Grzegorz Tobiszowski |

Key to symbols: + : in favour - : against

- 0 : abstention