

Sheinberg, Samuel I.

From: HSRHelp
Sent: Tuesday, August 13, 2024 2:38 PM
To: Walsh, Kathryn E.; Berg, Karen E.; Musick, Vesselina; Shaffer, Kristin; Sheinberg, Samuel I.; Six, Anne; Fetterman, Michelle; Burton, June; Larson, Peter
Subject: FW: Question about Form

From: Whitehead, Nora <nwhitehead@ftc.gov>
Sent: Tuesday, August 13, 2024 2:38:05 PM (UTC-05:00) Eastern Time (US & Canada)
To: [REDACTED]
Cc: HSRHelp <HSRHelp@ftc.gov>
Subject: RE: Question about Form

[REDACTED]

Please list both names in Items 1 and 3a, and "none" in 6b. If desired, you may also add an end note clarifying which spouse owns what.

From: HSRHelp <HSRHelp@ftc.gov>
Sent: Tuesday, August 13, 2024 9:54 AM
To: Walsh, Kathryn E. <kwalsh@ftc.gov>; Berg, Karen E. <KBERG@ftc.gov>; Musick, Vesselina <vmusick@ftc.gov>; Shaffer, Kristin <kshaffer@ftc.gov>; Sheinberg, Samuel I. <SSHEINBERG@ftc.gov>; Six, Anne <asix@ftc.gov>; Whitehead, Nora <nwhitehead@ftc.gov>; Fetterman, Michelle <mfetterman@ftc.gov>; Burton, June <jburton@ftc.gov>; Larson, Peter <plarson@ftc.gov>
Subject: FW: Question about Form

From: [REDACTED]
Sent: Tuesday, August 13, 2024 9:53:58 AM (UTC-05:00) Eastern Time (US & Canada)
To: HSRHelp <HSRHelp@ftc.gov>
Subject: Question about Form

[REDACTED]

Hi,
Pardon a couple of basic questions. John Smith, a natural person, controls the acquired entity by virtue of holding 75% of the voting securities. Jane Smith, his wife, holds the remaining 25%. I have two questions:

1. Do I list the Acquired Person in Items 1 and 3(a) as John Smith, or as John and Jane Smith?
2. Do I list Jane Smith's holdings in Item 6(b), or report "none" (since holdings of spouses are holdings of each of them)?

Thanks in advance!

Best,

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]