

# ***Swiss Public Assessment Report***

## ***Extension of therapeutic indication***

### **Rinvoq**

**International non-proprietary name:** upadacitinib as upadacitinib hemihydrate

**Pharmaceutical form:** prolonged-release tablets

**Dosage strength(s):** 15 mg, 30 mg, 45 mg

**Route(s) of administration:** oral use

**Marketing authorisation holder:** AbbVie AG

**Marketing authorisation no.:** 67257

**Decision and decision date:** extension of therapeutic indication approved on  
07.06.2024

#### **Note:**

This assessment report is as adopted by Swissmedic with all information of a commercially confidential nature deleted.

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## 1 Terms, Definitions, Abbreviations

ADME	Absorption, distribution, metabolism, elimination
AE	Adverse event
ALT	Alanine aminotransferase
AP	Abdominal pain
API	Active pharmaceutical ingredient
AST	Aspartate aminotransferase
ATC	Anatomical Therapeutic Chemical Classification System
AUC	Area under the plasma concentration-time curve
AUC <sub>0-24h</sub>	Area under the plasma concentration-time curve for the 24-hour dosing interval
BID	Twice daily (bis in die)
BIO-IR	Biologic therapy-intolerant or inadequate responder
CD	Crohn's disease
CDAI	Crohn's disease activity index
CI	Confidence interval
C <sub>max</sub>	Maximum observed plasma/serum concentration of drug
CYP	Cytochrome P450
EMA	European Medicines Agency
ER	Extended release
ERA	Environmental risk assessment
FDA	Food and Drug Administration (USA)
GI	Gastrointestinal
IC/EC <sub>50</sub>	Half-maximal inhibitory/effective concentration
ICH	International Council for Harmonisation
LoQ	List of Questions
MAH	Marketing authorisation holder
Max	Maximum
Min	Minimum
N/A	Not applicable
NO(A)EL	No observed (adverse) effect level
PBPK	Physiology-based pharmacokinetics
PD	Pharmacodynamics
PIP	Paediatric investigation plan (EMA)
PK	Pharmacokinetics
PopPK	Population pharmacokinetics
PRO	Patient reported outcome
QD	Once daily (quaque die)
RMP	Risk management plan
SAE	Serious adverse event
SES-CD	Simplified endoscopic score for Crohn's disease
SF	Stool frequency
SwissPAR	Swiss Public Assessment Report
TEAE	Treatment-emergent adverse event
TNF	Tumour necrosis factor
TPA	Federal Act of 15 December 2000 on Medicinal Products and Medical Devices (SR 812.21)
TPO	Ordinance of 21 September 2018 on Therapeutic Products (SR 812.212.21)
UPA	Upadacitinib

## 2 Background information on the procedure

### 2.1 Applicant's request(s)

#### Extension(s) of the therapeutic indication(s)

The applicant requested the addition of a new therapeutic indication or modification of an approved one in accordance with Article 23 TPO.

### 2.2 Indication and dosage

#### 2.2.1 Requested indication

Rinvoq is indicated for the treatment of adult patients with moderately to severely active Crohn's disease who have responded inadequately to, no longer respond to, or are intolerant of conventional therapy or a biologic, or for whom such therapies are inadvisable.

#### 2.2.2 Approved indication

Rinvoq is indicated for the treatment of adult patients with moderately to severely active Crohn's disease who have had an inadequate response, lost response, or were intolerant to at least 1 biologic agent, or for whom such a therapy is contraindicated.

#### 2.2.3 Requested dosage

##### Summary of the requested standard dosage:

The dosage recommendation is 45 mg once daily for 12 weeks for induction treatment, followed by 15 mg or 30 mg once daily for the maintenance treatment phase depending on the individual's clinical picture.

#### 2.2.4 Approved dosage

(see appendix)

### 2.3 Regulatory history (milestones)

Application	1 November 2022
Formal control completed	30 November 2022
List of Questions (LoQ)	28 April 2023
Response to LoQ	16 August 2023
Preliminary decision	25 October 2023
Response to preliminary decision	21 December 2023
2 <sup>nd</sup> Preliminary decision	9 February 2024
Response to 2 <sup>nd</sup> preliminary decision	10 March 2024
Labelling corrections	23 April 2024
Response to labelling corrections	13 May 2024
Final decision	7 June 2024
Decision	approval

### 3 Medical context

Crohn's disease (CD) is an inflammatory bowel disease characterised by transmural inflammation with segments of normal-appearing bowel interrupted by areas of disease. The transmural inflammatory nature of CD may lead to fibrosis and strictures, causing obstructive clinical presentation. It may also result in sinus tracts, giving rise to microperforations and fistula formation. Crohn's disease most commonly involves the ileum and proximal colon; however, any part of the gastrointestinal tract may be affected. Extraintestinal manifestations of CD are generally related to inflammatory disease activity and present as arthropathy, eye involvement, skin disorders, hepatobiliary involvement with specifically primary sclerosing cholangitis, renal stones, bone loss, pulmonary involvement, and rare secondary amyloidosis.

The cardinal symptoms of CD include abdominal pain, diarrhoea (with or without gross bleeding), fatigue, and weight loss. Patients with CD can have symptoms for many years prior to diagnosis, or they may present acutely. For many patients with CD, symptoms are chronic and intermittent, but the disease course can vary. Some patients may have a continuous and progressive course of active disease, while approximately 20% of patients experience prolonged remission after initial presentation.

The diagnosis of CD is established on the basis of radiologic, endoscopic, and/or histologic findings that demonstrate segmental and transmural inflammation of the luminal gastrointestinal tract in a patient with compatible clinical presentation.

Although advances in medical therapy have coincided with lower rates of surgical resection in patients with CD, surgery is often required in the setting of bowel obstruction, abscesses, perforation, or refractory disease. According to relevant guidelines, patients with CD usually start their pharmacological therapy on immunomodulators and steroids, then proceed to a tumour necrosis factor (TNF) inhibitor with or without immunomodulators and, in the "last line", switch to a different biologic. The following agents are currently available for treatment of CD in Switzerland:

- Corticosteroids (incl. budesonide)
- 5-ASA (mesalazine)
- Azathioprine
- 6-mercaptopurine
- Methotrexate
- TNF inhibitors (infliximab, adalimumab, certolizumab)
- Integrin antagonist (vedolizumab)
- Interleukin-12/-23 antagonist (ustekinumab)

Rinvoq (upadacitinib, UPA) is already approved in Switzerland in the following indications:

- Rheumatoid arthritis
- Psoriatic arthritis
- Ankylosing spondylitis (Bechterew's disease)
- Atopic dermatitis

In parallel to the current CD submission, an application for an extension of the indication to include ulcerative colitis was also submitted and assessed.

## 4 Nonclinical aspects

The nonclinical documentation submitted with the initial marketing authorisation application supports the approval for the addition of the new indication of Crohn's disease for Rinvoq, prolonged-release tablets (upadacitinib).

The applicant did not submit new nonclinical studies to support the requested extension of the indication. This was considered acceptable, although a new dosage (45 mg) was requested for the treatment of Crohn's disease, which was clinically evaluated. However, from a nonclinical perspective, the higher dose of 45 mg does not change the safety profile covered with the pharmaco-toxicological assessment conducted with Rinvoq at the initial marketing authorisation. The safety margins for the approved 15 mg dose were  $\geq 2x$  for general toxicology studies. Upadacitinib was teratogenic in rats and rabbits at exposure multiples of 1.6 and 15 times the clinical dose of 15 mg. Safety margins were adapted in the information for the healthcare professionals.

Based on the ERA, the extension of the indication will not be associated with a significant risk for the environment.

The RMP adequately describes in great detail the results of the nonclinical studies and their relevance for human use.

From the nonclinical point of view, there are no objections to the approval of the proposed extension of indication.

## 5 Clinical aspects

### 5.1 Clinical pharmacology

#### Pharmacokinetics

Upadacitinib PK and ADME properties were characterised as part of previous applications.

Sparse PK data were collected in CD patients from a Phase 2 Study and 3 Phase 3 studies and contributed to the population PK and exposure-response analyses.

Three population PK analyses were conducted to support the extension of indication for the treatment of CD. The population PK model and the identified covariates for other disease populations from population PK analyses submitted as part of earlier applications served as the basis for model development. The PK of upadacitinib was well described by a 2-compartment model with first-order absorption with lag time for the immediate-release (IR) formulation, mixed zero- and first-order absorption with lag time for the prolonged-release formulation, and linear elimination. Although the subject population (CD compared to other populations) was identified as a statistically significant covariate and  $C_{max}$  was estimated to be slightly lower in patients with CD (10%), upadacitinib PK in patients with CD was comparable overall with that in other patient populations. Furthermore, no differences between CD patients in the induction and the maintenance phases were observed. The final population PK model included the following statistically significant covariates: creatinine clearance, subject population, sex, and body weight on CL/F, as well as subject population, sex, and body weight on Vc/F. None of these covariates has a clinically relevant impact on the PK of upadacitinib. Furthermore, CD-specific covariates as well as CD-specific concomitant medications did not have an impact on the PK of upadacitinib. Overall, no dose adjustments are recommended based on sex, age, body weight, and race.

#### Pharmacodynamics

As part of the initial application for the treatment of rheumatoid arthritis, the absence of QT interval prolongation for upadacitinib was demonstrated by exposure-response analyses using data from Phase 1 studies. Comparing the same exposure range of the previous QT exposure-response analyses and the  $C_{max}$  values for the proposed 45 mg QD dose in patients with CD and for the worst-case scenario estimated using the population PK model, it was shown that no QT prolongations are expected up to 2.3-fold the  $C_{max}$  following a 45 mg QD upadacitinib dose co-administered with a strong CYP3A inhibitor.

Induction dose: Based on data from the 2 Phase 3 induction studies in subjects with CD, the relationships between upadacitinib plasma exposures following the 45 mg induction dose and the clinical efficacy endpoints at Week 12 were investigated using logistic regression modelling. Whereas clinical remission per Crohn's Disease Activity Index (CDAI) was best described with a treatment effect model without exposure-response relationship, increasing upadacitinib  $C_{avg}$  was associated with an increased percentage of subjects achieving clinical remission per patient reported outcomes (PROs), endoscopic response, and endoscopic remission best described by a logarithmic shape function. These exposure-response relationships were statistically significant. Generally, a plateau in response was reached at upadacitinib  $C_{avg}$  between 35 ng/mL and 40 ng/mL.

Biologic therapy-intolerant or inadequate responder (Bio-IR) status, baseline corticosteroid use, and Simplified Endoscopic Score for Crohn's Disease (SES-CD) were included in the selected models as stratification variables and additional covariates were investigated. Bio-IR status had a statistically significant effect on all efficacy endpoints. SES-CD, age, and baseline faecal calprotectin had a statistically significant effect on the exposure-response relationship for clinical remission per PROs, whereas only age was associated with endoscopic response. Subjects with SES-CD > 15 and younger subjects showed a steeper exposure-response relationship. Subjects with comparatively higher baseline faecal calprotectin had a more prominent exposure-response relationship for clinical remission per PROs.

Maintenance dose: Based on data from the Phase 3 maintenance study in subjects with CD, the relationships between upadacitinib plasma exposures following the 15 mg or 30 mg maintenance dose and the clinical efficacy endpoints at Week 52 were investigated using logistic regression modelling. Increasing upadacitinib  $C_{avg}$  was associated with an increased percentage of subjects achieving clinical remission per CDAI/PROs, endoscopic response, and endoscopic remission best described by a logarithmic shape function. These exposure-response relationships were statistically significant. Generally, a plateau in response was reached at upadacitinib  $C_{avg}$  around 25 ng/mL. Bio-IR status, clinical remission per PROs at Week 0, and endoscopic response at Week 0 were included in the selected models as stratification variables and additional covariates were investigated. Bio-IR status had a statistically significant effect on all efficacy endpoints. Subjects with CD who are Bio-IR showed a steeper exposure-response relationship for endoscopic response and endoscopic remission compared to non-Bio-IR subjects, suggesting a larger benefit from higher exposures. Whereas clinical remission per PROs at Week 0 had a statistically significant impact on clinical remission per CDAI/PRO, endoscopic response at Week 0 had a statistically significant impact on endoscopic response and remission. None of the investigated covariates were found to be statistically significant. Overall, simulations suggested that the response rates following the administration for 30 mg QD were 7% to 8% higher as compared to the 15 mg QD regimen.

During induction, no relationships were observed between upadacitinib  $C_{avg}$  and the percentage of subjects experiencing pneumonia (anytime through to Week 12), lymphopenia (Grade 3 or higher) at Week 12 (last observation carried forward [LOCF]), neutropenia (Grade 3 or higher) at Week 12 (LOCF), herpes zoster (anytime through to Week 12), serious infections (anytime through to Week 12), or haemoglobin < 8 g/dL at Week 12 (LOCF). The percentage of subjects experiencing > 2 g/dL in haemoglobin from baseline at Week 12 was associated with higher upadacitinib exposures. During maintenance, a trend for an increase in the percentage of subjects experiencing serious infections and herpes zoster with increasing upadacitinib exposures was observed.

## 5.2 Dose finding and dose recommendation

In 1 multicentre, randomised, double-blind, placebo-controlled Phase 2 dose-finding study (M13-740), the following treatments using an instant-release formulation of UPA were compared:

Induction period, 16 weeks, 220 patients:

- Placebo
- 3 mg BID
- 6 mg BID
- 12 mg BID
- 24 mg BID
- 24 mg QD

At Week 16 (end of induction period), 180 patients were re-randomised to the 36-week double-blind extension period to 1 of 3 UPA doses (3 mg BID, 12 mg BID, 24 mg QD). With Amendment 2 (46 patients enrolled), enrolment in the 24 mg QD arm was stopped (patients already treated with 24 mg QD stayed on this dose), and a 6 mg BID arm was opened. Patients with inadequate response at or after Week 20 (N=60) were eligible to receive open-label 24 mg QD (12 mg BID after Amendment 2).

This study included patients with moderately to severely active CD who have had inadequate response, or were intolerant, to immunomodulators and/or TNF inhibitors. The co-primary endpoints were i) proportion of subjects who achieve endoscopic remission at Week 12 or 16, and ii) proportion of subjects who achieve clinical remission at Week 16. The following definitions were applied:

- Endoscopic remission: SES-CD  $\leq 4$  and at least a 2-point reduction versus baseline and no subscore > 1 in any individual variable;



- Clinical remission: Average daily very soft or liquid stool frequency (SF)  $\leq 1.5$  and not worse than baseline AND average daily abdominal pain (AP) score  $\leq 1.0$  and not worse than baseline.

The highest endoscopic remission rate of 22% was seen in the 24 mg BID arm, followed by 14% in the 24 mg QD arm, both statistically significant compared to placebo (0%). Clinical remission was observed in 22% of patients in the 24 mg BID arm, followed by 14% in the 24 mg QD arm, both not statistically significant compared to placebo (11%). In the extension period, the small number of patients per treatment arm did not allow any statistically significant differences to be demonstrated between the treatment arms.

In the dose-finding study, the approved 15 mg QD extended-release (ER) dose formulation was not studied, nor were its multiples (including 3x15 mg QD). Instead, immediate-release formulations for BID use were studied. However, the chosen approach to bridge this gap with PK/PD data has been deemed acceptable.

Regarding the induction dose, it was discussed whether a 30 mg QD ER induction dose would have been sufficient based on the results of the 12 mg BID IR arm in the dose-finding study. The predicted additional benefits in endoscopic endpoints of the proposed 45 mg QD ER induction dose over a possible 30 mg QD ER (up to 7%) justified the selection of the 45 mg QD ER as the induction dose for the further investigation in Phase 3 trials. However, some uncertainty regarding sufficient efficacy of a lower induction dose remains as it has not been studied further in clinical trials.

In the dose-finding study there was no clear dose-dependency in terms of frequency or severity of adverse events, and the safety profile of UPA was in line with that in other indications.

### 5.3 Efficacy

UPA efficacy was evaluated in 3 randomised, double-blind, placebo-controlled Phase 3 studies in adult subjects (age 18 to 75) with moderately to severely active CD:

- Induction study in patients with failed prior biologic treatment that also included an uncontrolled open-label induction arm (M14-431, CD-1)
- Induction study in patients with either failed prior conventional or biologic therapy (M14-433, CD-2)
- Maintenance study in patients achieving clinical response in M14-431 and M14-433 (M14-430, CD-3, submitted data up to Week 52).

All 3 pivotal studies used the already approved extended-release tablets (in contrast to the immediate-release formulation studied in the dose-finding study).

The co-primary endpoints were the proportion of subjects with clinical remission and endoscopic response measured at Week 12 for the induction studies and at Week 52 for the maintenance study. Clinical remission was assessed based on both Crohn's Disease Activity Index (CDAI) and Patient Reported Outcomes (PROs) in all studies. The following endpoint definitions were applied:

- Clinical remission per PRO: average daily SF  $\leq 2.8$  AND average daily AP score  $\leq 1.0$  and both not greater than baseline
- Clinical remission per CDAI: CDAI  $< 150$
- Endoscopic response: decrease in SES-CD  $> 50\%$  from Baseline of the induction study (or for subjects with an SES-CD of 4 at Baseline, at least a 2-point reduction from Baseline), as scored by a central reviewer

In the CD-1 study, roughly 40% of patients treated with UPA 45 mg after prior biologic treatment failure achieved clinical remission per PROs (40%) and per CDAI (39%) at Week 12 in a statistically significant manner compared to placebo (per PROs 14%, per CDAI 21%). Endoscopic response at Week 12 was achieved in 35% of patients on UPA 45 mg (placebo 3.5%).

In the CD-2 study, about 50% of patients treated with UPA 45 mg after prior conventional or biologic therapy failure achieved clinical remission per PROs (51%) and per CDAI (49.5%) at Week 12

(placebo 29% and 22%). Endoscopic response at Week 12 was reached in 45.5% of patients (placebo 13%). A subgroup analysis of patients with failed prior biologic treatment in this study showed a clinical remission per PROs / CDAI and endoscopic response in 47% / 44% and 38% of patients, respectively. These results were statistically significant compared to placebo and are considered clinically relevant.

Overall, most of the secondary endpoints in patients with failed prior biologic treatment in the induction studies supported the main findings of the co-primary endpoints. Further details regarding group size, treatments and endpoints are summarised in the information for healthcare professionals in Table 22, “Clinical efficacy” section.

In both induction studies, all patients who failed the initial double-blinded placebo-controlled induction with UPA 45 mg QD at Week 12 were eligible for a re-induction with UPA 30 mg QD for another 12 weeks. Treatment during this re-induction period was uncontrolled, but kept double-blinded until Week 24 to avoid unmasking the treatment received until Week 12. Of the patients who had previously failed biologic treatment (97 patients), 23% achieved clinical remission, indicating a clinical benefit in a more-difficult-to-treat population. The reduced dose of UPA 30 mg QD for the re-induction was chosen to mitigate safety issues because no safety data for treatment with UPA 45 mg QD for longer than 16 weeks were available.

Patients who failed a placebo induction were treated with UPA 45 mg QD for another 12 weeks. The clinical remission and endoscopic response rates in these patients after 24 weeks of study participation were similar to these in patients who were randomised to induction with UPA at baseline.

In the maintenance study, all patients who responded to the induction with UPA 45 mg QD at Week 12 were re-randomised to receive UPA 15 mg QD, 30 mg QD, or placebo (Cohort 1). Patients in the induction studies who achieved clinical response on placebo continued receiving placebo (Cohort 2), and patients who responded to the re-induction continued on UPA 30 mg QD (Cohort 3). All cohorts were double-blind. It was prespecified in the protocol that the primary analysis was to be performed with the data from the first 501 patients randomised in the cohort 1, although in total 674 patients were effectively randomised in this cohort. The applicant explained this approach with the intention to complete the efficacy analysis as early as possible to speed up the submission process. In this primary analysis that included 502 patients (last 2 patients were randomised on the same day), significantly more patients treated with UPA 15 mg and 30 mg reached clinical remission and endoscopic response at Week 52 compared to placebo.

Even though UPA 30 mg demonstrated numerically higher results compared to UPA 15 mg, the 95%CI overlapped over the whole treatment period. This finding and safety concerns regarding the long-term use of higher UPA doses support 15 mg QD as a sufficient maintenance dose for patients who responded to the initial induction with 45 mg. A dose of 30 mg may still be considered for maintenance in patients with high disease activity or patients who have required 24 weeks of induction treatment or have not responded adequately to 15 mg, taking into account the patient's risk for major adverse cardiac events, venous thrombosis events, and malignancies. Further details of this study are summarised in the information for healthcare professionals in Table 23 and Figure 10, “Clinical efficacy” section. The requested efficacy analysis with all randomised patients (n=673, 1 patient was excluded because he/she did not receive the study drug) showed a similar population and overall efficacy results comparable with those of the primary analysis.

In patients with prior failed conventional therapy only (biologic-naïve), high spontaneous clinical remission per PROs / CDAI (29% / 40%) and endoscopic response (16%) rates were observed in the placebo arm after 12 weeks, questioning the actual need for an additional pharmacological therapy for this patient population. The absolute number of biologic-naïve patients evaluated in Cohort 1 of the maintenance study (CD-3) was insufficient for a meaningful conclusion regarding UPA efficacy in this patient subgroup (45 patients on 15 mg QD, and 41 patients on 30 mg QD up to Week 52).

Patients aged 65 years and older were underrepresented in the Phase 3 studies (n=28 in CD-3 study). Additionally requested efficacy results for all primary endpoints according to age quartiles

demonstrated a tendency to a remission rate decrease with the progression of age. In the oldest quartile, clinical remission per CDAI at Week 12 was achieved in fewer patients on UPA 45 mg compared to patients on placebo (27% vs. 32.5%). In all other endpoints, elderly patients on UPA 45 mg were more likely to achieve the endpoint compared to placebo.

In the maintenance study, patients in the 2 quartiles above the median had higher remission rates on UPA 15 mg compared to UPA 30 mg in all 3 endpoints (clinical remission per PROs / CDAI, and endoscopic response) supporting UPA 15 mg as a highest approved maintenance dose for patients aged 65 years and older.

## 5.4 Safety

Overall, the demonstrated safety profile of UPA in submitted CD studies was consistent with the already known safety profile in other indications.

In the dose-finding study (M13-740) there was no clear dose-dependency in terms of frequency or severity of adverse events. In the induction period, 1 subject in the 12 mg BID arm had an adjudicated cardiovascular event of acute myocardial infarction, and the only malignancy was a non-serious squamous cell carcinoma of the skin reported in 1 subject in the 24 mg BID arm. During the extension period, malignancies occurred in 2 subjects in the 12 mg BID group. One subject had Hodgkin's disease, and another subject had malignant neoplasm of the thymus.

In both induction studies (CD-1 and CD-2), no AEs of active tuberculosis (TB), opportunistic infection excluding TB and herpes zoster, malignancy excluding non-melanoma skin cancer (NMSC), NMSC, lymphoma, adjudicated major adverse cardiac events (MACE,) or adjudicated thrombotic events were reported. Herpes zoster was quite common, but this is already reflected appropriately in the information for healthcare professionals.

In study CD-1, there was 1 death due to infectious shock on UPA 45 mg, which occurred 159 days after discontinuation of the study drug, most likely not linked to study treatment. In study CD-2, a death of a patient with COVID-19 was reported on UPA 30 mg re-induction after UPA 45 mg induction failure. It is reasonable to believe that the death was not directly linked to UPA.

Because of the design of the maintenance study (CD-3), all patients enrolled in Cohort 1, including those randomised to placebo, were previously exposed to UPA 45 mg QD during the 12 weeks of the induction phase. Thus, a comparison of the safety endpoints between UPA and placebo groups in this cohort, specifically long-term events like malignancies, is of limited value. Nevertheless, in Cohort 1 AEs and SAEs were similarly common between treatment arms and demonstrated a positive dose-dependency. Worsening of CD was the most frequent adverse event and showed an inverted dose-dependency. Two cases of ileus were reported as SAEs in the UPA 15 mg arm, with an unclear relationship to the study drug. Serious infections were more frequent with UPA 30 mg compared to UPA 15 mg, as was herpes zoster.

One subject with exposure to UPA 30 mg experienced a coronary artery dissection. One hepatic vein thrombosis and 1 arterial thromboembolic event were reported on UPA 30 mg, and 1 adjudicated venous thrombosis event was reported on placebo.

In Cohort 1, the occurrence of 3 malignancies on UPA was reported: 2 malignancies on 30 mg (adenocarcinoma of the colon, invasive lobular breast carcinoma, incidence 1.2/100 patient years) and 1 malignancy on 15 mg (metastatic ovarian cancer, incidence 0.7/100 patient years). No deaths were reported during this study.

In light of the safety concerns regarding the class of JAK inhibitors raised by the outcomes of the ORAL surveillance study, an additional pooled analysis of the safety profile for all CD patients exposed to UPA during the Phase 3 study programme was requested. The data submitted (n=1063) documented further cases of malignancy (incidence 0.73/100 patient years), but did not reveal new safety concerns and were consistent with the current label.

However, with substudy 2 of the maintenance study CD-3 (M14-430 SS2) still ongoing (for a treatment of >52 weeks), the extent of safety data is considered insufficient at the current stage, especially bearing in mind the fact that, in the ORAL surveillance study in rheumatoid arthritis patients with at least 1 cardiovascular risk factor, the increased risk of death and malignancies for patients treated with tofacitinib compared to a TNF inhibitor became apparent only after 40 months. Consequently, the approval of Rinvoq for CD was subject to the post-approval requirement to submit the final clinical study report of the long-term study CD3 as soon as available, at the latest by the end of Q2 2028.

## 5.5 Final clinical benefit-risk assessment

The current application concerns an extension of the indication for Rinvoq (upadacitinib/UPA, a selective JAK1-inhibitor) to include treatment of patients with moderately to severely active Crohn's disease.

Crohn's disease (CD) is an inflammatory bowel disease mostly affecting the ileum and proximal colon. It is characterised by transmural inflammation, fibrosis, and strictures with segments of normal-appearing bowel interrupted by areas of disease. The cardinal symptoms of CD include abdominal pain, diarrhoea (with or without gross bleeding), fatigue, and weight loss. Symptoms are usually chronic and intermittent, but the disease course can vary. Some patients may have a continuous and progressive course of active disease, while approximately 20% of patients experience prolonged remission after initial presentation.

State-of-the-art treatments for moderately to severely active CD comprise several anti-TNFs, vedolizumab, and ustekinumab. Currently, no JAK inhibitor is approved for the treatment of CD in Switzerland. The currently available treatment options are administered IV (on an outpatient basis, but within a hospital framework) and/or SC (self-administration possible) with intervals between 4 to 8 weeks. Rinvoq is administered orally, offering the advantage of easier storage and administration, but the (dis-)advantage of daily dosing, with a higher risk of reduced compliance.

The submitted study documentation demonstrated UPA efficacy compared to placebo in achieving clinical remission per CDAI/PROs, and endoscopic response after a 12-week induction course in patients with moderately to severely active CD who failed prior treatment. Further, maintenance treatment for up to 52 weeks demonstrated sufficient efficacy in patients having achieved clinical response after induction. Due to small sample sizes, uncertainties remain in subpopulations of biologic-naïve and elderly ( $\geq 65$  years) patients.

There is an ongoing safety concern regarding JAK inhibitors, including UPA, with respect to an increased risk of MACE, malignancies, and all-cause mortality. The current submission confirmed this concern with the higher occurrence of malignancies in UPA-exposed patients only. Taking into account a more favourable safety profile of other biologic treatments such as TNF inhibitors, the benefit-risk assessment is therefore considered positive only in patients with at least 1 unsuccessful biologic treatment. The lower maintenance dose is preferred unless efficacy cannot be achieved.

## 6 Risk management plan summary

The RMP summaries contain information on the medicinal products' safety profiles and explain the measures that are taken to further investigate and monitor the risks, as well as to prevent or minimise them.

The RMP summaries are published separately on the Swissmedic website. It is the responsibility of the marketing authorisation holder to ensure that the content of the published RMP summaries is accurate and correct. As the RMPs are international documents, their summaries might differ from the content in the information for healthcare professionals / product information approved and published in Switzerland, e.g. by mentioning risks that occur in populations or indications not included in the Swiss authorisations.

## 7 Appendix

### Approved information for healthcare professionals

Please be aware that the following version of the information for healthcare professionals for Rinvoq was approved with the submission described in the SwissPAR. This information for healthcare professionals may have been updated since the SwissPAR was published.

Please note that the valid and relevant reference document for the effective and safe use of medicinal products in Switzerland is the information for healthcare professionals currently authorised by Swissmedic (see [www.swissmedicinfo.ch](http://www.swissmedicinfo.ch)).

#### **Note:**

The following information for healthcare professionals has been translated by the MAH. It is the responsibility of the authorisation holder to ensure that the translation is correct. The only binding and legally valid text is the information for healthcare professionals approved in one of the official Swiss languages.

**IMPORTANT WARNING: SERIOUS INFECTIONS, MORTALITY, MALIGNANCIES, MAJOR ADVERSE CARDIOVASCULAR EVENTS (MACE), AND THROMBOSIS**

- **Increased risk of serious** bacterial, fungal, viral, and opportunistic **infections** leading to hospitalization or death, including tuberculosis (TB). Interrupt treatment with RINVOQ if a serious infection occurs until the infection is controlled.
- **Higher rate of all-cause mortality**, including sudden cardiovascular death with another Janus kinase (JAK) inhibitor compared with Tumour Necrosis Factor (TNF) blockers in rheumatoid arthritis (RA) patients.
- **Malignancies** have occurred in patients treated with RINVOQ. Higher rates of **lymphoma and lung cancer** with another JAK-Inhibitor compared with TNF blockers in RA patients.
- **Higher rate of MACE** (defined as **cardiovascular death, myocardial infarction, and stroke**) with another JAK inhibitor compared with TNF blockers in RA patients.
- **Thromboembolic events** have occurred in patients treated with RINVOQ. Increased incidence of **pulmonary embolism, venous and arterial thrombosis** with another JAK inhibitor compared with TNF blockers.

For further information, please read the "Warnings and Precautions" section.

**RINVOQ®**

**Composition**

*Active substances*

Upadacitinib as upadacitinib hemihydrate

*Excipients*

Microcrystalline cellulose, hypromellose, mannitol (E421), tartaric acid, silica (colloidal anhydrous), magnesium stearate, polyvinyl alcohol, macrogol 3350, talc, titanium dioxide (E171), black iron oxide (E172) (15 mg strength only), iron oxide red (E172) , iron oxide yellow (E172) (45 mg strength only).

**Pharmaceutical form and active substance quantity per unit**

*RINVOQ 15 mg prolonged-release tablets*

Purple oblong biconvex prolonged-release tablets imprinted on one side with 'a15'.

Each prolonged-release tablet contains upadacitinib hemihydrate, equivalent to 15 mg of upadacitinib.

### *RINVOQ 30 mg prolonged-release tablets*

Red oblong biconvex prolonged-release tablets imprinted on one side with 'a30'.

Each prolonged-release tablet contains upadacitinib hemihydrate, equivalent to 30 mg of upadacitinib.

### *RINVOQ 45 mg prolonged-release tablets*

Yellow oblong biconvex prolonged-release tablets imprinted on one side with 'a45'.

Each prolonged-release tablet contains upadacitinib hemihydrate, equivalent to 45 mg of upadacitinib.

## **Indications/Uses**

### *Rheumatoid Arthritis*

RINVOQ is indicated for the treatment of adults with moderately to severely active rheumatoid arthritis, who had an inadequate response or are intolerant to a treatment with one or more conventional synthetic disease-modifying anti-rheumatic drugs (csDMARD).

RINVOQ may be used in combination with methotrexate or other csDMARDs or as monotherapy in adult patients.

### *Psoriatic Arthritis*

RINVOQ is indicated for the treatment of active psoriatic arthritis in adult patients who have responded inadequately to, or who are intolerant to one or more DMARDs. RINVOQ may be used as monotherapy or in combination with non-biologic DMARDs.

### *Ankylosing Spondylitis*

RINVOQ is indicated for the treatment of active ankylosing spondylitis in adult patients who have responded inadequately to non-steroidal anti-inflammatory drugs (NSAIDs).

### *Atopic Dermatitis*

RINVOQ is indicated for the treatment of moderate to severe atopic dermatitis in adults when conventional topical drug therapy does not provide adequate disease control or cannot be used.

### *Ulcerative Colitis*

RINVOQ is indicated for the treatment of adult patients with moderately to severely active ulcerative colitis who have had an inadequate response, lost response or were intolerant to at least one biologic agent, or for whom such a therapy is contraindicated.



### *Crohn's Disease*

RINVOQ is indicated for the treatment of adult patients with moderately to severely active Crohn's disease who have had an inadequate response, lost response or were intolerant to at least one biologic agent, or for whom such a therapy is contraindicated.

### **Dosage/Administration**

Treatment with RINVOQ should be initiated by physicians experienced in the diagnosis and treatment of conditions for which RINVOQ is indicated.

### *Rheumatoid Arthritis*

The recommended dose of RINVOQ is 15 mg once daily.

### *Psoriatic Arthritis*

The recommended dose of RINVOQ is 15 mg once daily.

### *Ankylosing Spondylitis*

The recommended dose of RINVOQ is 15 mg once daily.

### *Atopic Dermatitis*

### *Adults*

The recommended dose of RINVOQ is 15 mg once daily.

### *Concomitant Topical Therapies*

RINVOQ can be used with or without topical corticosteroids. Topical calcineurin inhibitors may be used intermittently for sensitive areas such as the face, neck, and intertriginous and genital areas.

RINVOQ treatment should be discontinued in any patient who shows no evidence of therapeutic benefit after 12 weeks of treatment.

### *Ulcerative Colitis*

#### *Induction*

- The recommended induction dose of RINVOQ is 45 mg once daily for 8 weeks.
- In patients who do not show adequate therapeutic benefit by Week 8, the use of RINVOQ 45 mg once daily for an additional 8 weeks can be considered (see Properties and Effects section), taking into account the patients' risk of MACE, VTE and malignancies.

- There are no data to support the benefit of induction treatment beyond 16 weeks. RINVOQ should be discontinued permanently in any patient who shows no evidence of therapeutic benefit by week 16.

### *Maintenance (for patients with clinical response after 8 or 16 weeks of induction)*

- The recommended maintenance dose of RINVOQ is 15 mg or 30 mg once daily.
- A dose of 30 mg may be considered in patients with high disease activity or who have required 16-week induction treatment or who did not respond adequately to 15 mg once daily (see Properties and Effects section), taking into account the patients' risk of MACE, VTE and malignancies (see Warnings and Precautions section).
- The lowest effective maintenance dose should always be used.
- For patients  $\geq$  65 years of age, the recommended maintenance dose is 15 mg once daily.
- In patients who have responded to treatment with RINVOQ, corticosteroids may be reduced and/or discontinued in accordance with standard of care.

For dose adjustments with concomitant strong cytochrome P450 (CYP) 3A4 inhibitors, see "Interactions."

## *Crohn's Disease*

### *Induction*

- The recommended induction dose of RINVOQ is 45 mg once daily for 12 weeks.
- In patients who do not achieve adequate therapeutic benefit by Week 12, extended induction for an additional 12 weeks with a dose of 30 mg once daily can be considered (see Properties and Effects section), taking into account the patients' risk of MACE, VTE and malignancies.
- RINVOQ should be discontinued permanently in any patient who shows no evidence of therapeutic benefit after a total of 24 weeks of treatment.

### *Maintenance (for patients with clinical response after 12 or 24 weeks of induction)*

- The recommended maintenance dose of RINVOQ is 15 mg or 30 mg once daily.
- A dose of 30 mg may be considered in patients with high disease activity or who have required 24-week induction treatment or who do not respond adequately to 15 mg once daily (see Properties and Effects section), taking into account the patients' risk of MACE, VTE and malignancies (see Warnings and Precautions section).
- The lowest effective maintenance dose should always be used.
- For patients  $\geq$  65 years of age, the recommended maintenance dose is 15 mg once daily.

For dose adjustments with concomitant strong CYP3A4 inhibitors, see "Interactions".

In patients who are responding to induction or maintenance treatment with RINVOQ, corticosteroids may be reduced and/or discontinued in accordance with standard of care.

*Interactions*

For patients with ulcerative colitis and Crohn’s disease receiving strong inhibitors of CYP3A4 (e.g., ketoconazole, clarithromycin), the recommended induction dose is 30 mg once daily and the recommended maintenance dose is 15 mg once daily (see Interactions).

*Administration*

RINVOQ tablets should be taken orally with or without food. RINVOQ tablets should be swallowed whole. RINVOQ should not be split, crushed, or chewed.

*Dose initiation*

It is recommended that RINVOQ is not used in patients with an absolute lymphocyte count (ALC) less than 500 cells/mm<sup>3</sup>, an absolute neutrophil count (ANC) less than 1000 cells/mm<sup>3</sup> or who have hemoglobin levels less than 8 g/dL.

*Dose interruption*

If a patient develops a serious infection, RINVOQ treatment should be interrupted until the infection is controlled (see «Warnings and Precautions»).

**Table 1: Recommended Dose Interruption for Laboratory Abnormalities**

Laboratory measure	Action
Absolute Neutrophil Count (ANC)	Treatment should be interrupted if ANC is < 1000 cells/mm <sup>3</sup> and may be restarted once ANC return above this value
Absolute Lymphocyte Count (ALC)	Treatment should be interrupted if ALC is < 500 cells/mm <sup>3</sup> and may be restarted once ALC return above this value
Hemoglobin (Hb)	Treatment should be interrupted if Hb is < 8 g/dL and may be restarted once Hb return above this value
Hepatic transaminases	Treatment should be temporarily interrupted if drug-induced liver injury is suspected

### *Missed dose*

If a dose of RINVOQ is missed, it should be taken as soon as possible. The subsequent dose should be taken at the regularly scheduled time.

### *Immunosuppressive medicinal products*

Combination with other potent immunosuppressants such as azathioprine, 6-mercaptopurine and cyclosporine, tacrolimus, and biologic DMARDs or other Janus kinase (JAK) inhibitors has not been evaluated in clinical studies and is not recommended.

### *Special dosage instructions*

#### *Patients with impaired hepatic function*

RINVOQ is not recommended for use in patients with severe hepatic impairment (Child Pugh C) (see «Pharmacokinetics»).

#### *Rheumatoid Arthritis, Psoriatic Arthritis, Ankylosing Spondylitis, and Atopic Dermatitis:*

No dose adjustment is required in patients with mild (Child Pugh A) or moderate (Child Pugh B) hepatic impairment.

#### *Ulcerative Colitis and Crohn's disease:*

For patients with mild to moderate hepatic impairment (Child-Pugh A or B) the recommended dosage is:

- Induction: 30 mg once daily
- Maintenance: 15 mg once daily

#### *Patients with impaired renal function*

No dose adjustment is required in patients with mild or moderate renal impairment. The use of RINVOQ has not been studied in subjects with end-stage renal disease (estimated glomerular filtration rate <15 ml/min/1.73 m<sup>2</sup>) and is therefore not recommended for use in these patients.

For patients with severe renal impairment, the following doses are recommended:

**Table 2. Recommended dose for Severe Renal Impairment<sup>a</sup>**

Indication	Recommended once daily dose
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Rheumatoid arthritis, psoriatic arthritis, ankylosing spondylitis, atopic dermatitis	15 mg
Ulcerative Colitis and Crohn's disease	Induction: 30 mg
	Maintenance: 15 mg
<sup>a</sup> estimated glomerular filtration rate (eGFR) 15 to < 30 ml/min/1.73m <sup>2</sup>	

### *Elderly patients*

There are limited data in patients aged 75 years and older. There was a higher rate of overall adverse events, including serious infections, in patients 65 years and older.

For ulcerative colitis and Crohn's disease, doses higher than 15 mg once daily for maintenance therapy are not recommended in patients aged 65 years and older (see «Undesirable effects»).

### *Children and adolescents*

The long-term safety of RINVOQ in children and adolescents aged 0 to 18 years have not yet been shown.

### **Contraindications**

Hypersensitivity to the active substance or to any of the excipients (see section «Composition») or in patients with active TB.

### **Warnings and precautions**

#### *Use in patients aged 65 years and older*

Considering the increased risk of severe infections, myocardial infarction, and malignancies in connection with JAK-inhibitors in patients over 65 years of age, RINVOQ should be used with particular caution in patients with ulcerative colitis or Crohn's disease in this age group and should only be used if no suitable treatment alternatives are available in patients with rheumatoid arthritis, psoriatic arthritis, ankylosing spondylitis, or atopic dermatitis in this age group (see further details in «Warnings and precautions»).

There is an increased risk of adverse reactions with the upadacitinib dose of 30 mg once daily in patients aged 65 years and older. The recommended dose for long-term use is 15 mg once daily for this patient population.

#### *Extended induction for ulcerative colitis*

Data for patients with extended induction (16 weeks) are limited. An increased risk of adverse events cannot be excluded for those patients.

### *Serious infections*

Serious and sometimes fatal infections have been reported in patients receiving RINVOQ. The most frequent serious infections reported with RINVOQ are pneumonia, cellulitis, and urinary tract infections (see «Undesirable effects»). Among opportunistic infections, tuberculosis, multidermatomal herpes zoster, oral/esophageal candidiasis and cryptococcosis were reported with RINVOQ. A higher rate of serious infections was observed with RINVOQ 30 mg compared to RINVOQ 15 mg.

Avoid use of RINVOQ in patients with an active, serious infection, including localized infections.

Consider the risks and benefits of treatment prior to initiating RINVOQ in patients:

- with chronic or recurrent infections
  - who have been exposed to tuberculosis
  - with a history of a serious or an opportunistic infection
  - who have resided or traveled in areas of endemic tuberculosis or endemic mycoses
- or
- with underlying conditions that may predispose them to infection.

Closely monitor patients for the development of signs and symptoms of infection during and after treatment with RINVOQ. Interrupt RINVOQ if a patient develops a serious or opportunistic infection. A patient who develops a new infection during treatment with RINVOQ should undergo prompt and complete diagnostic testing appropriate for an immunocompromised patient; appropriate antimicrobial therapy should be initiated, the patient should be closely monitored, and RINVOQ should be interrupted if the patient is not responding to antimicrobial therapy. RINVOQ may be resumed once the infection is controlled.

### *Tuberculosis*

Patients should be screened for tuberculosis (TB) before starting RINVOQ therapy. RINVOQ should not be given to patients with active TB. TB prophylaxis must be initiated prior to initiation of RINVOQ in patients with previously untreated latent TB. Consultation with a physician with expertise in the treatment of TB is recommended if it has to be decided whether an anti-TB therapy is appropriate for an individual patient. Monitor patients for the development of signs and symptoms of TB, including patients who were tested negative for latent TB infection prior to initiating therapy.

### *Viral reactivation*

Viral reactivation, including cases of herpes virus reactivation (e.g., herpes zoster) and hepatitis B, were reported in clinical studies (see «Undesirable effects»). The risk of herpes zoster appears to be higher in patients treated with RINVOQ in Japan. If a patient develops herpes zoster, consider temporarily interrupting RINVOQ until the episode resolves.

Screening for viral hepatitis and monitoring for reactivation should be performed in accordance with clinical guidelines before starting and during therapy with RINVOQ. Patients who were positive for hepatitis C antibody and hepatitis C virus RNA, were excluded from clinical studies. Patients who were positive for hepatitis B surface antigen or hepatitis B virus DNA were excluded from clinical studies. If hepatitis B virus DNA is detected while receiving RINVOQ, a liver specialist should be consulted.

### *Vaccination*

No data are available on the response to vaccination with live vaccines in patients receiving RINVOQ. Based on the current data, it cannot be fully assessed to which extent RINVOQ inhibits the immune response to neo and/or booster antigens. Prior to initiating RINVOQ treatment, it is recommended that patients be brought up to date with all immunizations, including varicella/herpes zoster vaccinations (see «Properties/Effects»). Use of live, attenuated vaccines during, or immediately prior to, RINVOQ therapy is not recommended. If a live vaccine is considered prior to RINVOQ therapy, the time interval between live vaccination and treatment with RINVOQ must comply with the current vaccination guidelines for immunomodulatory agents. In accordance with these guidelines, live herpes zoster vaccine should only be administered to patients with a known history of chickenpox or who are chickenpox zona positive. The vaccine should be administered 4 weeks before treatment with an active immunomodulatory agent such as RINVOQ.

### *All-cause mortality*

In a large, randomized, postmarketing safety study of another JAK inhibitor in RA patients 50 years of age and older with at least one cardiovascular risk factor, a higher rate of all-cause mortality, including sudden cardiovascular death, was observed in patients treated with the JAK inhibitor compared with Tumour Necrosis Factor (TNF) inhibitors. Consider the benefits and risks for the individual patient prior to initiating or continuing therapy with RINVOQ.

### *Malignancy*

Malignancies, including lymphomas, were observed in clinical studies of RINVOQ (see «Undesirable effects»). A higher rate of malignancies, driven by NMSC, was observed with RINVOQ 30 mg compared to RINVOQ 15 mg.

In a large randomized post-marketing safety study in rheumatoid arthritis patients 50 years and older with at least one additional cardiovascular risk factor, an increased incidence of malignancy,

particularly lung cancer, lymphomas and non-melanoma skin cancer [NMSC], was observed with a different JAK inhibitor compared to TNF blockers.

In this study, patients over 65 years of age and patients who were current or past smokers had an additionally increased risk of malignancies.

RINVOQ should be used with particular caution in patients with ulcerative colitis or Crohn's disease and should only be used if no suitable treatment alternatives are available in patients with rheumatoid arthritis, psoriatic arthritis, ankylosing spondylitis, or atopic dermatitis for:

- patients over 65 years of age,
- patients who are current or past smokers,
- patients with other risk factors for malignant diseases (e.g. current malignant disease or a history of malignant disease other than a successfully treated non-melanoma skin cancer).

### *Non-Melanoma Skin Cancer (NMSC)*

NMSCs have been reported in patients treated with RINVOQ. In a large randomized post-marketing safety study in rheumatoid arthritis patients 50 years and older with at least one cardiovascular risk factor, an increase in NMSC-cases, including squamous-cell carcinomas of the skin, was observed with a different JAK inhibitor compared to TNF blockers. Since the incidence of NMSC is increased in elderly patients and patients with history of NMSC, these patients should be treated with caution. Periodic skin examination is recommended for patients who are at increased risk for skin cancer (see "Undersirable effects").

### *Thromboembolic events*

Thromboembolic events (deep vein thrombosis, lung embolism and arterial thrombosis) with sometimes fatal outcome were observed under the treatment with JAK inhibitors including RINVOQ. In a large randomised active-controlled study in rheumatoid arthritis patients 50 years and older with at least one additional cardiovascular risk factor, an increased and dose-dependent incidence of thromboembolic events (including pulmonary embolism) was observed in patients treated with a different JAK inhibitor compared to patients receiving TNF blockers. The majority of these events were serious and some resulted in death.

Prescribing physicians should evaluate regularly risk factors for thromboembolic events of patients before starting treatment and during treatment. Promptly examine patients with signs and symptoms of thromboembolic events and discontinue treatment with RINVOQ in patients with suspected thromboembolic events, regardless of dose or indication.



### *Hypersensitivity Reactions*

Serious hypersensitivity reactions such as anaphylaxis and angioedema were reported in patients receiving RINVOQ in clinical trials. If a clinically significant hypersensitivity reaction occurs, discontinue RINVOQ and institute appropriate therapy (see Undesirable effects).

### *Embryo-Fetal Toxicity*

RINVOQ may cause fetal harm based on animal studies. Advise females of reproductive potential of the potential risk to a fetus and to use effective contraception (see “Pregnancy, lactation”).

### *Gastrointestinal perforations*

Gastrointestinal perforations were rarely observed under the treatment with RINVOQ. Events of gastrointestinal perforations have been reported in clinical trials and from post-marketing sources. RINVOQ should be used with caution in patients who may be at risk for gastrointestinal perforation (e.g., patients with diverticular disease, a history of diverticulitis, or who are taking nonsteroidal anti-inflammatory drugs (NSAIDs), corticosteroids, or opioids). Patients presenting with new onset abdominal signs and symptoms should be evaluated promptly for early identification of diverticulitis or gastrointestinal perforation.

### *Hematological abnormalities*

Neutropenia – Treatment with RINVOQ was associated with an increased incidence of neutropenia (ANC < 1000 cells/mm<sup>3</sup>). There was no clear association between low neutrophil counts and the occurrence of serious infections.

Lymphopenia - ALCs < 500 cells/mm<sup>3</sup> were reported in RINVOQ clinical studies. There was no clear association between low lymphocyte counts and the occurrence of serious infections.

Anemia – Decreases in hemoglobin levels to < 8 g/dL were reported in RINVOQ clinical studies.

The majority of the above hematologic laboratory changes were transient and resolved with temporary treatment interruption.

Evaluate at baseline and thereafter according to routine patient management. Treatment should not be initiated or should be temporarily interrupted in patients who meet the criteria described in Table 1 (see «Dosage/Administration»).

### *Major adverse cardiovascular events (MACE)*

In a large randomised active-controlled study in rheumatoid arthritis patients 50 years and older with at least one additional cardiovascular risk factor, an increased incidence of MACE (defined as cardiovascular death, non-fatal myocardial infarction and non-fatal stroke), was observed with a different JAK inhibitor compared with TNF blockers. In this study, patients over 65 years of age,

patients who were current or past smokers, and patients with cardiovascular risk factors had an additional increased risk of MACE.

RINVOQ should be used with particular caution in patients with ulcerative colitis or Crohn's disease and should only be used if no suitable treatment alternatives are available in patients with rheumatoid arthritis, psoriatic arthritis, ankylosing spondylitis, or atopic dermatitis for:

- patients over 65 years of age,
- patients who are current or past smokers,
- patients with other cardiovascular risk factors.

### *Lipids*

Treatment with RINVOQ was associated with increases in lipid parameters, including total cholesterol, low-density lipoprotein (LDL) cholesterol, and high-density lipoprotein (HDL) cholesterol (see Undesirable effects). Elevations in LDL cholesterol decreased to pre-treatment levels in response to statin therapy. The effect of these lipid parameter elevations on cardiovascular morbidity and mortality has not been determined.

Patients should be monitored 12 weeks after initiation of treatment and thereafter according to the international clinical guidelines for hyperlipidemia.

### *Hepatic Transaminase Elevations*

Treatment with RINVOQ was associated with increased incidence of liver enzyme elevation compared to placebo.

Evaluate at baseline and thereafter according to routine patient management. Prompt investigation of the cause of liver enzyme elevation is recommended to identify potential cases of drug-induced liver injury.

If increases in ALT or AST are observed during routine patient management and drug-induced liver injury is suspected, RINVOQ should be interrupted until this diagnosis is excluded.

### *Medication Residue in Stool*

Reports of medication residue in stool or ostomy output have occurred in patients taking RINVOQ. Most reports described anatomic (e.g., ileostomy, colostomy, intestinal resection) or functional gastrointestinal conditions with shortened gastrointestinal transit times. Instruct patients to contact their healthcare provider if medication residue is observed repeatedly. Monitor patients clinically and consider alternative treatment if there is an inadequate therapeutic response.

## **Interactions**

*Potential for other medicinal products to affect the pharmacokinetics of upadacitinib*

Upadacitinib is metabolized in vitro by CYP3A4 with a minor contribution from CYP2D6.

*Strong CYP3A4 inhibitors*

Upadacitinib exposure is increased when co-administered with strong CYP3A4 inhibitors (such as ketoconazole, itraconazole, posaconazole, voriconazole, clarithromycin, and grapefruit). RINVOQ 15 mg once daily should be used with caution in patients receiving chronic treatment with strong CYP3A4 inhibitors. For patients with inflammatory bowel diseases using strong CYP3A4 inhibitors, the recommended induction dose is 30 mg once daily and the recommended maintenance dose is 15 mg once daily (see “Dosage/Administration”). Alternatives to strong CYP3A4 inhibitor medications should be considered when used in the long-term. Food or drink containing grapefruit should be avoided during treatment with upadacitinib.

*Strong CYP3A4 inducers*

Upadacitinib exposure is decreased when co-administered with strong CYP3A4 inducers (such as rifampin), which may lead to reduced therapeutic effect of RINVOQ (see «Pharmacokinetics»). The concomitant use of RINVOQ with strong CYP3A4 inducers is not recommended.

*Other interactions*

Methotrexate, inhibitors of OATP1B transporters, and pH modifying medications (e.g. antacids or proton pump inhibitors) have no effect on upadacitinib plasma exposures. CYP2D6 metabolic phenotype had no effect on upadacitinib pharmacokinetics, indicating that inhibitors of CYP2D6 have no clinically relevant effect on upadacitinib exposure.

The effect of co-administered medicinal products on upadacitinib plasma exposures is provided in Table 3.

**Table 3. Drug Interactions: Change in Pharmacokinetics of Upadacitinib in the presence of Co-administered Drugs**

				Ratio (90% CI) <sup>a</sup>		
Co-administered Drug	Regimen of Co-administered Drug	Regimen of Upadacitinib	N	C <sub>max</sub>	AUC	Clinical Impact

## Information for healthcare professionals

Methotrexate	10 to 25 mg/week for at least 4 weeks	6, 12 or 24 mg twice daily <sup>b</sup> x 26 days	10	0.97 (0.86-1.09)	0.99 (0.93-1.06)	No dose adjustment
Strong CYP3A4 inhibitor: Ketoconazole	400 mg once daily x 6 days	3 mg single dose <sup>b</sup>	11	1.70 (1.55-1.89)	1.75 (1.62-1.88)	RINVOQ 15 mg once daily is the recommended dose for rheumatoid arthritis, psoriatic arthritis, ankylosing spondylitis and atopic dermatitis. Use with caution if used chronically. For ulcerative colitis and Crohn's disease, the induction dose should be reduced to 30 mg and the maintenance dose should be reduced to 15 mg when combined with strong CYP3A4 inhibitors. Alternatives to strong CYP3A4 inhibitor medications should be considered when used in the long-term.
Strong CYP3A4 inducer: Rifampicin	600 mg once daily x 9 days	12 mg single dose <sup>b</sup>	12	0.49 (0.44-0.55)	0.39 (0.37-0.42)	May decrease efficacy Concomitant intake not recommended

OATP1B inhibitor: Rifampicin	600 mg single dose	12 mg single dose <sup>b</sup>	12	1.14 (1.02-1.28)	1.07 (1.01-1.14)	No dose adjustment is recommended when upadacitinib is administered with OATP1B inhibitors
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CI: Confidence interval

<sup>a</sup> Ratios for  $C_{max}$  and AUC compare co-administration of the medication with upadacitinib vs. administration of upadacitinib alone.

<sup>b</sup> Upadacitinib was administered as an immediate-release formulation.

*Potential for Upadacitinib to Affect the Pharmacokinetics of Other Drugs*

*In vitro* studies indicate that upadacitinib does not inhibit the activity of cytochrome P450 (CYP) enzymes (CYP1A2, CYP2B6, CYP2C8, CYP2C9, CYP2C19, CYP2D6, and CYP3A4) at clinically relevant concentrations. *In vitro* studies indicate that upadacitinib induces CYP3A4 but does not induce CYP2B6 or CYP1A2 at clinically relevant concentrations. *In vitro* studies indicate that upadacitinib does not inhibit the transporters P-gp, BCRP, OATP1B1, OATP1B3, OCT1, OCT2, OAT1, OAT3, MATE1, and MATE2K at clinically relevant concentrations.

Clinical studies indicate that upadacitinib has no clinically relevant effects on the pharmacokinetics of co-administered drugs. Following upadacitinib 30 mg and 45 mg once daily, the effects on each CYP enzymes (CYP1A2, CYP3A, CYP2C9, and CYP2C19) were similar between two doses except for the effect on CYP2D6. Following upadacitinib 30 mg and 45 mg once daily, a weak induction of CYP3A4 was observed. A weak inhibition of CYP2D6 was observed at upadacitinib 45 mg but not at 30 mg.

The effect of upadacitinib on plasma exposures of other drugs is provided in Table 4.

**Table 4. Drug Interactions: Change in Pharmacokinetics of Co-administered Drugs in the Presence of Upadacitinib.**

Co-administered Drug	Regimen of Co-administered Drug	Regimen of Upadacitinib	N	Ratio (90% CI) <sup>a</sup>		Clinical Impact
				C <sub>max</sub>	AUC	
Methotrexate	10 to 25 mg/week for at least 4 weeks	6, 12 or 24 mg twice daily <sup>b</sup> x 26 days	10	1.03 (0.86-1.23)	1.14 (0.91-1.43)	No dose adjustment
Sensitive CYP1A2 Substrate: Caffeine	200 mg single dose	45 mg once daily <sup>c</sup> x 11 days	18	1.05 (0.97-1.14)	1.04 (0.95-1.13)	No dose adjustment
Sensitive CYP3A Substrate: Midazolam	5 mg single dose	30 mg once daily <sup>c</sup> x 10 days	20	0.74 (0.68-0.80)	0.74 (0.68-0.80)	No dose adjustment
Sensitive CYP3A Substrate: Midazolam	5 mg single dose	45 mg once daily <sup>c</sup> x 10 days	19	0.75 (0.69-0.83)	0.76 (0.69-0.83)	No dose adjustment
Sensitive CYP2D6 Substrate: Dextromethorphan	30 mg single dose	30 mg once daily <sup>c</sup> x 11 days	20	1.09 (0.98-1.21)	1.07 (0.95-1.22)	No dose adjustment
Sensitive CYP2D6 Substrate: Dextromethorphan	30 mg single dose	45 mg once daily <sup>c</sup> x 11 days	19	1.30 (1.13- 1.50)	1.35 (1.18- 1.54)	No dose adjustment
Sensitive CYP2C9 Substrate: S-Warfarin	10 mg single dose	45 mg once daily <sup>c</sup> x 11 days	18	1.18 (1.05-1.33)	1.12 (1.05-1.20)	No dose adjustment

## Information for healthcare professionals

				Ratio (90% CI) <sup>a</sup>		
Co-administered Drug	Regimen of Co-administered Drug	Regimen of Upadacitinib	N	C <sub>max</sub>	AUC	Clinical Impact
Sensitive CYP2C19 Marker: 5-OH Omeprazole to Omeprazole metabolic ratio	40 mg single dose of omeprazole	45 mg once daily <sup>c</sup> x 11 days	18	--	0.96 (0.90-1.02)	No dose adjustment
CYP2B6 Substrate: Bupropion	150 mg single dose	30 mg once daily <sup>c</sup> x 11 days	22	0.87 (0.79-0.96)	0.92 (0.87-0.98)	No dose adjustment
Rosuvastatin	5 mg single dose	30 mg once daily <sup>c</sup> x 10 days	12	0.77 (0.63-0.94)	0.67 (0.56-0.82)	No dose adjustment
Atorvastatin	10 mg single dose	30 mg once daily <sup>c</sup> x 10 days	24	0.88 (0.79-0.97)	0.77 (0.70-0.85)	No dose adjustment
Oral Contraceptive: Ethinylestradiol	0.03 mg single dose	30 mg once daily <sup>c</sup> x 14 days	22	0.96 (0.89-1.02)	1.11 (1.04-1.19)	No dose adjustment
Oral Contraceptive: Levonorgestrel	0.15 mg single dose	30 mg once daily <sup>c</sup> x 14 days	22	0.96 (0.87-1.06)	0.96 (0.85-1.07)	No dose adjustment

CI: Confidence interval

<sup>a</sup> Ratios for C<sub>max</sub> and AUC compare co-administration of the medication with upadacitinib vs. administration of medication alone.

<sup>b</sup> Immediate-release formulation

<sup>c</sup> Extended-release formulation

No dose adjustment is recommended for CYP3A substrates, CYP2D6 substrates, rosuvastatin or atorvastatin when coadministered with upadacitinib. Upadacitinib has no relevant effects on plasma exposures of ethinylestradiol, levonorgestrel, methotrexate, or medicinal products that are substrates for metabolism by CYP1A2, CYP2B6, CYP2C19, or CYP2C9.

### **Pregnancy, lactation**

#### *Pregnancy*

There are limited data on the use of upadacitinib in pregnant women. Studies in animals have shown reproductive toxicity (see «Preclinical Data»). Upadacitinib was teratogenic in rats and rabbits with effects in bones in rat foetuses and in the heart in rabbit foetuses when exposed *in utero*.

RINVOQ must not be used during pregnancy unless clearly necessary. Females of reproductive potential should be advised that effective contraception should be used during treatment and for 4 weeks following the final dose of RINVOQ.

If a patient becomes pregnant while taking RINVOQ, the parents should be informed of the potential risk to the foetus.

#### *Lactation*

It is unknown whether upadacitinib/metabolites are excreted in human milk. Available pharmacodynamic/toxicological data in animals have shown excretion of upadacitinib in milk. A risk to newborns/infants is possible. RINVOQ should not be used during breast-feeding. A decision must be made whether to discontinue breast-feeding or to discontinue RINVOQ therapy taking into account the benefit of breast-feeding for the child and the benefit of therapy for the woman.

#### *Fertility*

The effect of upadacitinib on human fertility has not been evaluated. Animal studies do not indicate effects with respect to fertility (see «Preclinical Data»).

### **Effects on ability to drive and use machines**

The effect of RINVOQ on the ability to drive or use machines has not been specifically investigated.

### **Undesirable effects**

#### *Summary of the safety profile*

In the placebo-controlled clinical trials for rheumatoid arthritis, psoriatic arthritis, and ankylosing spondylitis, the most commonly reported adverse drug reactions (ADRs) occurring in  $\geq 2\%$  of patients treated with RINVOQ 15 mg were upper respiratory tract infections, blood creatine phosphokinase (CPK) increased, alanine transaminase increased, bronchitis, nausea, neutropenia, cough, aspartate transaminase increased, and hypercholesterolemia.



In the placebo-controlled atopic dermatitis clinical trials, the most commonly reported adverse drug reactions ( $\geq 2\%$  of patients) with RINVOQ 15 mg were upper respiratory tract infection, acne, herpes simplex, headache, blood CPK increased, cough, folliculitis, abdominal pain, nausea, and influenza.

In the placebo-controlled ulcerative colitis and Crohn's disease induction and maintenance clinical trials, the most commonly reported adverse reactions ( $\geq 3\%$  of patients) with RINVOQ 45 mg, 30 mg or 15 mg were upper respiratory tract infection, pyrexia, blood CPK increased, anemia, headache, acne, herpes zoster, neutropenia, rash, pneumonia, hypercholesterolemia, bronchitis, aspartate transaminase increased, fatigue, folliculitis, alanine transaminase increased, herpes simplex, and influenza.

The most common serious adverse reactions were serious infections (see "warnings and precautions").

### *Rheumatoid Arthritis*

A total of 4443 patients with rheumatoid arthritis were treated with RINVOQ in clinical studies representing 5263 patient-years of exposure, of whom 2972 were exposed to RINVOQ for at least one year. In the Phase 3 studies, 2630 patients received at least 1 dose of RINVOQ 15 mg, of whom 1607 were exposed for at least one year.

Three placebo-controlled studies were integrated (1035 patients on RINVOQ 15 mg once daily and 1042 patients on placebo) to evaluate the safety of RINVOQ 15 mg in comparison to placebo for up to 12-14 weeks after treatment initiation.

### *Psoriatic Arthritis*

A total of 1827 patients with psoriatic arthritis were treated with RINVOQ in clinical studies representing 1639.2 patient-years of exposure, of whom 722 were exposed to RINVOQ for at least one year. In the Phase 3 studies, 907 patients received at least 1 dose of RINVOQ 15 mg, of whom 359 were exposed for at least one year.

Two placebo-controlled studies were integrated (640 patients on RINVOQ 15 mg once daily and 635 patients on placebo) to evaluate the safety of RINVOQ 15 mg in comparison to placebo for up to 24 weeks after treatment initiation.

### *Ankylosing Spondylitis*

A total of 596 patients with ankylosing spondylitis were treated with RINVOQ 15 mg in the two clinical studies representing 577.3 patient-years of exposure, of whom 228 were exposed to RINVOQ 15 mg for at least one year.

### *Atopic Dermatitis*

A total of 2898 patients with atopic dermatitis were treated with RINVOQ in clinical studies representing approximately 3255 patient-years of exposure, of whom 1920 patients were exposed for at least one year. In the three global Phase 3 studies, 1239 patients received at least 1 dose of RINVOQ 15 mg, of whom 791 were exposed for at least one year.

Four global placebo-controlled studies (one Phase 2 study and three Phase 3 studies) were integrated (899 patients on RINVOQ 15 mg once daily and 902 patients on placebo) to evaluate the safety of RINVOQ 15 mg in comparison to placebo for up to 16 weeks after treatment initiation.

### *Ulcerative Colitis*

RINVOQ has been studied in patients with moderately to severely active UC in one Phase 2b and three Phase 3 (UC-1, UC-2 and UC-3) randomized, double-blind, placebo-controlled clinical studies and a long-term extension study (see «Clinical Efficacy») with a total of 1304 patients representing 1821 patient-years of exposure, of whom 721 patients were exposed for at least one year.

In the induction studies (Phase 2b, UC-1, and UC-2), 719 patients received at least 1 dose of RINVOQ 45 mg, of whom 513 were exposed for 8 weeks and 127 subjects were exposed for up to 16 weeks.

In the maintenance study UC-3 and the long-term extension study, 285 patients received at least one dose of RINVOQ 15 mg, of whom 131 were exposed for at least one year, and 291 patients received at least one dose of RINVOQ 30 mg, of whom 137 were exposed for at least one year.

### *Crohn's disease*

RINVOQ has been studied in patients with moderately to severely active CD in three Phase 3 (CD-1, CD-2, and CD-3) randomized, double-blind, placebo-controlled clinical studies (see CLINICAL STUDIES) with a total of 833 patients representing 1203 patient-years of exposure, of whom a total of 536 patients were exposed for at least one year.

In the induction studies (CD-1 and CD-2), 674 patients received at least one dose of RINVOQ 45 mg during the placebo-controlled period, of whom 592 were exposed for 12 weeks and 142 patients received at least one dose of RINVOQ 30 mg during the extended treatment period.

In the maintenance study CD-3, 221 patients received at least one dose of RINVOQ 15 mg, of whom 89 were exposed for at least one year and 229 patients received at least one dose of RINVOQ 30 mg, of whom 107 were exposed for at least one year.

### *Summary of adverse reactions*

The adverse reactions are listed below by body system organ class and frequency. Frequencies are defined as follows: very common ( $\geq 1/10$ ), common ( $\geq 1/100$  to  $< 1/10$ ), uncommon ( $\geq 1/1,000$  to  $< 1/100$ ), rare ( $\geq 1/10,000$  to  $< 1/1,000$ ) or very rare ( $< 1/10,000$ ).

The frequencies are based on the highest of the rates of adverse reactions reported with RINVOQ in clinical trials in one or more indications (rheumatologic disease (15 mg), atopic dermatitis (15 mg and 30 mg), ulcerative colitis (15 mg, 30 mg and 45 mg) or Crohn's disease (15 mg, 30 mg, and 45 mg)).

Within each frequency grouping, undesirable effects are presented in order of decreasing seriousness. When notable differences in frequency were observed between indications, these are presented in the footnotes below.

### *Infections and infestations*

*Very Common:* Upper respiratory tract infections (URTI)<sup>a</sup> (22.6% for 15 mg and 25.4% for 30 mg in atopic dermatitis trials)

*Common:* Bronchitis<sup>b,c</sup>, Herpes zoster<sup>d</sup>, Herpes simplex<sup>e</sup>, Folliculitis, Influenza, Pneumonia<sup>f,g</sup>, Urinary tract infection

*Uncommon:* Oral candidiasis

### *Neoplasms benign, malignant and unspecified (including cysts and polyps)*

*Common:* Non-melanoma skin cancer<sup>c,h,i</sup>

### *Blood and lymphatic system disorders*

*Common:* Neutropenia<sup>j</sup>, Anemia, Lymphopenia<sup>k</sup>

### *Metabolism and nutrition disorders*

*Common:* Hypercholesterolemia<sup>c,l</sup>, Hyperlipidemia<sup>m</sup>, weight increased<sup>h</sup>

*Uncommon:* Hypertriglyceridemia

### *Nervous system disorders*

*Common:* Headache<sup>n</sup>

### *Respiratory, thoracic and mediastinal disorders*

*Common:* Cough

### *Gastrointestinal disorders*

*Common:* Nausea, Abdominal pain<sup>o</sup>

*Uncommon:* Gastrointestinal perforation<sup>p</sup>

*Hepatobiliary disorders*

*Common:* ALT increased<sup>c</sup>, AST increased<sup>c</sup>

*Skin and subcutaneous tissue disorders*

*Very Common:* Acne<sup>h,q,r,s</sup> (9.6% for 15 mg and 15.1% for 30 mg in atopic dermatitis trials)

*Common:* Urticaria<sup>h,r</sup>, Rash<sup>t</sup>

*Musculoskeletal and connective tissue disorders*

*Common:* Blood creatine phosphokinase (CPK) increased

*General disorders*

*Common:* Pyrexia, Fatigue

<sup>a</sup> URTI includes acute sinusitis, laryngitis, laryngitis viral, nasopharyngitis, oropharyngeal pain, pharyngeal abscess, pharyngitis, pharyngitis streptococcal, pharyngotonsillitis, respiratory tract infection, respiratory tract infection viral, rhinitis, rhinolaryngitis, sinusitis, tonsillitis, tonsillitis bacterial, upper respiratory tract infection, viral pharyngitis, and viral upper respiratory tract infection

<sup>b</sup> Bronchitis includes bronchitis, bronchitis bacterial, bronchitis viral, and tracheobronchitis

<sup>c</sup> In atopic dermatitis trials, the frequency of bronchitis, non-melanoma skin cancer, hypercholesterolemia, ALT increased, and AST increased was uncommon.

<sup>d</sup> Herpes zoster includes herpes zoster, herpes zoster disseminated, herpes zoster meningitis, post herpetic neuralgia, and varicella zoster virus infection

<sup>e</sup> Herpes simplex includes genital herpes, genital herpes simplex, herpes dermatitis, herpes ophthalmic, herpes simplex, herpes simplex pharyngitis, herpes virus infection, nasal herpes, ophthalmic herpes simplex, and oral herpes

<sup>f</sup> Pneumonia includes atypical pneumonia, COVID-19 pneumonia, pneumonia, pneumonia bacterial, pneumonia pneumococcal, and pneumonia viral

<sup>g</sup> Pneumonia was common in Crohn's disease and uncommon across other indications.

<sup>h</sup> In Crohn's disease trials, the frequency was common for acne, and uncommon for urticaria, weight increased, and non-melanoma skin cancer.

<sup>i</sup> Non-melanoma skin cancer includes basal cell carcinoma

<sup>j</sup> Neutropenia includes granulocyte count decreased, neutropenia, and neutrophil count decreased

<sup>k</sup> Lymphopenia includes lymphocyte count decreased, lymphocyte percentage decreased, and lymphopenia

<sup>l</sup> Hypercholesterolemia includes blood cholesterol increased and hypercholesterolemia

<sup>m</sup> Hyperlipidemia includes dyslipidemia, hyperlipidemia, and low density lipoprotein increased

<sup>n</sup> Headache includes headache, sinus headache, and tension headache

<sup>o</sup> Abdominal pain includes abdominal pain, abdominal pain lower, abdominal pain upper, abdominal tenderness, and GI pain

<sup>p</sup> Frequency is based on Crohn's disease clinical trials.

<sup>q</sup> Acne includes acne, acne cystic, and dermatitis acneiform

<sup>r</sup> In rheumatologic disease trials, the frequency was common for acne and uncommon for urticaria.

<sup>s</sup> In ulcerative colitis trials, the frequency was common for acne.

<sup>t</sup> Rash includes rash, rash erythematous, rash follicular, rash macular, rash maculopapular, rash papular, rash pruritic, rash pustular, and rash generalized

### *Rheumatoid Arthritis*

#### *Specific Adverse Reactions*

##### *Infections*

In placebo-controlled clinical studies with background DMARDs, the frequency of infection over 12/14 weeks in the RINVOQ 15 mg group was 27.4% compared to 20.9% in the placebo group. In MTX-controlled studies, the frequency of infection over 12/14 weeks in the RINVOQ 15 mg monotherapy group was 19.5% compared to 24.0% in the MTX group. The overall long-term rate of infections for the RINVOQ 15 mg group across all five Phase 3 clinical studies (2630 patients) was 93.7 events per 100 patient-years.

In placebo-controlled clinical studies with background DMARDs, the frequency of serious infection over 12/14 weeks in the RINVOQ 15 mg group was 1.2% compared to 0.6% in the placebo group. In MTX-controlled studies, the frequency of serious infection over 12/14 weeks in the RINVOQ 15 mg monotherapy group was 0.6% compared to 0.4% in the MTX group. The overall long-term rate of serious infections for the RINVOQ 15 mg group across all five Phase 3 clinical studies was 3.8 events per 100 patient-years. The most frequently reported serious infections were pneumonia and cellulitis. The rate of serious infections remained stable with long term exposure.

##### *Tuberculosis*

In placebo-controlled clinical studies with background DMARDs, there were no active cases of TB reported in any treatment group. In MTX-controlled studies, there were no cases over 12/14 weeks in either the RINVOQ 15 mg monotherapy group or the MTX group. The overall long-term rate of active TB for the RINVOQ 15 mg group across all five Phase 3 clinical studies was 0.1 events per 100 patient-years.

##### *Opportunistic Infections (excluding tuberculosis)*

In placebo-controlled clinical studies with background DMARDs, the frequency of opportunistic infections over 12/14 weeks in the RINVOQ 15 mg group was 0.5% compared to 0.3% in the placebo group. In MTX-controlled studies, there were no cases of opportunistic infection over 12/14 weeks in

the RINVOQ 15 mg monotherapy group and 0.2% in the MTX group. The overall long-term rate of opportunistic infections for the RINVOQ 15 mg group across all five Phase 3 clinical studies was 0.6 events per 100 patient-years.

The long-term rate of herpes zoster for the RINVOQ 15 mg group across all five Phase 3 clinical studies was 3.7 events per 100 patient-years. Most of the herpes zoster events involved a single dermatome and were non-serious.

### *Malignancy*

In placebo-controlled clinical studies with background DMARDs, the frequency of malignancies excluding NMSC over 12/14 weeks in the RINVOQ 15 mg group was <0.1% compared to <0.1% in the placebo group. In MTX-controlled studies, the frequency of malignancies excluding NMSC over 12/14 weeks in the RINVOQ 15 mg monotherapy group was 0.6% compared to 0.2% in the MTX group. The overall long-term incidence rate of malignancies excluding NMSC for the RINVOQ 15 mg group in the clinical trial program was 0.8 per 100 patient-years.

### *Non-Melanoma Skin Cancer (NMSC)*

In placebo-controlled clinical studies, the frequency of NMSC over 12/14 weeks in the RINVOQ 15 mg group and placebo group was 0% and <0.1%, respectively. The long-term rate of NMSC for all patients treated with RINVOQ 15 mg in the clinical trial program was 0.3 per 100 patient-years.

### *Gastrointestinal Perforations*

In placebo-controlled clinical studies with background DMARDs, the frequency of gastrointestinal perforations in the RINVOQ 15 mg group was 0.2% compared to 0% in the placebo group. In MTX-controlled studies, there were no gastrointestinal perforations over 12/14 weeks in either the RINVOQ 15 mg monotherapy group or the MTX group. The overall long-term rate of gastrointestinal perforation for the RINVOQ 15 mg group across all five Phase 3 clinical studies was 0.08 events per 100 patient-years.

### *Thrombosis*

In placebo-controlled studies with background DMARDs, there were two (0.2%) venous thrombosis events (VTE, pulmonary embolism or deep vein thrombosis) in the RINVOQ 15 mg group compared to one event (0.1%) in the placebo group. In MTX-controlled studies, there was one venous thrombosis event (0.2%) over 12/14 weeks in the RINVOQ 15 mg monotherapy group and there were no events in the MTX group. The overall long-term incidence rate of venous thrombosis events for the RINVOQ 15 mg group across all five Phase 3 clinical studies was 0.6 per 100 patient-years.

### *Hepatic transaminase elevations*

In placebo-controlled studies with background DMARDs, for up to 12/14 weeks, alanine transaminase (ALT) and aspartate transaminase (AST) elevations  $\geq 3$  x upper limit of normal (ULN) in at least one measurement were observed in 2.1% and 1.5% of patients treated with RINVOQ 15 mg, compared to 1.5% and 0.7%, respectively, of patients treated with placebo. Most cases of hepatic transaminase elevations were asymptomatic and transient.

In MTX-controlled studies, for up to 12/14 weeks, ALT and AST elevations  $\geq 3$  x upper limit of normal (ULN) in at least one measurement were observed in 0.8% and 0.4% of patients treated with RINVOQ 15 mg, compared to 1.9% and 0.9% respectively of patients treated with MTX.

The pattern and incidence of elevation in ALT/AST remained stable over time including in long-term extension studies.

### *Lipid elevations*

RINVOQ 15 mg treatment was associated with increases in lipid parameters including total cholesterol, triglycerides, LDL cholesterol, and HDL cholesterol. Elevations in LDL and HDL cholesterol peaked by week 8 and remained stable thereafter. In controlled studies, for up to 12/14 weeks, changes from baseline in lipid parameters in patients treated with RINVOQ 15 mg are summarized below:

- Mean LDL cholesterol increased by 0.38 mmol/L.
- Mean HDL cholesterol increased by 0.21 mmol/L.
- The mean LDL/HDL ratio remained stable.
- Mean triglycerides increased by 0.15 mmol/L.

### *Creatine phosphokinase (CPK)*

In placebo-controlled studies with background DMARDs, for up to 12/14 weeks, increases in creatine phosphokinase (CPK) values were observed. CPK elevations  $> 5$  x ULN were reported in 1.0 %, and 0.3 % of patients over 12/14 weeks in the RINVOQ 15 mg and placebo groups, respectively. Most elevations  $>5$  x ULN were transient and did not require treatment discontinuation. Mean CPK values increased by 4 weeks and then remained stable at the increased value thereafter including with extended therapy.

### *Neutropenia*

In placebo-controlled studies with background DMARDs, for up to 12/14 weeks, decreases in neutrophil counts, below 1000 cells/mm<sup>3</sup> in at least one measurement occurred in 1.1% and  $<0.1\%$  of patients in the RINVOQ 15 mg and placebo groups, respectively. In clinical studies, treatment was interrupted in response to ANC  $<1000$  cells/mm<sup>3</sup>. The pattern and incidence of decreases in

neutrophil counts remained stable at a lower value than baseline over time including with extended therapy.

### *Lymphopenia*

In placebo-controlled studies with background DMARDs, for up to 12/14 weeks, decreases in lymphocyte counts below 500 cells/mm<sup>3</sup> in at least one measurement occurred in 0.9% and 0.7% of patients in the RINVOQ 15 mg and placebo groups, respectively.

### *Anemia*

In placebo-controlled studies with background DMARDs, for up to 12/14 weeks, hemoglobin decrease below 8 g/dL in at least one measurement occurred in <0.1 % of patients in both the RINVOQ 15 mg and placebo groups.

### *Psoriatic Arthritis*

Overall, the safety profile observed in patients with active psoriatic arthritis treated with RINVOQ 15 mg was consistent with the safety profile observed in patients with rheumatoid arthritis. A higher incidence of acne and bronchitis was observed in patients treated with RINVOQ 15 mg (1.3% and 3.9%, respectively) compared to placebo (0.3% and 2.7%, respectively).

### *Atopic dermatitis*

#### *Opportunistic infections (excluding tuberculosis)*

In the placebo-controlled period of the clinical studies in patients with atopic dermatitis, all opportunistic infections (excluding TB and herpes zoster) reported were eczema *herpeticum*. The frequency of eczema *herpeticum* over 16 weeks in the RINVOQ 15 mg group was 0.7% compared to 0.4% in the placebo group. The long-term rate of eczema *herpeticum* for the RINVOQ 15 mg group was 1.6 events per 100 patient-years.

### *Ulcerative Colitis*

#### *Specific Adverse Reactions*

For all of the following adverse reaction rates, patients in the placebo group and the RINVOQ 15 mg and 30 mg groups referenced in the placebo-controlled maintenance study all received RINVOQ 45 mg for 8 weeks prior to entering the placebo-controlled maintenance study.

### *Infections*

In the placebo-controlled induction studies, the frequency of infection over 8 weeks in the RINVOQ 45 mg group and the placebo group was 20.7% and 17.5%, respectively. In the placebo-controlled



maintenance study, the frequency of infection up to 52 weeks in the RINVOQ 15 mg and 30 mg groups was 38.4% and 40.6%, respectively, and 37.6% in the placebo group. The long-term rate of infection for RINVOQ 15 mg and 30 mg was 73.8 and 82.6 events per 100 patient-years, respectively.

### *Serious Infections*

In the placebo-controlled induction studies, the frequency of serious infection over 8 weeks in the RINVOQ 45 mg group and the placebo group was 1.3% and 1.3%, respectively. No additional serious infections were observed over 8-week extended induction treatment with RINVOQ 45 mg. In the placebo-controlled maintenance study, the frequency of serious infection up to 52 weeks in the RINVOQ 15 mg and 30 mg groups was 3.2%, and 2.4%, respectively, and 3.3% in the placebo group. The long-term rate of serious infection for the RINVOQ 15 mg and 30 mg groups was 4.1 and 3.9 events per 100 patient-years, respectively. The most frequently reported serious infection in the ulcerative colitis studies was COVID-19 pneumonia.

### *Tuberculosis*

In the clinical studies for ulcerative colitis, there was 1 case of active tuberculosis reported in a patient receiving RINVOQ 15 mg during the long-term extension study.

### *Opportunistic Infections (excluding tuberculosis)*

In the placebo-controlled induction studies over 8 weeks, the frequency of opportunistic infection (excluding tuberculosis and herpes zoster) in the RINVOQ 45 mg group was 0.4% and 0.3% in the placebo group. No additional opportunistic infections (excluding tuberculosis and herpes zoster) were observed over 8-week extended induction treatment with RINVOQ 45 mg. In the placebo-controlled maintenance study up to 52 weeks, the frequency of opportunistic infection (excluding tuberculosis and herpes zoster) in the RINVOQ 15 mg and 30 mg groups was 0.8% and 0.4%, respectively, and 0.8% in the placebo group. The long-term rate of opportunistic infection (excluding tuberculosis and herpes zoster) for the RINVOQ 15 mg and 30 mg groups was 0.6 and 0.3 per 100 patient-years, respectively.

In the placebo-controlled induction studies over 8 weeks, the frequency of herpes zoster in the RINVOQ 45 mg group was 0.6% and 0% in the placebo group. The frequency of herpes zoster was 3.9% over 16-week treatment with RINVOQ 45 mg. In the placebo controlled maintenance study up to 52 weeks, the frequency of herpes zoster in the RINVOQ 15 mg and 30 mg groups was 4.4% and 4.0%, respectively, compared to 0% in the placebo group. The long term rate of herpes zoster for the RINVOQ 15 mg and 30 mg groups was 5.7 and 6.3 events per 100 patient-years, respectively.

### *Malignancy*

In the placebo-controlled induction studies with RINVOQ 45 mg over 8 weeks, there were no reports of malignancy. In the placebo-controlled maintenance study up to 52 weeks, the frequency of malignancies excluding NMSC in the RINVOQ 15 mg and 30 mg groups was 0.4% and 0.8%, respectively, and 0.4% in the placebo group. The long-term incidence rate of malignancies excluding NMSC for the RINVOQ 15 mg and 30 mg was 0.3 and 1.0 per 100 patient years, respectively. In a supplemental analysis in patients who received any dose of RINVOQ during any treatment period (N=1299, 2531.7 patient-years, mean exposure 102 weeks), the exposure-adjusted event rate of malignancies excluding NMSC was 0.6 per 100 patient years. In an analysis with limited long-term data of patients who received placebo during any treatment period prior to receiving any dose of RINVOQ (N=375, 131.0 patient-years, mean exposure 18 weeks), no malignancies excluding NMSC were observed prior to their switch to RINVOQ or discontinuation from placebo.

### *Major adverse cardiovascular events (MACE)*

In the placebo-controlled induction studies with RINVOQ 45 mg over 8 weeks, there were no reports of MACE. In the placebo-controlled maintenance study up to 52 weeks, the frequency of MACE in the RINVOQ 30 mg group and the placebo group was 0.4% and 0.4%, respectively. The long-term incidence rate of MACE for the RINVOQ 30 mg was 0.7 per 100 patient years. There were no reports of MACE in the RINVOQ 15 mg group in the performed analyses.

In a supplemental analysis in patients who received any dose of RINVOQ during any treatment period (N=1299, 2531.7 patient-years, mean exposure 102 weeks), the exposure-adjusted event rate of MACE was 0.2 per 100 patient years. In an analysis with limited long-term data of patients who received placebo during any treatment period prior to receiving any dose of RINVOQ (N=375, 131.0 patient-years, mean exposure 18 weeks), no events of MACE were observed prior to their switch to RINVOQ or discontinuation from placebo.

### *Gastrointestinal Perforations*

In the clinical studies for ulcerative colitis, there was 1 case of gastrointestinal perforation reported in a patient receiving RINVOQ 15 mg during the long-term extension study.

### *Thrombosis*

In the placebo-controlled induction studies, the frequency of venous thrombosis (pulmonary embolism or deep vein thrombosis) over 8 weeks in the RINVOQ 45 mg group was 0.1% and 0.3% in the placebo group, respectively. No additional events of venous thrombosis were reported with RINVOQ 45 mg extended induction treatment. In the placebo-controlled maintenance study, the frequency of venous thrombosis up to 52 weeks in the RINVOQ 15 mg and 30 mg groups was 0.8% and 0.8%, respectively, and 0% in the placebo group. The long-term incidence rate of venous thrombosis for RINVOQ 15 mg and 30 mg was 1.0 and 0.7 per 100 patient-years, respectively. In a supplemental

analysis in patients who received any dose of RINVOQ during any treatment period (N=1299, 2531.7 patient-years, mean exposure 102 weeks), the exposure-adjusted event rate of venous thrombosis was 0.6 per 100 patient years. In an analysis with limited long-term data of patients who received placebo during any treatment period prior to receiving any dose of RINVOQ (N=375, 131.0 patient-years, mean exposure 18 weeks), the exposure-adjusted event rate of venous thrombosis was 1.5 per 100 patient-years prior to their switch to RINVOQ or discontinuation from placebo.

### *Hepatic transaminase elevations*

In the placebo-controlled induction studies over 8 weeks, alanine transaminase (ALT) and aspartate transaminase (AST) elevations  $\geq 3$  x upper limit of normal (ULN) in at least one measurement were observed in 1.5% and 1.5% of patients treated with RINVOQ 45 mg and 0% and 0.3% with placebo, respectively. In the placebo-controlled maintenance study up to 52 weeks, ALT elevations  $\geq 3$  x ULN in at least one measurement were observed in 2.0% and 4.0% of patients treated with RINVOQ 15 mg and 30 mg and 0.8% with placebo, respectively. AST elevations  $\geq 3$  x ULN in at least one measurement were observed in 1.6% and 2.0% of patients treated with RINVOQ 15 mg and 30 mg and 0.4% with placebo, respectively. Most cases of hepatic transaminase elevations were asymptomatic and transient. The pattern and incidence of ALT/AST elevations remained generally stable over time including in long-term extension studies.

### *Lipid elevations*

RINVOQ treatment was associated with increases in lipid parameters including total cholesterol, LDL cholesterol, and HDL cholesterol in placebo-controlled induction and maintenance studies over 8 and up to 52 weeks, respectively. Changes from baseline in lipid parameters are summarized below:

- Mean total cholesterol increased by 0.95 mmol/L in the RINVOQ 45 mg induction group and by 0.87 mmol/L and 1.19 mmol/L in the RINVOQ 15 mg and 30 mg maintenance groups, respectively.
- Mean HDL increased by 0.44 mmol/L in the RINVOQ 45 mg induction group and by 0.21 mmol/L and 0.34 mmol/L in the RINVOQ 15 mg and 30 mg maintenance groups, respectively.
- Mean LDL increased by 0.52 mmol/L in the RINVOQ 45 mg induction group and by 0.65 mmol/L and 0.83 mmol/L in the RINVOQ 15 mg and 30 mg maintenance groups, respectively.
- Mean triglycerides decreased by 0.05 mmol/L in the RINVOQ 45 mg induction group and increased by 0.03 mmol/L and 0.08 mmol/L in the RINVOQ 15 mg and 30 mg maintenance groups, respectively.

### *Creatine phosphokinase elevations*

In the placebo-controlled induction studies over 8 weeks, increases in creatine phosphokinase (CPK) values were observed. CPK elevations  $> 5$  x ULN were reported in 2.2% and 0.3% of patients in the

RINVOQ 45 mg and placebo groups, respectively. In the placebo-controlled maintenance study up to 52 weeks, CPK elevations > 5 x ULN were reported in 4.0% and 6.4% of patients in the RINVOQ 15 mg and 30 mg groups and 1.2% in the placebo group, respectively. Most elevations > 5 x ULN were transient and did not require treatment discontinuation.

### *Neutropenia*

In the placebo-controlled induction studies over 8 weeks, decreases in neutrophil counts below 1000 cells/mm<sup>3</sup> in at least one measurement occurred in 2.8% of patients in the RINVOQ 45 mg group and 0% in the placebo group, respectively. In the placebo-controlled maintenance study up to 52 weeks, decreases in neutrophil counts below 1000 cells/mm<sup>3</sup> in at least one measurement occurred in 0.8% and 2.4% of patients in the RINVOQ 15 mg and 30 mg groups and 0.8% in the placebo group, respectively.

### *Lymphopenia*

In the placebo-controlled induction studies over 8 weeks, decreases in lymphocyte counts below 500 cells/mm<sup>3</sup> in at least one measurement occurred in 2.0% of patients in the RINVOQ 45 mg group and 0.8% in the placebo group. In the placebo-controlled maintenance study up to 52 weeks, decreases in lymphocyte counts below 500 cells/mm<sup>3</sup> in at least one measurement occurred in 1.6% and 0.8% of patients in the RINVOQ 15 mg and 30 mg groups and to 0.8% in the placebo group, respectively.

### *Anemia*

In the placebo-controlled induction studies over 8 weeks, hemoglobin decreases below 8 g/dL in at least one measurement occurred in 0.3% of patients in the RINVOQ 45 mg group and 2.1% in the placebo group. In the placebo-controlled maintenance study up to 52 weeks, hemoglobin decreases below 8 g/dL in at least one measurement occurred in 0.4% and 0.4% of patients in the RINVOQ 15 mg and 30 mg groups and 1.2% in the placebo group, respectively.

### *Crohn's disease*

#### *Specific Adverse Reactions*

Overall, the safety profile observed in patients with CD treated with RINVOQ was consistent with the known safety profile of RINVOQ.

#### *Gastrointestinal Perforations*

During the placebo-controlled period in the Phase 3 induction clinical studies, gastrointestinal perforation was reported in 1 patient (0.1%) treated with RINVOQ 45 mg and no patients on placebo

through 12 weeks. In all patients treated with RINVOQ 45 mg (n=938) during the induction studies, gastrointestinal perforation was reported in 4 patients (0.4%).

In the long-term placebo-controlled period, gastrointestinal perforation was reported in 1 patient each treated with placebo (0.7 per 100 patient-years), RINVOQ 15 mg (0.4 per 100 patient-years), and RINVOQ 30 mg (0.4 per 100 patient-years). In all patients treated with rescue RINVOQ 30 mg (n=336), gastrointestinal perforation was reported in 3 patients (0.8 per 100 patient-years) through long-term treatment.

### *Malignancy*

In the placebo-controlled induction studies, there were no reports of malignancy excluding NMSC. In the long-term placebo-controlled period, malignancies excluding NMSC were reported in 1 patient treated with placebo (0.7 per 100 patient-years), 1 patient treated with RINVOQ 15 mg (0.4 per 100 patient-years), and 4 patients treated with RINVOQ 30 mg (1.5 per 100 patient-years).

### *Non-Melanoma Skin Cancer (NMSC)*

In the maintenance therapy trial in patients with Crohn's disease, one case of NMSC was documented in the group of patients (n=51) who, following a lack of response to induction therapy with 45 mg once daily for 12 weeks, received an extended induction of 30 mg once daily for an additional 12 weeks and subsequent maintenance therapy of 30 mg once daily until week 52.

### *Undesirable effects from the post-marketing phase*

The following adverse reactions have been identified during post-approval use of RINVOQ. Because these reactions are reported voluntarily from a population of uncertain size, it is not always possible to reliably estimate their frequency or establish a causal relationship to drug exposure.

- Immune system disorders: Hypersensitivity

Reporting suspected adverse reactions after authorisation of the medicinal product is important. It allows continued monitoring of the benefit/risk balance of the medicinal product. Healthcare professionals are asked to report any suspected new or serious adverse reaction via the online portal EIViS (Electronic Vigilance System). Please find more information under [www.swissmedic.ch](http://www.swissmedic.ch).

### **Overdose**

Upadacitinib was administered in clinical trials up to doses equivalent in AUC to 60 mg extended-release tablets once daily. Adverse events were comparable to those seen at lower doses and no specific toxicities were identified. Approximately 90% of upadacitinib in the systemic circulation is

eliminated within 24 hours of dosing (within the range of doses evaluated in clinical studies). In case of an overdose, it is recommended that the patient be monitored for signs and symptoms of adverse reactions. Patients who develop adverse reactions should receive appropriate treatment.

### **Properties/Effects**

*ATC code*

L04AF03

### *Mechanism of action*

Upadacitinib is a selective and reversible inhibitor of JAK1. Janus Kinases (JAKs) are important intracellular enzymes that transmit cytokine or growth factor signals involved in a broad range of cellular processes including inflammatory responses, hematopoiesis and immune surveillance. The JAK family of enzymes contains four members, JAK1, JAK2, JAK3 and TYK2 which work in pairs to phosphorylate and activate signal transducers and activators of transcription (STATs). This phosphorylation, in turn, modulates gene expression and cellular function. JAK1 is important in inflammatory cytokine signals while JAK2 is important for red blood cell maturation and JAK3 signals play a role in immune surveillance and lymphocyte function.

Upadacitinib is a selective and reversible inhibitor of JAK1. Upadacitinib more potently inhibits JAK1 compared to JAK2 and JAK3. In cellular potency assays that correlated with the *in vivo* pharmacodynamic responses, upadacitinib demonstrated 50–70-fold greater selectivity for JAK1 over JAK2 and >100-fold for JAK1 over JAK3.

### *Pharmacodynamics*

#### *Inhibition of IL-6 induced STAT3 and IL-7 induced STAT5 phosphorylation*

In healthy volunteers, the administration of upadacitinib (immediate release formulation) resulted in a dose- and concentration-dependent inhibition of IL-6 (JAK1/JAK2)-induced STAT3 and IL-7 (JAK1/JAK3)-induced STAT5 phosphorylation in whole blood. The maximal inhibition was observed 1 hour after dosing which returned to near baseline by the end of dosing interval.

#### *Lymphocytes*

In patients with rheumatoid arthritis, treatment with upadacitinib was associated with a small, transient increase in mean ALC from baseline up to Week 36 which gradually returned to, at or near baseline levels with continued treatment.

#### *Immunoglobulins*

In patients with rheumatoid arthritis, small decreases from baseline in mean IgG and IgM levels were observed with upadacitinib treatment in the controlled period; however, the mean values at baseline and at all visits were within the normal reference range.

### *hsCRP and other markers of inflammation*

In patients with rheumatoid arthritis, treatment with upadacitinib was associated with significant decreases from baseline in mean hsCRP levels as early as Week 1 which were maintained with continued treatment.

In patients with Crohn's disease, reductions in hsCRP and faecal calprotectin (FCP) were observed after induction treatment with upadacitinib. Decreases in hsCRP and FCP were maintained out to Week 52 in the maintenance study.

### *Cardiac electrophysiology*

The effect of upadacitinib on QTc interval was evaluated in subjects who received single and multiple doses of upadacitinib. At 2.5 times the mean exposure of the maximum therapeutic dose, 45 mg once daily dose, there was no clinically relevant effect on the QTc interval.

### *Vaccine Studies*

The influence of RINVOQ on the humoral response following administration of adjuvanted recombinant glycoprotein E herpes zoster vaccine was evaluated in 93 patients over 50 years of age with rheumatoid arthritis under stable treatment (median treatment duration: 3.9 years) with RINVOQ 15 mg. 98% of patients (n=91) were on concomitant methotrexate. 49% of patients were on oral corticosteroids at baseline. Regardless of the concomitant medication, the vaccination resulted in 88% (95% CI: 81.0, 94.5) of patients treated with RINVOQ 15 mg having an at least 4-fold increase in pre-vaccination concentration of anti-glycoprotein E titer levels at Week 16 (4 weeks post-dose 2 vaccination). The extent to which this vaccine response allows protection against infection or reactivation is unclear.

The influence of RINVOQ on the humoral response following the administration of inactivated pneumococcal 13-valent conjugate vaccine was evaluated in 111 patients with rheumatoid arthritis under stable treatment with RINVOQ 15 mg (n=87) or 30 mg (n=24). 97% of patients (n=108) were on concomitant methotrexate. Vaccination resulted in 67.5% (95% CI: 57.4, 77.5) and 56.5% (95% CI: 36.3, 76.8) of patients treated with RINVOQ 15 mg and 30 mg, respectively having an at least 2-fold increase in antibody concentration compared to the pre-vaccination baseline for at least 6 of the individual pneumococcal antigens of the vaccine. The extent to which this vaccine response allows protection against infection is unclear.

Clinical efficacy

Rheumatoid Arthritis

The efficacy and safety of RINVOQ 15 mg once daily was assessed in five Phase 3 randomized, double-blind, multicenter studies in patients with moderately to severely active rheumatoid arthritis and fulfilling the ACR/EULAR 2010 classification criteria (see Table 5). Patients 18 years of age and older were eligible to participate. The presence of at least 6 tender and 6 swollen joints and evidence of systemic inflammation based on elevation of hsCRP was required at baseline. Four studies included long term extensions for up to 5 years, and one study (SELECT-COMPARE) included a long-term extension for up to 10 years.

**Table 5. Clinical Trial Summary**

Study Name	Population (n)	Treatment Arms	Key Outcome Measures
SELECT-EARLY	MTX-naive <sup>a</sup> (947)	<ul style="list-style-type: none"> <li>• Upadacitinib 15 mg</li> <li>• Upadacitinib 30 mg</li> <li>• MTX</li> </ul> <p>Monotherapy</p>	<p>Primary Endpoint:</p> <ul style="list-style-type: none"> <li>• ACR50 at Week 12</li> </ul> <p>Key Secondary Endpoints:</p> <ul style="list-style-type: none"> <li>• Clinical Remission (DAS28-CRP &lt;2.6) at Week 24</li> <li>• Low Disease Activity (DAS28-CRP ≤3.2) at Week 12</li> <li>• Δ Physical Function (HAQ-DI) at Week 12</li> <li>• Radiographic progression (ΔmTSS) at Week 24</li> <li>• SF-36 PCS</li> </ul>
SELECT-MONOTHERAPY	MTX-IR <sup>b</sup> (648)	<ul style="list-style-type: none"> <li>• Upadacitinib 15 mg</li> <li>• Upadacitinib 30 mg</li> <li>• MTX</li> </ul> <p>Monotherapy</p>	<p>Primary Endpoint:</p> <ul style="list-style-type: none"> <li>• ACR20 at Week 14</li> </ul> <p>Key Secondary Endpoints:</p> <ul style="list-style-type: none"> <li>• Low Disease Activity (DAS28-CRP ≤3.2) at Week 14</li> <li>• Clinical Remission (DAS 28-CRP &lt;2.6) at Week 14</li> <li>• Δ Physical Function (HAQ-DI) at Week 14</li> <li>• SF-36 PCS</li> <li>• Morning stiffness</li> </ul>



## Information for healthcare professionals

SELECT-NEXT	csDMARD-IR <sup>c</sup> (661)	<ul style="list-style-type: none"> <li>• Upadacitinib 15 mg</li> <li>• Upadacitinib 30 mg</li> <li>• Placebo</li> </ul> <p>On background csDMARDs</p>	<p>Primary Endpoint:</p> <ul style="list-style-type: none"> <li>• ACR20 at Week 12</li> </ul> <p>Key Secondary Endpoints:</p> <ul style="list-style-type: none"> <li>• Low Disease Activity (DAS28-CRP <math>\leq</math>3.2) at Week 12</li> <li>• Clinical Remission (DAS28-CRP <math>&lt;</math>2.6) at Week 12</li> <li>• <math>\Delta</math> Physical Function (HAQ-DI) at Week 12</li> <li>• SF-36 PCS</li> <li>• Morning stiffness</li> <li>• FACIT-F</li> </ul>
SELECT-COMPARE	MTX-IR <sup>d</sup> (1629)	<ul style="list-style-type: none"> <li>• Upadacitinib 15 mg</li> <li>• Placebo</li> <li>• Adalimumab 40 mg</li> </ul> <p>On background MTX</p>	<p>Primary Endpoint:</p> <ul style="list-style-type: none"> <li>• ACR20 at Week 12</li> </ul> <p>Key Secondary Endpoints:</p> <ul style="list-style-type: none"> <li>• Clinical Remission (DAS28-CRP <math>&lt;</math>2.6) at Week 12</li> <li>• Low Disease Activity (DAS28-CRP <math>\leq</math>3.2) at Week 12</li> <li>• ACR50 vs adalimumab at Week 12</li> <li>• <math>\Delta</math> Physical Function (HAQ-DI) at Week 12</li> <li>• Radiographic progression (<math>\Delta</math>mTSS) at Week 26</li> <li>• SF-36 PCS</li> <li>• Morning stiffness</li> <li>• FACIT-F</li> </ul>
SELECT-BEYOND	bDMARD-IR <sup>e</sup> (499)	<ul style="list-style-type: none"> <li>• Upadacitinib 15 mg</li> <li>• Upadacitinib 30 mg</li> <li>• Placebo</li> </ul> <p>On background csDMARDs</p>	<p>Primary Endpoint:</p> <ul style="list-style-type: none"> <li>• ACR20 at Week 12</li> </ul> <p>Key Secondary Endpoint:</p> <ul style="list-style-type: none"> <li>• Low Disease Activity (DAS28-CRP <math>\leq</math>3.2) at Week 12</li> <li>• <math>\Delta</math> Physical Function (HAQ-DI) at Week 12</li> <li>• SF-36 PCS</li> </ul>

Abbreviations: ACR20 (or 50) = American College of Rheumatology  $\geq 20\%$  (or  $\geq 50\%$ ) improvement, bDMARD = biologic disease-modifying anti-rheumatic drug; CR = Clinical Response, CRP = C-Reactive Protein, DAS28 = Disease Activity Score 28 joints, mTSS = modified Total Sharp Score, csDMARD = conventional synthetic disease-modifying anti-rheumatic drug, HAQ-DI = Health Assessment Questionnaire Disability Index, IR = inadequate responder, MTX = methotrexate

<sup>a</sup> Patients were naïve to MTX or received no more than 3 weekly MTX doses

<sup>b</sup> Patients had inadequate response to MTX

<sup>c</sup> Patients who had an inadequate response to csDMARDs; patients with prior exposure to at most one bDMARD were eligible (up to 20% of total number of patients) if they had either limited exposure (< 3 months) or had to discontinue the bDMARD due to intolerability

<sup>d</sup> Patients who had an inadequate response to MTX; patients with prior exposure to at most one bDMARD (except adalimumab) were eligible (up to 20% of total study number of patients) if they had either limited exposure (< 3 months) or had to discontinue the bDMARD due to intolerability

<sup>e</sup> Patients who had an inadequate response or intolerance to at least one bDMARD

### *Clinical Response*

#### *Remission and low disease activity*

In all studies, a higher proportion of patients treated with RINVOQ 15 mg achieved both low disease activity (DAS28 CRP  $\leq 3.2$ ) and clinical remission (DAS28 CRP  $< 2.6$ ) compared to placebo, MTX, or adalimumab (Table 6). Compared to adalimumab, higher responses were achieved as early as Week 8 and maintained through Week 48. Higher responses were also observed for other disease activity outcomes including CDAI  $\leq 2.8$ , SDAI  $\leq 3.3$ , and Boolean remission. Overall, both low disease activity and clinical remission rates were consistent across patient populations, with or without MTX. At 3 years, 297/651 (45.6%) and 111/327 (33.9%) patients remained on originally randomized treatment of RINVOQ 15 mg or adalimumab, respectively, in SELECT-COMPARE, and 216/317 (68.1%) and 149/315 (47.3%) patients remained on originally randomised treatment of RINVOQ 15 mg or MTX monotherapy, respectively, in SELECT-EARLY. Among the patients who remained on their originally allocated treatment, low disease activity and clinical remission were maintained through 3 years.

#### *ACR Response*

In all studies, more patients treated with RINVOQ 15 mg achieved ACR20, ACR50, and ACR70 responses at 12 weeks compared to placebo, MTX or adalimumab (Table 7). Time to onset of efficacy was rapid across measures with greater responses seen as early as week 1 for ACR20. Durable response rates were observed (with or without MTX), with ACR20/50/70 responses maintained through 3 years among the patients who remained on their originally allocated treatment.

Treatment with RINVOQ 15 mg, alone or in combination with csDMARDs, resulted in greater improvements in individual ACR components, including tender and swollen joint counts, patient and

physician global assessments, HAQ-DI, pain assessment, and hsCRP, compared to placebo, MTX monotherapy or adalimumab (Table 7).

In SELECT-COMPARE, a higher proportion of patients treated with RINVOQ 15 mg achieved ACR20/50/70 at Weeks 12 through 48 compared to adalimumab (Table 6).

**Table 6. Response and Remission**

Study	SELECT EARLY MTX-naive		SELECT MONO MTX-IR		SELECT NEXT csDMARD-IR		SELECT COMPARE MTX-IR			SELECT BEYOND bDMARD-IR	
	MTX	UPA 15 mg	MTX	UPA 15 mg	PBO	UPA 15 mg	PBO	UPA 15 mg	ADA 40 mg	PBO	UPA 15 mg
N	314	317	216	217	221	221	651	651	327	169	164
Week											
<b>ACR20 (% of patients)</b>											
12 <sup>a</sup> /14 <sup>b</sup>	54	76 <sup>g</sup>	41	68 <sup>e</sup>	36	64 <sup>e</sup>	36	71 <sup>e,i</sup>	63	28	65 <sup>e</sup>
24 <sup>c</sup> /26 <sup>d</sup>	59	79 <sup>g</sup>					36	67 <sup>g,i</sup>	57		
48	57	74 <sup>g</sup>						65 <sup>i</sup>	54		
<b>ACR50 (% of patients)</b>											
12 <sup>a</sup> /14 <sup>b</sup>	28	52 <sup>e</sup>	15	42 <sup>g</sup>	15	38 <sup>g</sup>	15	45 <sup>g,h</sup>	29	12	34 <sup>g</sup>
24 <sup>c</sup> /26 <sup>d</sup>	33	60 <sup>g</sup>					21	54 <sup>g,i</sup>	42		
48	43	63 <sup>g</sup>						49 <sup>i</sup>	40		
<b>ACR70 (% of patients)</b>											
12 <sup>a</sup> /14 <sup>b</sup>	14	32 <sup>g</sup>	3	23 <sup>g</sup>	6	21 <sup>g</sup>	5	25 <sup>g,i</sup>	13	7	12
24 <sup>c</sup> /26 <sup>d</sup>	18	44 <sup>g</sup>					10	35 <sup>g,i</sup>	23		
48	29	51 <sup>g</sup>						36 <sup>i</sup>	23		
<b>LDA DAS28-CRP ≤ 3,2 (% of patients)</b>											
12 <sup>a</sup> /14 <sup>b</sup>	28	53 <sup>f</sup>	19	45 <sup>e</sup>	17	48 <sup>e</sup>	14	45 <sup>e,i</sup>	29	14	43 <sup>e</sup>
24 <sup>c</sup> /26 <sup>d</sup>	32	60 <sup>g</sup>					18	55 <sup>g,i</sup>	39		
48	39	59 <sup>g</sup>						50 <sup>i</sup>	35		
<b>CR DAS28-CRP &lt; 2,6 (% of patients)</b>											
12 <sup>a</sup> /14 <sup>b</sup>	14	36 <sup>g</sup>	8	28 <sup>e</sup>	10	31 <sup>e</sup>	6	29 <sup>e,i</sup>	18	9	29 <sup>g</sup>
24 <sup>c</sup> /26 <sup>d</sup>	18	48 <sup>f</sup>					9	41 <sup>g,i</sup>	27		
48	29	49 <sup>g</sup>						38 <sup>i</sup>	28		
<b>SDAI ≤ 3,3 (% of patients)</b>											
12 <sup>a</sup> /14 <sup>b</sup>	6	16 <sup>g</sup>	1	14 <sup>g</sup>	3	10 <sup>g</sup>	3	12 <sup>g,i</sup>	7	5	9
24 <sup>c</sup> /26 <sup>d</sup>	9	28 <sup>g</sup>					5	24 <sup>g,i</sup>	14		
48	16	32 <sup>g</sup>						25 <sup>i</sup>	17		
<b>CDAI ≤ 2,8 (% of patients)</b>											
12 <sup>a</sup> /14 <sup>b</sup>	6	16 <sup>g</sup>	1	13 <sup>g</sup>	3	9 <sup>g</sup>	3	13 <sup>g,i</sup>	8	5	8
24 <sup>c</sup> /26 <sup>d</sup>	11	28 <sup>g</sup>					6	23 <sup>g,i</sup>	14		
48	17	32 <sup>g</sup>						25 <sup>i</sup>	17		
<b>Boolesche Remission (% of patients)</b>											
12 <sup>a</sup> /14 <sup>b</sup>	6	13 <sup>g</sup>	1	9 <sup>g</sup>	4	10 <sup>g</sup>	2	10 <sup>g,i</sup>	4	2	7 <sup>g</sup>

24 <sup>c</sup> /26 <sup>d</sup>	7	24 <sup>g</sup>					4	18 <sup>g,i</sup>	10		
48	13	28 <sup>g</sup>						21 <sup>i</sup>	15		

Abbreviations: ACR20 (or 50 or 70) = American College of Rheumatology  $\geq 20\%$  (or  $\geq 50\%$  or  $\geq 70\%$ ) improvement; ADA = adalimumab; bDMARD = biologic disease-modifying anti-rheumatic drug; CDAI = Clinical Disease Activity Index; CR = Clinical Remission; CRP = c-reactive protein, csDMARD = conventional synthetic disease-modifying anti-rheumatic drug; DAS28 = Disease Activity Score 28 joints; IR= inadequate responder; LDA = Low Disease Activity; MTX = methotrexate; PBO = placebo; SDAI = Simple Disease Activity Index; UPA= upadacitinib

<sup>a</sup> SELECT-NEXT, SELECT-EARLY, SELECT-COMPARE, SELECT-BEYOND  
<sup>b</sup> SELECT-MONOTHERAPY  
<sup>c</sup> SELECT-EARLY  
<sup>d</sup> SELECT-COMPARE  
<sup>e</sup>  $p \leq 0.001$  upadacitinib vs placebo or MTX comparison  
<sup>f</sup>  $p \leq 0.01$  upadacitinib vs placebo or MTX comparison  
<sup>g</sup> Upadacitinib vs placebo or MTX comparison (These comparisons are not controlled for multiplicity)  
<sup>h</sup>  $p \leq 0.001$  upadacitinib vs adalimumab comparison  
<sup>i</sup> Upadacitinib vs adalimumab comparison (These comparisons are not controlled for multiplicity)

**Table 7: Components of ACR Response (mean change from baseline)<sup>a</sup>**

Study	SELECT EARLY MTX-Naive		SELECT MONO MTX-IR		SELECT NEXT csDMARD- IR		SELECT COMPARE MTX-IR			SELECT BEYOND bDMARD- IR	
	MTX	UPA 15 mg	MTX	UPA 15 mg	PBO	UPA 15 mg	PBO	UPA 15 mg	ADA 40 mg	PBO	UPA 15 mg
N	314	317	216	217	221	221	651	651	327	169	164
Week											
<b>Number of tender joints (0-68)</b>											
12 <sup>b</sup> / 14 <sup>c</sup>	-13	-17 <sup>h</sup>	-11	-15 <sup>h</sup>	-8	-14 <sup>h</sup>	-10	-16 <sup>h,i</sup>	-14	-8	-16 <sup>h</sup>
24 <sup>d</sup> / 26 <sup>e</sup>	-16	-19 <sup>h</sup>					-9	-18 <sup>h,i</sup>	-15		
<b>Number of swollen joints (0-66)</b>											
12 <sup>b</sup> / 14 <sup>c</sup>	-10	-12 <sup>h</sup>	-8	-11 <sup>h</sup>	-6	-9 <sup>h</sup>	-7	-11 <sup>h,i</sup>	-10	-6	-11 <sup>h</sup>
24 <sup>d</sup> / 26 <sup>e</sup>	-12	-14 <sup>h</sup>					-6	-12 <sup>h,i</sup>	-11		
<b>Pain<sup>f</sup></b>											
12 <sup>b</sup> / 14 <sup>c</sup>	-25	-36 <sup>h</sup>	-14	-26 <sup>h</sup>	-10	-30 <sup>h</sup>	-15	-32 <sup>h,j</sup>	-25	-10	-26 <sup>h</sup>
24 <sup>d</sup> / 26 <sup>e</sup>	-28	-40 <sup>h</sup>					-19	-37 <sup>h,i</sup>	-32		

26 <sup>e</sup>											
<b>Patient global assessment<sup>f</sup></b>											
12 <sup>b/</sup> 14 <sup>c</sup>	-25	-35 <sup>h</sup>	-11	-23 <sup>h</sup>	-10	-30 <sup>h</sup>	-15	-30 <sup>h,i</sup>	-24	-10	-26 <sup>h</sup>
24 <sup>d/</sup> 26 <sup>e</sup>	-28	-39 <sup>h</sup>					-18	-36 <sup>h,i</sup>	-30		
<b>Disability Index (HAQ-DI)<sup>g</sup></b>											
12 <sup>b/</sup> 14 <sup>c</sup>	-0.5	-0.8 <sup>i</sup>	-0.3	-0.7 <sup>i</sup>	-0.3	-0.6 <sup>i</sup>	-0.3	-0.6 <sup>i,k</sup>	-0.5	-0.2	-0.4 <sup>i</sup>
24 <sup>d/</sup> 26 <sup>e</sup>	-0.6	-0.9 <sup>h</sup>					-0.3	-0.7 <sup>h,j</sup>	-0.6		
<b>Physician global assessment<sup>f</sup></b>											
12 <sup>b/</sup> 14 <sup>c</sup>	-35	-46 <sup>h</sup>	-26	-40 <sup>h</sup>	-23	-38 <sup>h</sup>	-25	-39 <sup>h</sup>	-36	-26	-39 <sup>h</sup>
24 <sup>d/</sup> 26 <sup>e</sup>	-45	-50 <sup>h</sup>					-27	-45 <sup>h,i</sup>	-41		
<b>hsCRP (mg/L)</b>											
12 <sup>b/</sup> 14 <sup>c</sup>	-10.6	- 17.5 <sub>h</sub>	-1.1	-10.2 <sup>h</sup>	-0.4	-10.1 <sup>h</sup>	-1.7	-12.5 <sup>h,j</sup>	-9.2	-1.1	- 11.0 <sub>h</sub>
24 <sup>d/</sup> 26 <sup>e</sup>	-11.6	- 18.4 <sub>h</sub>					-1.5	-13.5 <sup>h,j</sup>	-10.3		
<p>Abbreviations: ACR = American College of Rheumatology; ADA = adalimumab; bDMARD = biologic disease-modifying anti-rheumatic drug; CRP = c-reactive protein; csDMARD = conventional synthetic disease-modifying anti-rheumatic drug; HAQ-DI = Health Assessment Questionnaire Disability Index; IR = inadequate responder; MTX = methotrexate; PBO = placebo; UPA = upadacitinib</p> <p><sup>a</sup> Data shown are mean</p> <p><sup>b</sup> SELECT-NEXT, SELECT-EARLY, SELECT-COMPARE, SELECT-BEYOND</p> <p><sup>c</sup> SELECT-MONOTHERAPY</p> <p><sup>d</sup> SELECT-EARLY</p> <p><sup>e</sup> SELECT-COMPARE</p> <p><sup>f</sup> Visual analog scale: 0 = best, 100 = worst</p> <p><sup>g</sup> Health Assessment Questionnaire-Disability Index: 0=best, 3=worst; 20 questions; 8 categories: dressing and grooming, arising, eating, walking, hygiene, reach, grip, and activities.</p>											

<sup>h</sup> Upadacitinib vs placebo or MTX comparison (These comparisons are not controlled for multiplicity)

<sup>i</sup>  $p \leq 0.001$  upadacitinib vs placebo or MTX comparison

<sup>j</sup>  $p \leq 0.001$  upadacitinib vs adalimumab comparison

<sup>k</sup>  $p \leq 0.01$  upadacitinib vs adalimumab comparison

<sup>l</sup> Upadacitinib vs adalimumab comparison (These comparisons are not controlled for multiplicity)

*Radiographic response*

Inhibition of progression of structural joint damage was assessed using the modified Total Sharp Score (mTSS) and its components, the erosion score, and joint space narrowing score at weeks 26 and 48 (SELECT-COMPARE) and week 24 (SELECT-EARLY).

Treatment with RINVOQ 15 mg resulted in significantly greater inhibition of the progression of structural joint damage compared to placebo at week 26 and 48 in SELECT-COMPARE and as monotherapy compared to MTX at week 24 in SELECT-EARLY (Table 8). Statistically significant results were also achieved for both erosion and joint space narrowing scores. The proportion of patients with no radiographic progression (mTSS change  $\leq 0$ ) was significantly higher with RINVOQ 15 mg compared to placebo at week 26 and 48 (SELECT-COMPARE) and compared to MTX at week 24 (SELECT-EARLY). Inhibition of progression of structural joint damage was maintained through Week 96 in both studies for patients who remained on their originally allocated treatment with RINVOQ 15 mg (based on available results from 327 patients in SELECT-COMPARE and 238 patients in SELECT-EARLY).

**Table 8: Radiographic Changes**

Study	SELECT EARLY MTX-Naive		SELECT COMPARE MTX-IR		
	MTX	UPA 15 mg	PBO <sup>a</sup>	UPA 15 mg	ADA 40 mg
<b>Modified Total Sharp Score, mean change from baseline</b>					
Week 24 <sup>b</sup> /26 <sup>c</sup>	0.7	0.1 <sup>f</sup>	0.9	0.2 <sup>e</sup>	0.1
Week 48			1.7	0.3 <sup>e</sup>	0.4
<b>Erosion Score, mean change from baseline</b>					
Week 24 <sup>b</sup> /26 <sup>c</sup>	0.3	0.1 <sup>e</sup>	0.4	0 <sup>e</sup>	0
Week 48			0.8	0.1 <sup>e</sup>	0.2
<b>Joint Space Narrowing Score, mean change from baseline</b>					
Week 24 <sup>b</sup> /26 <sup>c</sup>	0.3	0.1 <sup>g</sup>	0.6	0.2 <sup>e</sup>	0.1

Week 48			0.8	0.2 <sup>e</sup>	0.2
<b>Proportion of patients with no radiographic progression<sup>d</sup></b>					
Week 24 <sup>b</sup> /26 <sup>c</sup>	77.7	87.5 <sup>f</sup>	76.0	83.5 <sup>f</sup>	86.8
Week 48			74.1	86.4 <sup>e</sup>	87.9
Abbreviations: ADA = adalimumab; IR = inadequate responder; MTX = methotrexate; PBO = placebo; UPA= upadacitinib <sup>a</sup> All placebo data at week 48 derived using linear extrapolation <sup>b</sup> SELECT-EARLY <sup>c</sup> SELECT-COMPARE <sup>d</sup> No progression defined as mTSS change ≤0. <sup>e</sup> p≤0.001 upadacitinib vs placebo or MTX comparison <sup>f</sup> p≤0.01 upadacitinib vs placebo or MTX comparison <sup>g</sup> p≤0.05 upadacitinib vs placebo or MTX comparison					

*Physical function response and health-related outcomes*

Treatment with RINVOQ 15 mg, alone or in combination with csDMARDs, resulted in a significant improvement in physical function compared to all comparators (placebo, MTX, adalimumab) as measured by HAQ-DI. Improvements were seen as early as Week 1 compared to placebo in SELECT-NEXT and SELECT-BEYOND and were maintained for up to 60 weeks.

In all studies, treatment with RINVOQ 15 mg, alone or in combination with csDMARDs, resulted in a significantly greater improvement in pain compared to all comparators, as measured on a 0-100 visual analogue scale, at 12/14 weeks, with responses maintained for up to 48-60 weeks. Greater pain reduction was seen as early as Week 1 compared to placebo and as early as Week 4 compared to adalimumab.

Improvements in HAQ-DI and pain were maintained through 3 years for patients who remained on their originally allocated treatment with RINVOQ 15 mg based on available results from SELECT-COMPARE and SELECT-EARLY.

In all studies, treatment with RINVOQ 15 mg resulted in a significantly greater improvement in the mean duration and severity of morning joint stiffness compared to placebo or MTX.

Across all studies, greater improvement in physical component summary (PCS) score of the Short Form Health Survey (SF-36) compared to placebo or MTX was documented. In SELECT-EARLY, SELECT-MONOTHERAPY, and SELECT-COMPARE patients receiving RINVOQ 15 mg experienced significantly greater improvement in mental component summary (MCS) scores and in all 8 domains of SF-36 compared to placebo or MTX.

Fatigue was assessed by the Functional Assessment of Chronic Illness Therapy-Fatigue score (FACIT-F) in SELECT-EARLY, SELECT-NEXT and SELECT-COMPARE studies. Treatment with RINVOQ 15 mg resulted in improvement in fatigue compared to placebo, MTX, or adalimumab.

RA-associated work instability was assessed by the Rheumatoid Arthritis-Work Instability Scale (RA-WIS) in employed patients in SELECT-NEXT and SELECT-COMPARE. Treatment with RINVOQ 15 mg resulted in significantly greater reduction in work instability compared to placebo.

*Psoriatic Arthritis*

The efficacy and safety of RINVOQ 15 mg once daily was assessed in two Phase 3 randomized, double-blind, multicenter, placebo-controlled studies in patients 18 years of age or older with moderately to severely active psoriatic arthritis (Table 9). All patients had active psoriatic arthritis for at least 6 months based upon the Classification Criteria for Psoriatic Arthritis (CASPAR), at least 3 tender joints and at least 3 swollen joints, and active plaque psoriasis or history of plaque psoriasis. In both studies, previous treatment with cDMARD could be continued unchanged. The studies included long-term extensions for up to 5 years (SELECT-PsA 1) and 3 years (SELECT-PsA 2).

**Table 9: Clinical Trial Summary**

Study Name	Population (n)	Treatment Arms	Key Outcome Measures
SELECT-PsA 1	Non-biologic DMARD-IR <sup>a</sup> (1705)	<ul style="list-style-type: none"> <li>• Upadacitinib 15 mg</li> <li>• Upadacitinib 30 mg</li> <li>• Placebo</li> <li>• Adalimumab 40 mg</li> </ul>	Primary Endpoint: <ul style="list-style-type: none"> <li>• ACR20 at Week 12</li> </ul>
			Key Secondary Endpoints: <ul style="list-style-type: none"> <li>• MDA at Week 24</li> <li>• Resolution of enthesitis (LEI=0) and dactylitis (LDI=0) at Week 24</li> <li>• PASI75 at Week 16</li> <li>• sIGA at Week 16</li> <li>• SAPS at Week 16</li> <li>• Radiographic progression (<math>\Delta</math>mTSS) at Week 24</li> <li>• <math>\Delta</math> Physical Function (HAQ-DI) at Week 12</li> <li>• SF-36 PCS at Week 12</li> <li>• FACIT-F at Week 12</li> <li>• ACR20, pain, and <math>\Delta</math> Physical Function (HAQ-DI) vs adalimumab at Week 12</li> </ul>
SELECT-PsA 2	bDMARD-IR <sup>b</sup> (642)	<ul style="list-style-type: none"> <li>• Upadacitinib 15 mg</li> <li>• Upadacitinib 30 mg</li> <li>• Placebo</li> </ul>	Primary Endpoint: <ul style="list-style-type: none"> <li>• ACR20 at Week 12</li> </ul>
			Key Secondary Endpoints: <ul style="list-style-type: none"> <li>• MDA at Week 24</li> </ul>



			<ul style="list-style-type: none"> <li>• PASI75 at Week 16</li> <li>• sIGA at Week 16</li> <li>• SAPS at Week 16</li> <li>• Δ Physical Function (HAQ-DI) at Week 12</li> <li>• SF-36 PCS at Week 12</li> <li>• FACIT-F at Week 12</li> </ul>
<p>Abbreviations: ACR20 = American College of Rheumatology ≥20% improvement; bDMARD = biologic disease-modifying anti-rheumatic drug; FACIT-F = Functional Assessment of Chronic Illness Therapy-Fatigue score; HAQ-DI = Health Assessment Questionnaire-Disability Index; IR = inadequate responder; MDA = minimal disease activity; mTSS = modified Total Sharp Score; PASI = Psoriasis Area and Severity Index; SAPS = Self-Assessment of Psoriasis Symptoms; SF-36 PCS = Short Form (36) Health Survey (SF-36) Physical Component Summary; sIGA = static Investigator Global Assessment of psoriasis</p> <p><sup>a</sup> Patients who had an inadequate response or intolerance to at least one non-biologic DMARD</p> <p><sup>b</sup> Patients who had an inadequate response or intolerance to at least one bDMARD</p>			

*Clinical response*

In both studies, a significantly greater proportion of patients treated with RINVOQ 15 mg achieved ACR20 response compared to placebo at Week 12 (Table 10, Figure 1). In SELECT-PsA 1, RINVOQ 15 mg achieved non-inferiority compared to adalimumab in the proportion of patients achieving ACR20 response at Week 12. A higher proportion of patients treated with RINVOQ 15 mg achieved ACR50 and ACR70 responses at Week 12 compared to placebo. Time to onset of efficacy was rapid across measures with greater responses seen as early as Week 2 for ACR20.

Treatment with RINVOQ 15 mg resulted in improvements in individual ACR components, including tender/painful and swollen joint counts, patient and physician global assessments, HAQ-DI, pain assessment, and hsCRP compared to placebo (Table 11). Treatment with RINVOQ 15 mg resulted in greater improvement in pain compared to adalimumab at week 24.

In both studies, consistent responses were observed alone or in combination with non-biologic DMARDs for primary and key secondary endpoints.

The efficacy of RINVOQ 15 mg was demonstrated regardless of subgroups evaluated including baseline BMI, baseline hsCRP, and number of prior non-biologic DMARDs (≤ 1 or >1).

**Figure 1. Percent of Patients Achieving ACR20 in SELECT-PsA 1**

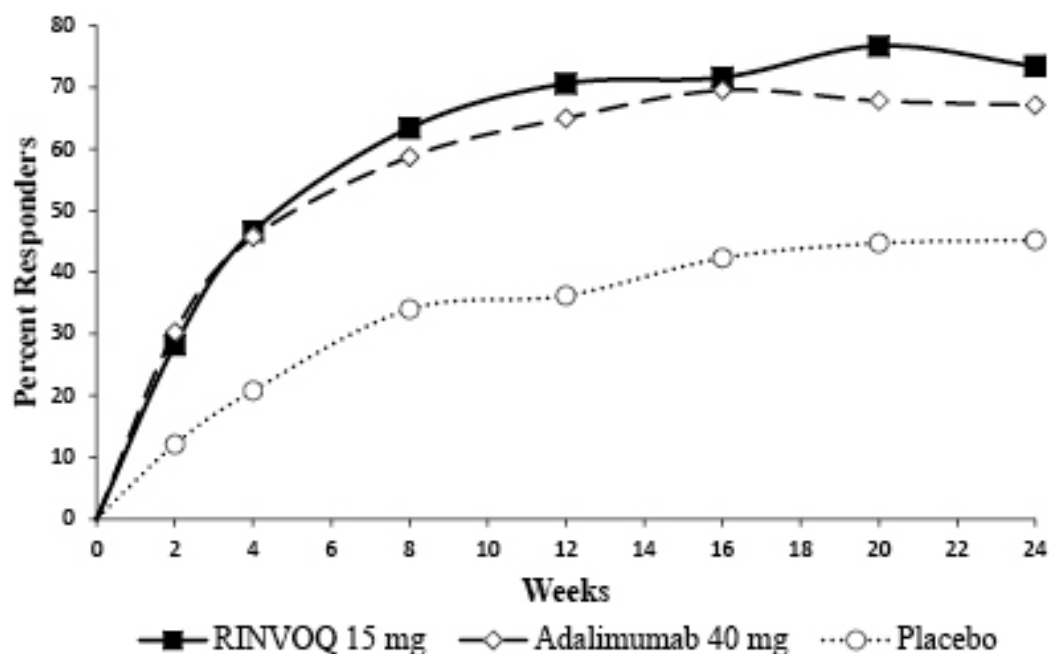


Table 10: Clinical response

Study	SELECT-PsA 1 non-biologic DMARD-IR			SELECT-PsA 2 bDMARD-IR	
	PBO	UPA 15 mg	ADA 40 mg	PBO	UPA 15 mg
Treatment Group					
N	423	429	429	212	211
<b>ACR20 (% of patients)</b>					
Week 12	36	71 <sup>e</sup>	65	24	57 <sup>e</sup>
Week 24	45	73 <sup>f,g</sup>	67	20	59 <sup>f</sup>
Week 56		74 <sup>g</sup>	69		60
<b>ACR50 (% of patients)</b>					
Week 12	13	38 <sup>f,g</sup>	38	5	32 <sup>f</sup>
Week 24	19	52 <sup>f,g</sup>	44	9	38 <sup>f</sup>
Week 56		60 <sup>g</sup>	51		41
<b>ACR70 (% of patients)</b>					
Week 12	2	16 <sup>f,g</sup>	14	1	9 <sup>f</sup>
Week 24	5	29 <sup>f,g</sup>	23	1	19 <sup>f</sup>
Week 56		41 <sup>g</sup>	31		24
<b>MDA (% of patients)</b>					
Week 12	6	25 <sup>f,g</sup>	25	4	17 <sup>f</sup>
Week 24	12	37 <sup>e,g</sup>	33	3	25 <sup>e</sup>
Week 56		45 <sup>g</sup>	40		29

Resolution of enthesitis (LEI=0; % of patients) <sup>a</sup>					
Week 12	33	47 <sup>f,g</sup>	47	20	39 <sup>f</sup>
Week 24	32	54 <sup>e,g</sup>	47	15	43 <sup>f</sup>
Week 56		59 <sup>g</sup>	54		43
Resolution of dactylitis (LDI=0; % of patients) <sup>b</sup>					
Week 12	42	74 <sup>f,g</sup>	72	36	64 <sup>f</sup>
Week 24	40	77 <sup>g</sup>	74	28	58 <sup>f</sup>
Week 56		75 <sup>g</sup>	74		51
PASI75 (% of patients) <sup>c</sup>					
Week 16	21	63 <sup>e,g</sup>	53	16	52 <sup>e</sup>
Week 24	27	64 <sup>f,g</sup>	59	19	54 <sup>f</sup>
Week 56		65 <sup>g</sup>	61		52
PASI90 (% of patients) <sup>c</sup>					
Week 16	12	38 <sup>f,g</sup>	39	8	35 <sup>f</sup>
Week 24	17	42 <sup>f,g</sup>	45	7	36 <sup>f</sup>
Week 56		49 <sup>g</sup>	47		41
PASI100 (% of patients) <sup>c</sup>					
Week 16	7	24 <sup>f,g</sup>	20	6	25 <sup>f</sup>
Week 24	10	27 <sup>f,g</sup>	28	5	22 <sup>f</sup>
Week 56		35 <sup>g</sup>	31		27
sIGA 0/1 (% of patients) <sup>d</sup>					
Week 16	11	42 <sup>e,g</sup>	39	9	37 <sup>e</sup>
Week 24	12	45 <sup>f,g</sup>	41	10	33 <sup>f</sup>
Week 56		52 <sup>g</sup>	47		33
<p>Abbreviations: ACR20 (or 50 or 70) = American College of Rheumatology ≥20% (or ≥50% or ≥70%) improvement; ADA = adalimumab; bDMARD = biologic disease-modifying anti-rheumatic drug; IR = inadequate responder; MDA = minimal disease activity; MTX = methotrexate; PASI75 (or 90 or 100) = ≥75% (or ≥90% or 100%) improvement in Psoriasis Area and Severity Index; PBO = placebo; sIGA = static Physician Global Assessment; UPA= upadacitinib</p> <p>Patients who discontinued randomized treatment or were missing data at week of evaluation were imputed as non-responders in the analyses. For MDA, resolution of enthesitis, and resolution of dactylitis at Week 24 and Week 56, the subjects rescued at Week 16 were imputed as non-responders in the analyses.</p> <p><sup>a</sup> In patients with enthesitis at baseline (n=241, 270, and 265, respectively, for SELECT-PsA 1 and n=144 and 133, respectively, for SELECT-PsA 2)</p>					

<sup>b</sup> In patients with dactylitis at baseline (n=126, 136, and 127, respectively, for SELECT-PsA 1 and n=64 and 55, respectively, for SELECT-PsA 2)

<sup>c</sup> In patients with  $\geq 3\%$  BSA psoriasis at baseline (n=211, 214, and 211, respectively, for SELECT-PsA 1 and n=131 and 130, respectively, for SELECT-PsA 2)

<sup>d</sup> In patients with sIGA  $\geq 2$  at baseline (n=313, 322, and 330, respectively, for SELECT-PsA 1 and n=163 and 171, respectively, for SELECT-PsA 2)

<sup>e</sup>  $p \leq 0.001$  upadacitinib vs placebo comparison

<sup>f</sup> Upadacitinib vs placebo comparisons were not controlled for multiplicity.

<sup>g</sup> Upadacitinib vs adalimumab comparisons were not controlled for multiplicity.

**Table 11: Components of ACR Response (mean change from baseline)**

Study	SELECT-PsA 1 non-biologic DMARD-IR			SELECT-PsA 2 bDMARD-IR	
	PBO	UPA 15 mg	ADA 40 mg	PBO	UPA 15 mg
Treatment Group					
N	423	429	429	212	211
<b>Number of tender/painful joints (0-68)</b>					
Week 12	-7.1	-11.3 <sup>d,e</sup>	-10.3	-6.2	-12.4 <sup>d</sup>
Week 24	-9.2	-13.7 <sup>d,e</sup>	-12.5	-6.6	-14.0 <sup>d</sup>
<b>Number of swollen joints (0-66)</b>					
Week 12	-5.3	-7.9 <sup>d,e</sup>	-7.6	-4.8	-7.1 <sup>d</sup>
Week 24	-6.3	-9.0 <sup>d,e</sup>	-8.6	-5.6	-8.3 <sup>d</sup>
<b>Patient assessment of pain<sup>a</sup></b>					
Week 12	-0.9	-2.3 <sup>d</sup>	-2.3	-0.5	-1.9 <sup>d</sup>
Week 24	-1.4	-3.0 <sup>d,e</sup>	-2.6	-0.7	-2.2 <sup>d</sup>
<b>Patient global assessment<sup>a</sup></b>					
Week 12	-1.2	-2.7 <sup>d,e</sup>	-2.6	-0.6	-2.3 <sup>d</sup>
Week 24	-1.6	-3.4 <sup>d,e</sup>	-2.9	-0.8	-2.6 <sup>d</sup>
<b>Disability index (HAQ-DI)<sup>b</sup></b>					
Week 12	-0.14	-0.42 <sup>c</sup>	-0.34	-0.10	-0.30 <sup>c</sup>
Week 24	-0.19	-0.51 <sup>d,e</sup>	-0.39	-0.08	-0.33 <sup>d</sup>
<b>Physician global assessment<sup>a</sup></b>					
Week 12	-2.1	-3.6 <sup>d,e</sup>	-3.4	-1.4	-3.1 <sup>d</sup>
Week 24	-2.8	-4.3 <sup>d,e</sup>	-4.1	-1.8	-3.8 <sup>d</sup>
<b>hsCRP (mg/L)</b>					
Week 12	-1.3	-7.1 <sup>d,e</sup>	-7.6	0.3	-6.6 <sup>d</sup>

Week 24	-2.1	-7.6 <sup>d,e</sup>	-7.3	-0.9	-6.3 <sup>d</sup>
<p>Abbreviations: ACR = American College of Rheumatology; ADA = adalimumab; hsCRP = c-reactive protein; HAQ-DI = Health Assessment Questionnaire-Disability Index; IR = inadequate responder; PBO = placebo; UPA = upadacitinib</p> <p><sup>a</sup> Numeric rating scale (NRS): 0 = best, 10 = worst</p> <p><sup>b</sup> Health Assessment Questionnaire-Disability Index: 0=best, 3=worst; 20 questions; 8 categories: dressing and grooming, arising, eating, walking, hygiene, reach, grip, and activities.</p> <p><sup>c</sup> p≤0.001 upadacitinib vs placebo comparison</p> <p><sup>d</sup> Upadacitinib vs placebo comparisons were not controlled for multiplicity.</p> <p><sup>e</sup> Upadacitinib vs adalimumab comparisons were not controlled for multiplicity.</p>					

In both studies, response rates for ACR20/50/70, MDA, PASI75/90/100, sIGA, enthesitis resolution, and dactylitis resolution in patients treated with RINVOQ 15 mg were maintained through Week 56.

#### Radiographic Response

In SELECT-PsA 1, inhibition of progression of structural damage was assessed radiographically and expressed as the change from baseline in modified Total Sharp Score (mTSS) and its components, the erosion score and the joint space narrowing score, at Week 24.

Treatment with RINVOQ 15 mg resulted in significantly greater inhibition of the progression of structural joint damage compared to placebo at Week 24 (Table 12). Statistically significant results were also achieved for both erosion and joint space narrowing scores. The proportion of patients with no radiographic progression (mTSS change ≤ 0.5) was higher with RINVOQ 15 mg compared to placebo at Week 24.

**Table 12: Radiographic Changes in SELECT-PsA 1**

Treatment Group	PBO	UPA 15 mg	ADA 40 mg
<b>Modified Total Sharp Score, mean change from baseline</b>			
Week 24	0.25	-0.04 <sup>c</sup>	0.01
Week 56 <sup>a</sup>	0.44	-0.05 <sup>d</sup>	-0.06
<b>Erosion Score, mean change from baseline</b>			
Week 24	0.12	-0.03 <sup>d</sup>	0.01
Week 56 <sup>a</sup>	0.30	-0.03 <sup>d</sup>	-0.05
<b>Joint Space Narrowing Score, mean change from baseline</b>			
Week 24	0.10	-0.00 <sup>d</sup>	-0.02

Week 56 <sup>a</sup>	0.14	-0.03 <sup>d</sup>	-0.03
<b>Proportion of patients with no radiographic progression<sup>b</sup></b>			
Week 24	92	96 <sup>d</sup>	95
Week 56 <sup>a</sup>	89	97 <sup>d</sup>	94
Abbreviations: ADA = adalimumab; PBO = placebo; UPA= upadacitinib			
<sup>a</sup> All placebo data at week 56 derived using linear extrapolation			
<sup>b</sup> No progression defined as mTSS change $\leq 0.5$			
<sup>c</sup> $p \leq 0.001$ upadacitinib vs placebo comparison			
<sup>d</sup> Upadacitinib vs placebo comparisons were not controlled for multiplicity.			

*Physical Function Response and Health-Related Outcomes*

In both studies, patients treated with RINVOQ 15 mg showed significant improvement in physical function from baseline compared to placebo as assessed by HAQ-DI at Week 12 (Table 11), which was maintained through Week 56.

The proportion of HAQ-DI responders ( $\geq 0.35$  improvement from baseline in HAQ-DI score) at Week 12 in SELECT-PsA 1 and SELECT-PsA 2 was 58% and 45%, respectively, in patients receiving RINVOQ 15 mg, 33% and 27%, respectively, in patients receiving placebo, and 47% in patients receiving adalimumab (SELECT-PsA 1).

Health-related quality of life was assessed by SF-36. In both studies, patients receiving RINVOQ 15 mg experienced significantly greater improvement from baseline in the Physical Component Summary score compared to placebo at Week 12. Greater improvement was also observed compared to adalimumab. Greater improvement was observed in the Mental Component Summary score and all 8 domains of SF-36 (Physical Functioning, Bodily Pain, Vitality, Social Functioning, Role Physical, General Health, Role Emotional, and Mental Health) compared to placebo. Improvements from baseline were maintained through Week 56 in both studies.

Patients receiving RINVOQ 15 mg experienced significantly greater improvement from baseline in fatigue, as measured by FACIT-F score, at Week 12 compared to placebo in both studies.

Improvements from baseline were maintained through Week 56 in both studies.

Greater improvement in patient-reported psoriasis symptoms, as measured by the self-assessment of psoriasis symptoms (SAPS), was observed in both studies at Week 16 in patients treated with RINVOQ 15 mg compared to placebo and adalimumab. Improvements from baseline were maintained through Week 56 in both studies.

Among patients with psoriatic spondylitis, in both studies patients treated with RINVOQ 15 mg showed improvements from baseline in Bath Ankylosing Spondylitis Disease Activity Index (BASDAI) and Ankylosing Spondylitis Disease Activity Scores (ASDAS) compared to placebo at Week 24. Improvements from baseline were maintained through Week 56 in both studies.

*Ankylosing Spondylitis*

The efficacy and safety of RINVOQ 15 mg once daily were assessed in two randomized, double-blind, multicenter, placebo-controlled studies in patients 18 years of age or older with active ankylosing spondylitis based upon the Bath Ankylosing Spondylitis Disease Activity Index (BASDAI)  $\geq 4$  and Patient's Assessment of Total Back Pain score  $\geq 4$  (Table 13). Both studies included an open-label extension for up to 2 years after a double-blind, placebo-controlled 14-week period.

**Table 13: Clinical Trial Summary**

Study Name	Population (n)	Treatment Arms	Key Outcome Measures
SELECT- AXIS 1	NSAID-IR <sup>a,b</sup> bDMARD- naïve (187)	<ul style="list-style-type: none"> <li>Upadacitinib 15 mg</li> <li>Placebo</li> </ul>	Primary Endpoint: <ul style="list-style-type: none"> <li>ASAS40 at Week 14</li> </ul> Key Secondary Endpoints at Week 14: <ul style="list-style-type: none"> <li>ASAS Partial Remission</li> <li>BASDAI 50</li> <li>ASDAS-CRP</li> <li>BASFI (function)</li> <li>SPARCC MRI score (spine)</li> <li>AS Quality of Life</li> <li>BASMI (spinal mobility)</li> <li>MASES (enthesitis)</li> <li>WPAI</li> <li>ASAS Health Index</li> </ul>
SELECT- AXIS 2	bDMARD-IR <sup>a,c</sup> (420)	<ul style="list-style-type: none"> <li>Upadacitinib 15 mg</li> <li>Placebo</li> </ul>	Primary Endpoint: ASAS40 at Week 14  Key Secondary Endpoints at Week 14: <ul style="list-style-type: none"> <li>ASAS Partial Remission</li> <li>BASDAI 50</li> <li>ASDAS-CRP</li> <li>BASFI (function)</li> <li>SPARCC MRI score (spine)</li> <li>AS Quality of Life</li> <li>BASMI (spinal mobility)</li> <li>MASES (enthesitis)</li> </ul>

			<ul style="list-style-type: none"> <li>• ASAS Health Index</li> <li>• ASAS20</li> <li>• ASDAS Inactive Disease</li> <li>• Total Back Pain</li> <li>• Nocturnal Back Pain</li> <li>• ASDAS Low Disease Activity</li> </ul>
<p>Abbreviations: ASAS40 = Assessment of SpondyloArthritis international Society ≥40% improvement; ASAS HI = ASAS Health Index; ASDAS-CRP = Ankylosing Spondylitis Disease Activity Score C-Reactive Protein; ASQoL = AS Quality of Life Questionnaire; BASDAI = Bath Ankylosing Spondylitis Disease Activity Index; BASFI = Bath Ankylosing Spondylitis Functional Index; BASMI = Bath Ankylosing Spondylitis Metrology Index; bDMARD = biologic disease-modifying anti-rheumatic drug; IR = inadequate responder; MASES = Maastricht Ankylosing Spondylitis Enthesitis Score; NSAID = Nonsteroidal Anti-inflammatory Drug; SPARCC MRI = Spondyloarthritis Research Consortium of Canada Magnetic Resonance Imaging; WPAI = Work Productivity and Activity Impairment</p> <p><sup>a</sup> Patients who had an inadequate response to at least two NSAIDs or had intolerance to or contraindications for NSAIDs.</p> <p><sup>b</sup> At baseline, approximately 16% of the patients were on a concomitant csDMARD.</p> <p><sup>c</sup> At baseline, 77.4% had lack of efficacy to either a TNF blocker or interleukin-17 inhibitor (IL-17i); 30.2% had intolerance; 12.9% had prior exposure but not lack of efficacy to two bDMARDs.</p>			

*Clinical Response*

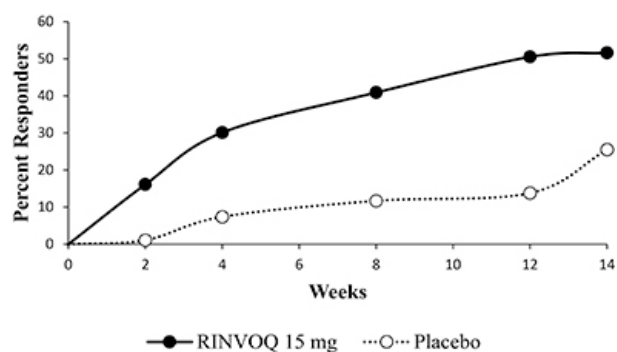
In both studies, a significantly greater proportion of patients treated with RINVOQ 15 mg achieved an ASAS40 response compared to placebo at Week 14 (51.6% vs 25.5%; p<0.001 for SELECT-AXIS 1 and 44.5% vs 18.2%; p<0.001 for SELECT-AXIS 2) (Table 14, Figures 2 and 3). Greater responses were seen as early as Week 2 in SELECT-AXIS 1 (16.1% vs 1.1 %; nominal p-value <0.001) and Week 4 in SELECT-AXIS 2 (21.8% vs 12.4 %; nominal p-value = 0.01) for ASAS40.

In both studies, treatment with RINVOQ 15 mg resulted in improvements in individual ASAS components (patient global assessment of disease activity, total back pain assessment, inflammation, and function) and other measures of disease activity, including hsCRP, at Week 14 compared to placebo.

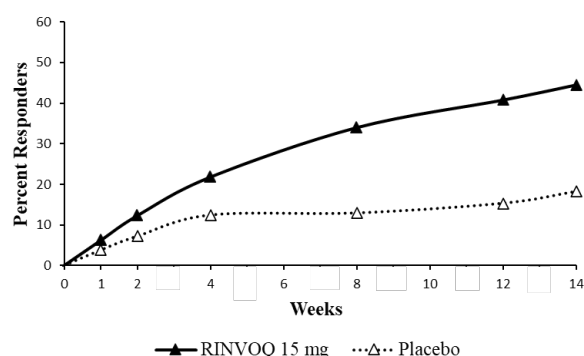
In both studies, the efficacy of RINVOQ 15 mg was demonstrated regardless of subgroups evaluated including gender, baseline BMI, symptom duration of ankylosing spondylitis, baseline hsCRP, and in SELECT-AXIS 2 also prior use of bDMARDs.



**Figure 2: Percent of Patients Achieving ASAS40 in SELECT-AXIS 1**



**Figure 3: Percent of Patients Achieving ASAS40 in SELECT-AXIS 2**



**Table 14: Clinical Response**

Study	SELECT-AXIS 1 bDMARD-naïve		SELECT-AXIS 2 bDMARD-IR	
	PBO	UPA 15 mg	PBO	UPA 15 mg
N	94	93	209	211
<b>ASAS40 (% of patients)</b>				
Week 14	25.5	51.6 <sup>a</sup>	18.2	44.5 <sup>a</sup>
<b>ASAS20 (% of patients)</b>				
Week 14	40.4	64.5 <sup>c</sup>	38.3	65.4 <sup>a</sup>
<b>ASAS Partial Remission (% of patients)</b>				
Week 14	1.1	19.4 <sup>a</sup>	4.3	17.5 <sup>a</sup>
<b>BASDAI 50 (% of patients)</b>				
Week 14	23.4	45.2 <sup>b</sup>	16.7	43.1 <sup>a</sup>
<b>ASDAS-CRP (Change from Baseline)</b>				
Week 14	-0.54	-1.45 <sup>a</sup>	-0.49	-1.52 <sup>a</sup>
<b>ASDAS Inactive Disease (% of patients)</b>				
Week 14	-	-	1.9	12.8 <sup>a</sup>
<b>ASDAS Low Disease Activity (% of patients)</b>				
Week 14	-	-	10.1	44.1 <sup>a</sup>
Abbreviations: ASAS20 (or 40) = Assessment of SpondyloArthritis international Society ≥20% (or ≥40%) improvement; ASDAS-CRP = Ankylosing Spondylitis Disease Activity Score C-Reactive Protein; BASDAI = Bath Ankylosing Spondylitis Disease Activity Index; IR= inadequate responder; PBO = placebo; UPA= upadacitinib				

<sup>a</sup>  $p \leq 0.001$  upadacitinib vs placebo comparison

<sup>b</sup>  $p \leq 0.01$  upadacitinib vs placebo comparison

<sup>c</sup> Upadacitinib vs placebo comparison was not controlled for multiplicity.

For binary endpoints, Week 14 results are based on non-responder imputation (SELECT-AXIS 1) and on non-responder imputation in conjunction with multiple imputation (SELECT-AXIS 2). For continuous endpoints, Week 14 results are based on the least squares mean change from baseline using mixed models for repeated measure analysis.

In SELECT-AXIS 1, response rates for ASAS40, ASAS20, ASAS partial remission, BASDAI 50, ASDAS Inactive Disease, ASDAS Low Disease Activity, change from baseline in ASDAS-CRP, and hsCRP in patients treated with RINVOQ 15 mg were maintained through Week 104.

### *Physical Function and Health-Related Outcomes*

In both studies, significant improvement ( $p = 0.001$  for SELECT-AXIS 1 and  $p < 0.0001$  for SELECT-AXIS 2) in physical function as assessed by change in BASFI score from baseline at Week 14 was observed in patients treated with RINVOQ 15 mg (-2.29 in SELECT-AXIS 1 and -2.26 in SELECT-AXIS 2) compared to placebo (-1.30 and -1.09). Standard deviation of within group change from baseline at Week 14 were 2.44 and 2.28 in patients treated with RINVOQ 15 mg, and 2.05 and 1.67 in patients treated with placebo, in SELECT-AXIS 1 and SELECT-AXIS 2, respectively.

In SELECT-AXIS 1, patients treated with RINVOQ 15 mg showed greater improvement in back pain as assessed by the Total Back Pain component of ASAS response compared to placebo at Week 14. Improvement was demonstrated for nocturnal back pain compared to placebo at Week 14 and was observed as early as Week 2.

In SELECT-AXIS 2, patients treated with RINVOQ 15 mg showed significant improvements in total back pain and nocturnal back pain compared to placebo at Week 14. Responses were observed as early as Week 1 for total back pain and Week 2 for nocturnal back pain.

In both studies, improvements were also observed in peripheral pain and swelling (assessed by BASDAI question 3 on overall pain in joints other than in the neck, back, or hips) compared to placebo at Week 14.

In SELECT-AXIS 1, responses observed at Week 14 in BASFI, total back pain, and nocturnal back pain were maintained through Week 104 for patients receiving RINVOQ 15 mg.

In SELECT-AXIS 2, patients treated with RINVOQ 15 mg showed significant improvements from baseline in health-related quality of life and overall health as measured by ASQoL and ASAS Health Index, respectively, compared to placebo at Week 14.

### *Enthesitis*

In SELECT-AXIS 2, patients with pre-existing enthesitis treated with RINVOQ 15 mg showed significant improvement in enthesitis compared to placebo as measured by change from baseline in MASES at week 14.

### *Spinal mobility*

In SELECT-AXIS 2, patients treated with RINVOQ 15 mg showed significant improvement in spinal mobility compared to placebo as measured by change from baseline in Bath Ankylosing Spondylitis Metrology Index (BASMI) at Week 14.

In SELECT-AXIS 1, improvements from baseline were observed in pre-defined secondary endpoints of BASMI, MASES, ASQoL, ASAS HI, and WPAI for patients receiving RINVOQ 15 mg compared to placebo at Week 14, but these were not statistically significant in the multiplicity adjusted analyses and responses observed at Week 14 were maintained through Week 104 for patients receiving RINVOQ 15 mg.

### *Objective Measure of Inflammation*

Signs of inflammation were assessed by MRI and expressed as change from baseline in the SPARCC score for spine. In both studies, at Week 14, significant improvement of inflammatory signs in the spine was observed in patients treated with RINVOQ 15 mg compared to placebo. In SELECT-AXIS 1, responses in inflammation as assessed by MRI observed at Week 14 were maintained through Week 104.

### *Atopic Dermatitis*

The efficacy and safety of RINVOQ 15 mg and 30 mg once daily was assessed in three Phase 3 randomized, double-blind, multicenter studies (MEASURE UP 1, MEASURE UP 2 and AD UP) in a total of 2584 patients (12 years of age and older) (Table 15). RINVOQ was evaluated in 344 adolescent and 2240 adult patients with moderate to severe atopic dermatitis (AD) not adequately controlled by topical medication(s). At baseline, patients had to have all the following: an Investigator's Global Assessment (vIGA-AD) score  $\geq 3$  in the overall assessment of AD (erythema, induration/papulation, and oozing/crusting) on an increasing severity scale of 0 to 4, an Eczema Area and Severity Index (EASI) score  $\geq 16$  (composite score assessing extent and severity of erythema, edema/papulation, scratches and lichenification across 4 different body sites), a minimum body surface area (BSA) involvement of  $\geq 10\%$ , and weekly average Worst Pruritus Numerical Rating Scale (NRS)  $\geq 4$ .

In all three studies, patients received RINVOQ once daily doses of 15 mg, 30 mg or matching placebo for 16 weeks. In the AD UP study, patients also received concomitant topical corticosteroids (TCS). Following completion of the double-blinded period, patients originally randomized to RINVOQ were to continue receiving the same dose until week 136. Patients in the placebo group were re-randomized in a 1:1 ratio to receive RINVOQ 15 mg or 30 mg until week 136.

**Table 15. Clinical Trial Summary**

Study Name	Treatment Arms	Key Outcome Measures
MEASURE UP 1 and MEASURE UP 2	<ul style="list-style-type: none"> <li>• Upadacitinib 15 mg</li> <li>• Upadacitinib 30 mg</li> <li>• Placebo</li> </ul>	<p>Co-Primary Endpoints at Week 16:</p> <ul style="list-style-type: none"> <li>• EASI 75</li> <li>• vIGA-AD 0/1</li> </ul> <hr/> <p>Key Secondary Endpoints (at Week 16 except where noted)</p> <ul style="list-style-type: none"> <li>• EASI 90/100</li> <li>• EASI 75 at Week 2</li> <li>• % change in EASI</li> <li>• % change in SCORAD</li> <li>• Worst Pruritus NRS improvement <math>\geq 4</math> at Week 1 and 16</li> <li>• Worst Pruritus NRS improvement <math>\geq 4</math> at Day 2 (30 mg), Day 3 (15 mg)</li> <li>• % change in Worst Pruritus NRS</li> <li>• EASI increase <math>\geq 6.6</math> points (flare) during double-blind period</li> <li>• ADerm-SS TSS-7 improvement <math>\geq 28</math></li> <li>• ADerm-SS Skin Pain improvement <math>\geq 4</math></li> <li>• ADerm-IS Sleep improvement <math>\geq 12</math></li> <li>• ADerm-IS Emotional State improvement <math>\geq 11</math></li> <li>• ADerm-IS Daily Activities improvement <math>\geq 14</math></li> <li>• POEM improvement <math>\geq 4</math></li> <li>• HADS-A <math>&lt; 8</math> and HADS-D <math>&lt; 8</math></li> <li>• DLQI 0/1</li> <li>• DLQI improvement <math>\geq 4</math></li> </ul>
AD UP	<ul style="list-style-type: none"> <li>• Upadacitinib 15 mg + TCS</li> </ul>	<p>Co-Primary Endpoints at Week 16:</p> <ul style="list-style-type: none"> <li>• EASI 75</li> <li>• vIGA-AD 0/1</li> </ul>

	<ul style="list-style-type: none"> <li>• Upadacitinib 30 mg + TCS</li> <li>• Placebo + TCS</li> </ul>	<p>Key Secondary Endpoints (at Week 16 except where noted)</p> <ul style="list-style-type: none"> <li>• EASI 75 at Week 2 and 4</li> <li>• EASI 90 at Week 4 and 16</li> <li>• EASI 100 (30 mg)</li> <li>• % change in EASI</li> <li>• Worst Pruritus NRS improvement <math>\geq 4</math> at Week 1, 4 and 16</li> <li>• % change in Worst Pruritus NRS</li> </ul>
<p>Abbreviations: SCORAD = SCORing Atopic Dermatitis, POEM: Patient Oriented Eczema Measure, DLQI: Dermatology Life Quality Index, HADS: Hospital Anxiety and Depression Scale, ADerm-SS = Atopic Dermatitis Symptom Scale, ADerm-IS: Atopic Dermatitis Impact Scale</p>		

**Baseline characteristics**

In the monotherapy studies (MEASURE UP 1 and 2), 50.0% of patients had a baseline a vIGA-AD score of 3 (moderate) and 50.0% of patients had a vIGA-AD of 4 (severe). The mean baseline EASI score was 29.3 and the mean baseline weekly average Worst Pruritus NRS was 7.3. In the monotherapy studies, across all treatment groups, the mean age was 33.8, the mean weight was 74.8 kg, 44.9% were female, 67.3% were white, 22.9% were Asian, and 6.3% were black.

In the concomitant TCS study (AD UP), 47.1% of patients had a baseline vIGA-AD score of 3 (moderate) and 52.9% of patients had a vIGA-AD of 4 (severe). The mean baseline EASI score was 29.7 and the mean baseline weekly average Worst Pruritus NRS was 7.2%. In the AD UP study, across all treatment groups, the mean age was 34.1, the mean weight was 75.5 kg, 39.3% were female, 71.8% were white, 20.5% were Asian, and 5.5% were black.

**Clinical Response**

**Monotherapy Studies (MEASURE UP 1 AND MEASURE UP 2)**

In the MEASURE UP studies, a significantly greater proportion of patients treated with RINVOQ 15 mg achieved vIGA-AD 0 or 1 response and achieved EASI 75 compared to placebo at week 16 (Table 16). A rapid improvement in skin clearance (defined as EASI 75 by week 2) was achieved for RINVOQ 15 mg compared to placebo ( $p < 0.001$ ).

A significantly greater proportion of patients treated with RINVOQ 15 mg achieved clinically meaningful improvement in itch (defined as a  $\geq 4$ -point reduction in the Worst Pruritus NRS) compared to placebo at week 16. Rapid improvement in itch (defined as a  $\geq 4$ -point reduction in Worst Pruritus NRS by week 1) was achieved for RINVOQ 15 mg compared to placebo ( $p < 0.001$ ), with differences observed as early as 2 days after initiating RINVOQ 15 mg (Day 3,  $p < 0.001$ ).

A significantly smaller proportion of patients treated with RINVOQ 15 mg had a disease flare, defined as a clinically meaningful worsening of disease (increase in EASI by  $\geq 6.6$ ), during the initial 16 weeks of treatment compared to placebo ( $p < 0.001$ ).

Figure 4 and Figure 5 show proportion of patients achieving an EASI 75 response and the proportion of patients with  $\geq 4$ -point improvement in the Worst Pruritus NRS, respectively up to week 16.

**Table 16: Efficacy results of RINVOQ monotherapy studies at week 16**

Study	MEASURE UP 1		MEASURE UP 2	
	PBO	UPA 15 mg	PBO	UPA 15 mg
Treatment Group	PBO	UPA 15 mg	PBO	UPA 15 mg
Number of subjects randomized	281	281	278	276
<b>% responders</b>				
vIGA-AD 0/1 <sup>a,b</sup>	8.4	48.1 <sup>f</sup>	4.7%	38.8 <sup>f</sup>
EASI 75 <sup>a</sup>	16.3	69.6 <sup>f</sup>	13.3%	60.1 <sup>f</sup>
EASI 90 <sup>a</sup>	8.1	53.1 <sup>f</sup>	5.4	42.4 <sup>f</sup>
EASI 100 <sup>a</sup>	1.8	16.7 <sup>f</sup>	0.7	14.1 <sup>f</sup>
Worst Pruritus NRS <sup>c</sup> ( $\geq 4$ -point improvement)	11.8 N=272	52.2 <sup>f</sup> N=274	9.1 N=274	41.9 <sup>f</sup> N=270
Worst Pruritus NRS 0 or 1 <sup>d</sup>	5.5 N=275	36.6 <sup>g</sup> N=279	4.3 N=277	26.9 <sup>g</sup> N=275
<b>Mean percent change (SE)<sup>e</sup></b>				
EASI	-40.7 (2.28)	-80.2 <sup>f</sup> (1.91)	-34.5 (2.59)	-74.1 <sup>f</sup> (2.20)
SCORAD	-32.7 (2.33)	-65.7 <sup>f</sup> (1.78)	-28.4 (2.50)	-57.9 <sup>f</sup> (2.01)
Worst Pruritus NRS	-26.1 (5.41)	-62.8 <sup>f</sup> (4.49)	-17.0 (2.73)	-51.2 <sup>f</sup> (2.34)
Abbreviations: UPA= upadacitinib (RINVOQ); PBO = placebo				
<sup>a</sup> Based on number of subjects randomized				
<sup>b</sup> Responder was defined as a patient with vIGA-AD 0 or 1 (“clear” or “almost clear”) with a reduction of $\geq 2$ points on a 0-4 ordinal scale				
<sup>c</sup> N = number of patients whose baseline Worst Pruritus NRS is $\geq 4$				
<sup>d</sup> N = number of patients whose baseline Worst Pruritus NRS is $> 1$				
<sup>e</sup> % change = least squares mean percent change relative to baseline				
<sup>f</sup> multiplicity-controlled $p < 0.001$ upadacitinib vs placebo comparison				
<sup>g</sup> nominal $p < 0.001$ upadacitinib vs placebo comparison				

**Figure 4: Proportion of patients achieving an EASI 75 response in monotherapy studies**

MEASURE UP 1

MEASURE UP 2

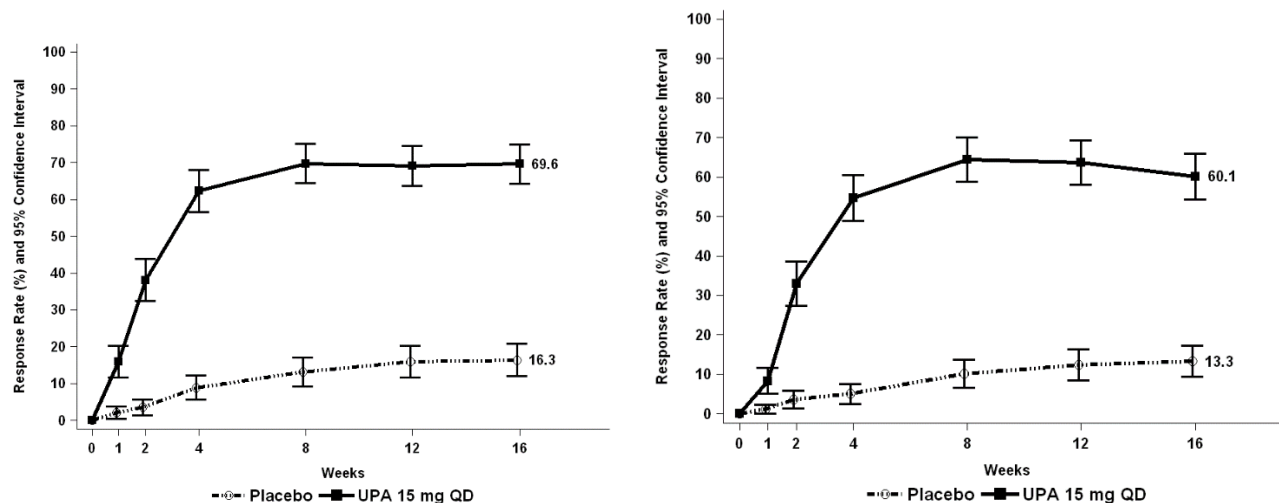
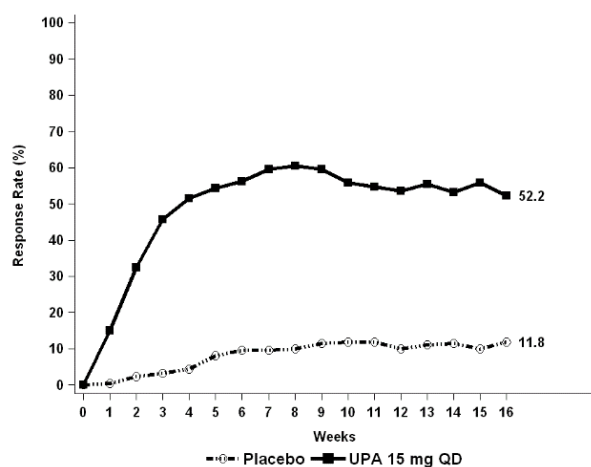
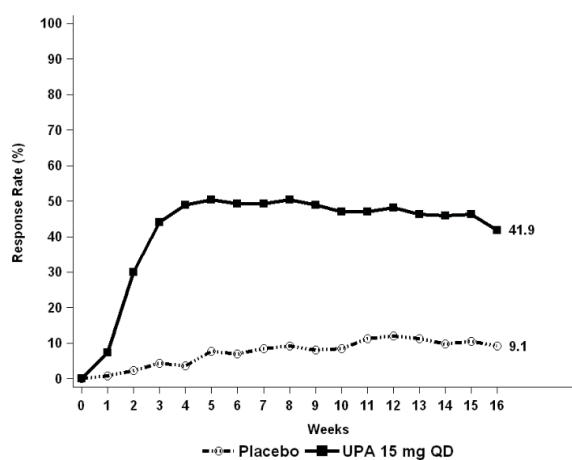


Figure 5: Proportion of patients with ≥4-point improvement in the Worst Pruritus NRS in monotherapy studies

MEASURE UP 1



MEASURE UP 2



Treatment effects in subgroups (weight, age, gender, race, and prior systemic treatment with immunosuppressants) in both studies were consistent with the results in the overall study population. In both studies, results at week 16 continued to be observed through week 52 in patients treated with RINVOQ 15 mg.

Concomitant TCS Study (AD UP)

In AD UP, a significantly greater proportion of patients treated with RINVOQ 15 mg + TCS achieved vIGA-AD 0 or 1 response and achieved EASI 75 compared to placebo + TCS at week 16 (Table 17). A rapid improvement in skin clearance (defined as EASI 75 by week 2) was achieved compared to placebo + TCS ( $p < 0.001$ ). In addition, a higher EASI 90 response rate was achieved at week 4 compared to placebo + TCS ( $p < 0.001$ ).

A significantly greater proportion of patients treated with RINVOQ 15 mg + TCS achieved a clinically meaningful improvement in itch (defined as a  $\geq 4$ -point reduction in the Worst Pruritus NRS) compared to placebo + TCS at week 16. A rapid improvement in itch (defined as a  $\geq 4$ -point reduction in Worst Pruritus NRS by week 1) was achieved compared to placebo + TCS ( $p < 0.001$ ).

Figure 6 and Figure 7 show proportion of patients achieving an EASI 75 response and the proportion of patients with  $\geq 4$ -point improvement in the Worst Pruritus NRS, respectively up to week 16.

**Table 17: Efficacy results of RINVOQ + concomitant TCS at week 16**

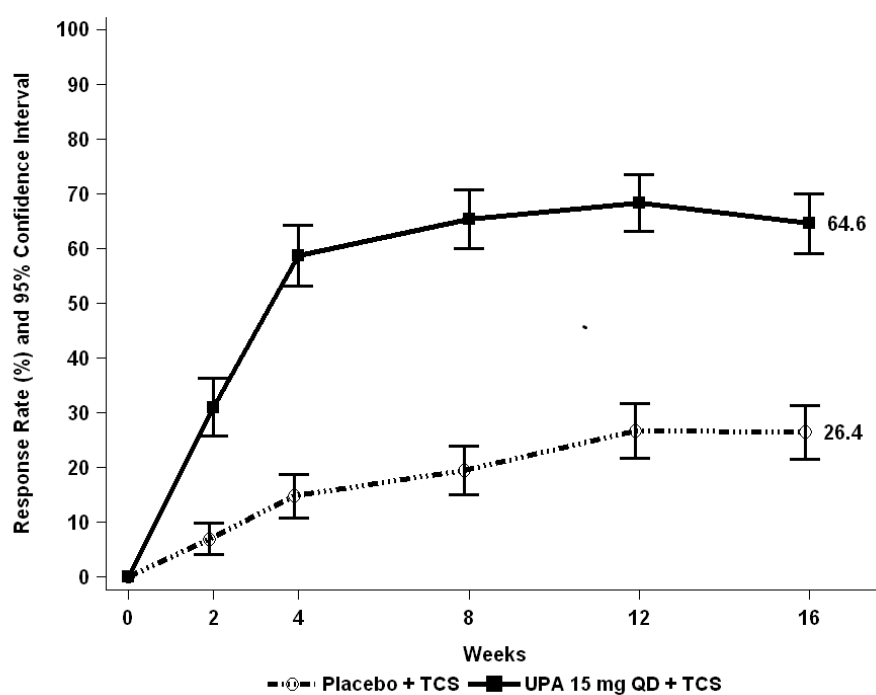
Treatment Group	Placebo + TCS	UPA 15 mg + TCS
Number of subjects randomized	304	300
<b>% responders</b>		
vIGA-AD 0/1 <sup>a,b</sup>	10.9	39.6 <sup>f</sup>
EASI 75 <sup>a</sup>	26.4	64.6 <sup>f</sup>
EASI 90 <sup>a</sup>	13.2	42.8 <sup>f</sup>
EASI 100 <sup>a</sup>	1.3	12.0 <sup>g</sup>
Worst Pruritus NRS <sup>c</sup> ( $\geq 4$ -point improvement)	15.0 N=294	51.7 <sup>f</sup> N=288
Worst Pruritus NRS 0 or 1 <sup>d</sup>	7.3 N=300	33.1 <sup>g</sup> N=296
<b>Mean percent change (SE)<sup>e</sup></b>		
EASI	-45.9 (2.16)	-78.0 <sup>f</sup> (1.98)
SCORAD	-33.6 (1.90)	-61.2 <sup>g</sup> (1.70)
Worst Pruritus NRS	-25.1 (3.35)	-58.1 <sup>f</sup> (3.11)
Abbreviations: UPA= upadacitinib (RINVOQ); PBO = placebo		
<sup>a</sup> Based on number of subjects randomized		
<sup>b</sup> Responder was defined as a patient with vIGA-AD 0 or 1 (“clear” or “almost clear”) with a reduction of $\geq 2$ points on a 0-4 ordinal scale		
<sup>c</sup> N = number of patients whose baseline Worst Pruritus NRS is $\geq 4$		
<sup>d</sup> N = number of patients whose baseline Worst Pruritus NRS is $> 1$		
<sup>e</sup> % change = least squares mean percent change relative to baseline		



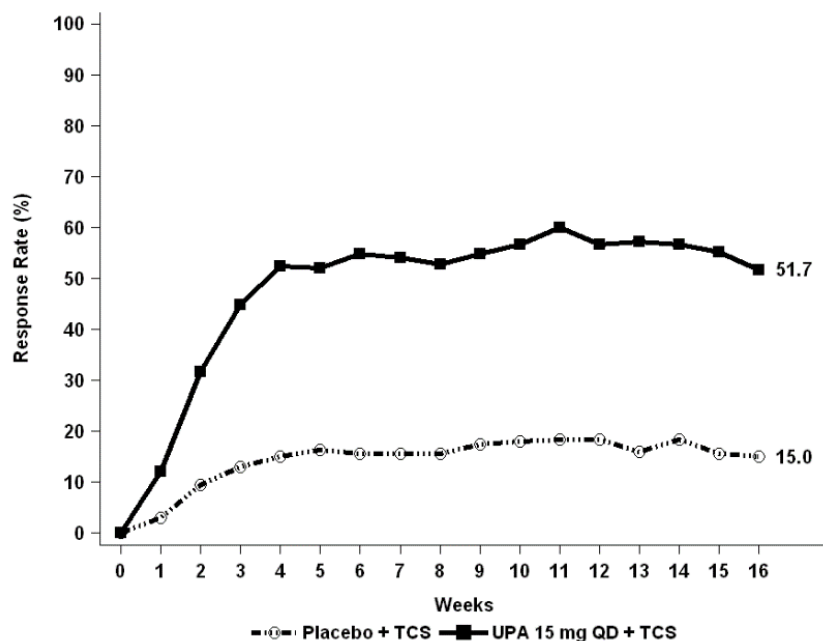
<sup>f</sup> multiplicity-controlled  $p < 0.001$  upadacitinib + TCS vs placebo + TCS comparison

<sup>g</sup> nominal  $p < 0.001$  upadacitinib + TCS vs placebo + TCS comparison

**Figure 6: Proportion of patients achieving an EASI 75 response AD UP Study**



**Figure 7: Proportion of patients with  $\geq 4$ -point improvement in the Worst Pruritus NRS in AD UP Study**



Treatment effects in subgroups (weight, age, gender, race, and prior systemic treatment with immunosuppressants) in AD UP were consistent with the results in the overall study population.

Subjects treated with RINVOQ 15 mg had significantly more days free of TCS use with a concurrent EASI 75 response (mean: 33.5) over the 16-week period, compared to placebo group (mean: 7.9 days).

Results at week 16 continued to be observed through week 52 in patients treated with RINVOQ 15 mg.

*Quality of Life/Patient reported outcomes*

In the MEASURE UP studies, a significantly greater proportion of patients treated with RINVOQ 15 mg reported clinically meaningful reductions in the symptoms of AD and the impact of AD on health-related quality of life compared to placebo at week 16 (Table 18). A significantly greater proportion of patients treated with RINVOQ achieved clinically meaningful reductions in AD symptom severity as measured by ADerm-SS TSS-7 and ADerm-SS Skin Pain compared to placebo at week 16. A greater proportion of patients treated with RINVOQ achieved clinically meaningful reductions in the patient-reported effects of AD on sleep, daily activities and emotional state as measured by the ADerm-IS domain scores compared to placebo at week 16. Similarly, compared to placebo at week 16, a greater proportion of patients treated with RINVOQ achieved clinically meaningful improvements in AD symptom frequency and health-related quality of life as measured by the POEM and DLQI. Anxiety and depression symptoms as measured by the HADS score were significantly reduced; in patients with baseline HADS-anxiety or HADS-depression subscale scores  $\geq 8$  (the cut-off value for

anxiety or depression), a greater proportion of patients in the RINVOQ 15 mg group achieved HADS-anxiety and HADS-depression scores < 8 at week 16 compared to placebo (Table 18).

**Table 18: Patient-reported outcomes results of RINVOQ monotherapy studies at week 16**

Study	MEASURE UP 1		MEASURE UP 2	
	PBO	UPA 15 mg	PBO	UPA 15 mg
Treatment group				
Number of subjects randomized	281	281	278	276
<b>% responders</b>				
ADerm-SS TSS-7 (≥ 28-point improvement) <sup>a,b</sup>	15.0 N=226	53.6 <sup>h</sup> N=233	12.7% N=244	53.0 <sup>h</sup> N=230
ADerm-SS Skin Pain (≥ 4-point improvement) <sup>a</sup>	15.0 N=233	53.6 <sup>h</sup> N=237	13.4% N=247	49.4 <sup>h</sup> N=237
ADerm-IS Sleep (≥ 12-point improvement) <sup>a,c</sup>	13.2 N=220	55.0 <sup>h</sup> N=218	12.4% N=233	50.2 <sup>h</sup> N=219
ADerm-IS Daily Activities (≥ 14-point improvement) <sup>a,d</sup>	20.3 N=197	65.0 <sup>h</sup> N=203	18.9% N=227	57.0 <sup>h</sup> N=207
ADerm-IS Emotional State (≥ 11-point improvement) <sup>a,e</sup>	19.8 N=212	62.6 <sup>h</sup> N=227	16.7% N=234	57.0 <sup>h</sup> N=228
DLQI (DLQI 0/1) <sup>f</sup>	4.4 N=252	30.3 <sup>h</sup> N=258	4.7% N=257	23.8 <sup>h</sup> N=252
DLQI (≥ 4-point improvement) <sup>a</sup>	29.0 N=250	75.4 <sup>h</sup> N=254	28.4% N=250	71.7 <sup>h</sup> N=251
POEM (≥ 4-point improvement) <sup>a</sup>	22.8% N=276	75.0 <sup>h</sup> N=278	28.7% N=268	70.9 <sup>h</sup> N=268
HADS (HADS-A < 8 and HADS-D < 8) <sup>g</sup>	14.3 N=126	45.5 <sup>h</sup> N=145	11.4% N=140	46.0 <sup>h</sup> N=137
Abbreviations: UPA= upadacitinib (RINVOQ); PBO = placebo The threshold values specified correspond to the minimal clinically important difference (MCID) and was used to determine response.				

<sup>a</sup> N = number of patients whose baseline score is greater than or equal to the MCID.

<sup>b</sup> ADerm-SS TSS-7 assesses itch while asleep, itch while awake, skin pain, skin cracking, pain caused by skin cracking, dry skin, and flaking due to AD.

<sup>c</sup> ADerm-IS Sleep assesses difficulty falling asleep, sleep impact, and waking up at night due to AD.

<sup>d</sup> ADerm-IS Daily Activities assesses AD's effect on household activities, physical activities, social activities, and concentration.

<sup>e</sup> ADerm-IS Emotional State assesses self-consciousness, embarrassment, and sadness due to AD.

<sup>f</sup> N = number of patients whose baseline DLQI score is > 1.

<sup>g</sup> N = number of patients whose baseline HADS-A or HADS-D is ≥ 8.

<sup>h</sup> multiplicity-controlled  $p < 0.001$  upadacitinib vs placebo comparison.

*Ulcerative Colitis*

The efficacy and safety of RINVOQ was evaluated in three multicenter, double-blind, placebo-controlled Phase 3 clinical studies: two replicate induction studies, UC-1 (U-ACHIEVE Induction) and UC-2 (U-ACCOMPLISH), and a maintenance study UC-3 (U-ACHIEVE Maintenance). Disease activity was based on the adapted Mayo score (aMS, Mayo scoring system excluding Physician's Global Assessment), which ranged from 0 to 9 and has three subscores that were each scored 0 (normal) to 3 (most severe): stool frequency subscore (SFS), rectal bleeding subscore (RBS), and a centrally-reviewed endoscopy subscore (ES).

**Table 19: Clinical Trial Summary**

Study Name	Population (n)	Treatment Arms	Key Outcome Measures
<b>Induction</b>			
<b>U-ACHIEVE (UC-1)</b>	Biologic failure* (246/473) Without biologic failure*(227/473)	<ul style="list-style-type: none"> <li>• Upadacitinib 45 mg</li> <li>• Placebo</li> </ul>	Primary Endpoint: <ul style="list-style-type: none"> <li>• Clinical remission per Adapted Mayo score at Week 8</li> </ul>

## Information for healthcare professionals

<p><b>U-ACCOMPLISH (UC-2)</b></p>	<p>Biologic failure (262/515)  Without biologic failure(253/515)</p>		<p>Secondary Endpoints at Week 8 or specified:</p> <ul style="list-style-type: none"> <li>• Endoscopic Improvement</li> <li>• Endoscopic remission</li> <li>• Clinical response</li> <li>• Clinical response at Week 2</li> <li>• Histologic-endoscopic mucosal improvement</li> <li>• No bowel urgency</li> <li>• No abdominal pain</li> <li>• Histologic improvement</li> <li>• Change from baseline in IBDQ total score</li> <li>• Mucosal healing</li> <li>• Change from baseline in FACIT-F score</li> </ul>
<b>Maintenance</b>			
<p><b>U-ACHIEVE (UC-3)</b></p>	<p>Biologic failure (225/451)  Without biologic failure (226/451)</p>	<ul style="list-style-type: none"> <li>• Upadacitinib 15 mg</li> <li>• Upadacitinib 30 mg</li> <li>• Placebo</li> </ul>	<p>Primary Endpoint:</p> <ul style="list-style-type: none"> <li>• Clinical remission per Adapted Mayo score at Week 52</li> </ul> <p>Secondary Endpoints at Week 52:</p> <ul style="list-style-type: none"> <li>• Endoscopic improvement</li> <li>• Maintenance of clinical remission</li> <li>• Corticosteroid-free clinical remission</li> <li>• Maintenance of endoscopic improvement</li> <li>• Endoscopic remission</li> <li>• Maintenance of clinical response</li> </ul>

			<ul style="list-style-type: none"> <li>• Histological-endoscopic mucosal improvement</li> <li>• Change from baseline in IBDQ total</li> <li>• Mucosal healing</li> <li>• No bowel urgency</li> <li>• No abdominal pain</li> <li>• Change from baseline in FACIT-F</li> </ul>
<p>*Biologic failure: inadequate response to, loss of response to, or intolerance to prior biologic therapy</p> <p>*Without biologic failure: inadequate response, loss of response, or intolerance to conventional therapy but had not failed biologic therapy</p> <p>Abbreviations: IBDQ: inflammatory bowel disease questionnaire, FACIT-F: Functional Assessment of Chronic Illness Therapy-Fatigue score</p>			

**Induction studies (UC-1 and UC-2)**

In studies UC-1 and UC-2, 979 adult and 9 adolescent patients (473 and 515 patients, respectively) were randomized to RINVOQ 45 mg once daily or placebo for 8 weeks with a 2:1 treatment allocation ratio and included in the efficacy analysis. All eligible patients had moderately to severely active ulcerative colitis defined as aMS of 5 to 9 with an ES of 2 or 3 and demonstrated prior treatment failure including inadequate response, loss of response, or intolerance to prior conventional and/or biologic treatment. Patients with previous JAK inhibitor therapy were excluded from the studies.

Among the 979 adult patients, 500 had an inadequate response or were intolerant to treatment with one or more biologics (prior biologic failure) (246 and 254 patients, respectively). At Baseline, 46% and 47% of patients received corticosteroids, 1% and 1% of patients received methotrexate and 57% and 61% of patients received aminosalicylates. Concomitant use of thiopurine was not allowed during the studies. Patient disease activity was moderate (aMS ≤7) in 59% and 59% of patients and severe (aMS >7) in 41% and 41% of patients.

Results of the primary endpoint of clinical remission and secondary endpoints at Week 8 in adult patients with prior biologic failure are listed in Table 20. The pooled results of clinical response over time per paMS in UC-1 and UC-2 are shown in Figure 8.

**Table 20. Proportion of Adult Patients with Prior Biologic Failure Meeting Primary and Secondary Efficacy Endpoints at Week 8 in Induction Studies UC-1 and UC-2.**

Endpoint	UC-1 (U-ACHIEVE)			UC-2 (U-ACCOMPLISH)		
	PBO N=78	UPA 45 mg N=168	Treatment Difference (95% CI)	PBO N=86	UPA 45 mg N=168	Treatment Difference (95% CI)
<b>Disease Activity and UC Symptoms</b>						
Clinical remission <sup>a</sup>	0.4%	17.9%	17.5%* (11.4, 23.6)	2.5%	29.9%	27.3%* (19.6, 35.1)
Clinical response <sup>b</sup>	12.8%	64.4%	51.6%* (41.2, 61.9)	19.9%	69.0%	49.1%* (38.1, 60.1)
No bowel urgency	14.1%	40.2%	26.1%* (15.4, 36.8)	17.4%	50.0%	32.6%* (21.5, 43.6)
No abdominal Pain	20.5%	42.6%	22.1%* (10.4, 33.8)	18.6%	53.0%	34.4%* (23.2, 45.5)
<b>Endoscopic and Histologic Assessment</b>						
Endoscopic remission <sup>c</sup>	0	8.9%	8.9%** (4.6, 13.3)	1.2%	12.5%	11.3%** (5.8, 16.8)
Endoscopic improvement <sup>d</sup>	1.7%	27.0%	25.3%* (17.8, 32.7)	5.0%	37.0%	32.0%* (23.3, 40.7)
Histologic improvement <sup>e</sup>	17.5%	51.0%	33.5%* (22.1, 44.9)	21.0%	58.9%	37.9%* (26.4, 49.4)
Histologic-endoscopic mucosal improvement <sup>f</sup>	1.4%	22.7%	21.3%* (14.4, 28.2)	4.8%	30.4%	25.6%* (17.3, 34.0)
Mucosal healing <sup>g</sup>	0	6.5%	6.5%*** (2.8, 10.3)	1.2%	8.9%	7.8%*** (2.9, 12.6)
<b>Quality of Life</b>						
Change from Baseline in FACIT-F score	N = 65 1.3	N = 154 9.6	8.3* (5.66, 11.01)	N = 76 3.3	N = 154 9.8	6.6* (4.15, 8.99)
Change from Baseline in IBDQ total score	N = 65 14.6	N = 155 55.7	41.1* (31.53, 50.64)	N = 76 17.4	N = 156 53.5	36.1* (27.57, 44.72)

Abbreviation: PBO = placebo

\* $p \leq 0.001$ , treatment difference (95% CI)

\*\* $p \leq 0.01$

\*\*\* $p \leq 0.05$

<sup>a</sup> Per aMS: SFS  $\leq 1$  and not greater than Baseline, RBS = 0, ES of  $\leq 1$  without friability

<sup>b</sup> Per aMS: decrease  $\geq 2$  points and  $\geq 30\%$  from Baseline and a decrease in RBS  $\geq 1$  from Baseline or an absolute RBS  $\leq 1$

<sup>c</sup> ES of 0

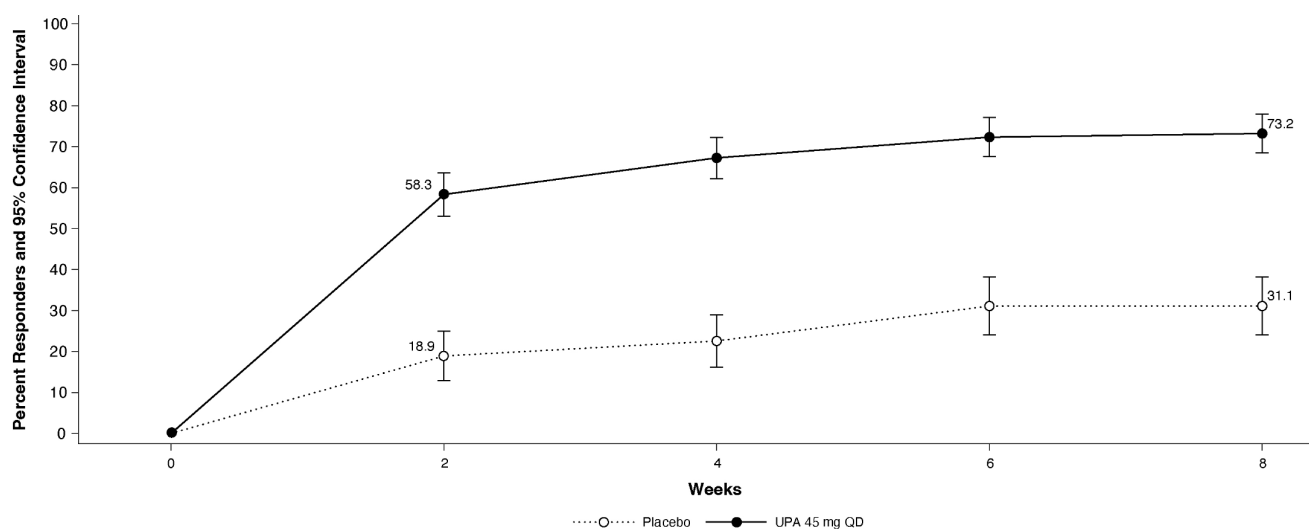
<sup>d</sup> ES  $\leq 1$  without friability

<sup>e</sup> Decrease from baseline in Geboes score. Histology was assessed using the Geboes score.

<sup>f</sup> ES  $\leq 1$  without friability and Geboes score  $\leq 3.1$  (indicating neutrophil infiltration in  $<5\%$  of crypts, no crypt destruction and no erosions, ulcerations or granulation tissue).

<sup>g</sup> ES = 0, Geboes score  $< 2$  (indicating no neutrophil in crypts or lamina propria and no increase in eosinophil, no crypt destruction, and no erosions, ulcerations or granulation tissue).

**Figure 8: Proportion of Adult Patients with Prior Biologic Failure who Achieved Clinical Response per paMS (SFS and RBS) Over Time in Induction Studies UC-1 and UC-2**



**Extended Induction**

A total of 124 adult patients in UC-1 and UC-2 who did not achieve clinical response after 8 weeks of treatment with RINVOQ 45 mg once daily entered an 8-week open-label extended induction period. In patients with prior biologic failure who received the treatment of an additional 8 weeks (16 weeks total) of RINVOQ 45 mg once daily (82 patients), 48.7% of patients achieved clinical response per aMS. Among patients who responded to treatment of 16-week RINVOQ 45 mg once daily, 36.4% of patients (N=11) and 86.7% of patients (N=15) maintained clinical response per aMS with maintenance treatment of RINVOQ 15 mg and 30 mg once daily, respectively. Following the treatment of 16-week RINVOQ 45 mg once daily, 20.0% of patients (N=15) and 41.2% of patients



(N=17) achieved clinical remission per aMS at Week 52 with maintenance treatment of RINVOQ 15 mg and 30 mg once daily, respectively.

**Maintenance Study (UC-3)**

The efficacy analysis for UC-3 evaluated 223 adult patients with prior biologic failure who achieved clinical response per aMS with 8-week RINVOQ 45 mg once daily induction treatment. Patients were randomized to receive RINVOQ 15 mg, 30 mg or placebo once daily for up to 52 weeks.

The primary endpoint was clinical remission at Week 52. Primary and secondary endpoints are listed in Table 21. Symptomatic remission per paMS over time is shown in Figure 9.

**Table 21. Proportion of Adult Patients with Prior Biologic Failure Meeting Primary and Secondary Efficacy Endpoints at Week 52 in Maintenance Study UC-3**

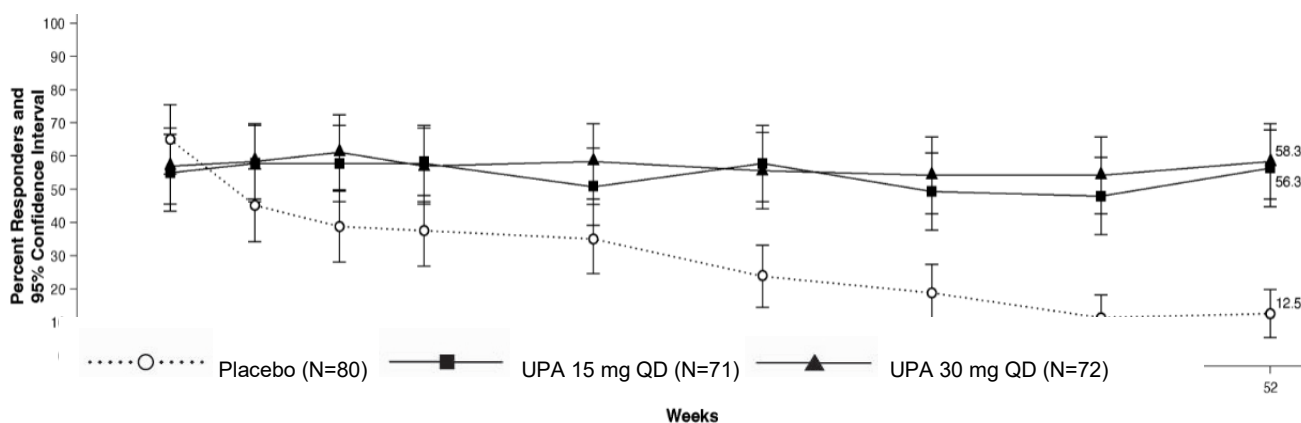
Endpoint	PBO N=80	UPA 15 mg N=71	UPA 30 mg N=72	Treatment Difference 15 mg vs PBO (95% CI)	Treatment Difference 30 mg vs PBO (95% CI)
<b>Disease Activity and UC Symptoms</b>					
<b>Clinical remission<sup>a</sup></b>	7.6%	40.5%	49.8%	32.9%* (20.0, 45.8)	42.2%* (29.1, 55.4)
<b>Maintenance of clinical remission<sup>b</sup></b>	N = 21 14.3%	N = 17 76.5%	N = 20 73.0%	62.2%* (37.1, 87.3)	58.7%* (33.6, 83.9)
<b>Corticosteroid-free clinical remission<sup>c</sup></b>	N = 21 14.3%	N = 17 70.6%	N = 20 73.0%	56.3%* (30.0, 82.6)	58.7%* (33.6, 83.9)
<b>Maintenance of clinical response<sup>d</sup></b>	N = 70 14.4%	N = 64 60.9%	N = 65 69.9%	46.6%* (32.0, 61.1)	55.5%* (41.5, 69.6)
<b>No bowel urgency</b>	47.5%	59.2%	56.9%	11.7% (-4.2, 27.5)	9.4% (-6.4, 25.3)
<b>No abdominal pain</b>	46.3%	53.5%	50.0%	7.3% (-8.7, 23.2)	3.7% (-12.1, 19.6)
<b>Endoscopic and Histologic Assessment</b>					

## Information for healthcare professionals

<b>Maintenance of endoscopic improvement<sup>e</sup></b>	N = 31 9.7%	N = 24 70.8%	N = 29 60.7%	61.2%* (40.2, 82.1)	51.0%* (30.1, 72.0)
<b>Endoscopic remission<sup>f</sup></b>	2.5%	21.5%	20.3%	19.0%* (8.7, 29.3)	17.8%* (7.5, 28.0)
<b>Histologic-endoscopic mucosal improvement<sup>g</sup></b>	5.3%	32.9%	48.2%	27.6%* (15.5, 39.8)	43.0%* (30.1, 55.8)
<b>Endoscopic improvement<sup>h</sup></b>	7.9%	43.3%	56.9%	35.4%* (22.3, 48.5)	48.9%* (35.6, 62.2)
<b>Mucosal healing<sup>i</sup></b>	2.5%	17.2%	16.3%	14.7%** (5.2, 24.2)	13.8%** (4.3, 23.3)
<b>Quality of Life</b>					
<b>Change from Baseline in FACIT-F score</b>	3.2	8.2	9.8	5.0%** (1.51, 8.53)	6.6%* (3.06, 10.10)
<b>Change from Baseline in IBDQ total score</b>	17.0	47.0	56.0	30.0%* (16.09, 43.90)	39.0%* (24.62, 53.30)
<p>* <math>p \leq 0.001</math>, treatment difference (95% CI)</p> <p>** <math>p \leq 0.01</math></p> <p><sup>a</sup> Per aMS: SFS <math>\leq 1</math> and not greater than Baseline, RBS = 0, ES of <math>\leq 1</math> without friability</p> <p><sup>b</sup> Clinical remission per aMS at Week 52 among patients who achieved clinical remission at the end of the induction treatment</p> <p><sup>c</sup> Clinical remission per aMS at Week 52 and corticosteroid-free for <math>\geq 90</math> days immediately preceding Week 52 among patients who achieved clinical remission at the end of the induction treatment.</p> <p><sup>d</sup> Clinical response per aMS at Week 52 among patients who achieved clinical response at the end of the induction treatment</p>					

- <sup>e</sup> Maintain mucosal healing, ES  $\leq$  1 without friability, among patients with mucosal healing in induction
- <sup>f</sup> ES subscore = 0
- <sup>g</sup> ES  $\leq$  1 without friability and Geboes score  $\leq$  3.1 (indicating neutrophil infiltration in  $<$ 5% of crypts, no crypt destruction and no erosions, ulcerations or granulation tissue)
- <sup>h</sup> ES  $\leq$  1 without friability.
- <sup>i</sup> ES = 0, Geboes score  $<$  2 (indicating no neutrophil in crypts or lamina propria and no increase in eosinophil, no crypt destruction, and no erosions, ulcerations or granulation tissue)

**Figure 9. Proportion of adult patients with prior biologic failure who achieved symptomatic remission per paMS (SFS  $\leq$  1 and RBS = 0) over time in maintenance study UC-3**



### Crohn's Disease

The efficacy and safety of RINVOQ was evaluated in three multicenter, double-blind, placebo-controlled Phase 3 studies: two induction studies, CD-1 (U-EXCEED) and CD-2 (U-EXCEL), followed by a 52-week maintenance and long-term extension study CD-3 (U-ENDURE). The co-primary endpoints were clinical remission and endoscopic response at Week 12 for CD-1 and CD-2, and at Week 52 for CD-3.

Eligible patients were 18 to 75 years of age with moderately to severely active Crohn's disease (CD) defined as an average daily very soft or liquid stool frequency (SF)  $\geq$  4 and/or average daily abdominal pain score (APS)  $\geq$  2, and a centrally-reviewed Simple Endoscopic Score for CD (SES-CD) of  $\geq$  6, or  $\geq$  4 for isolated ileal disease, excluding the narrowing component.

### Induction studies (CD-1 and CD-2)

In CD-1 and CD-2, 1021 patients (495 and 526 patients, respectively) were randomised to RINVOQ 45 mg once daily or placebo for 12 weeks with a 2:1 treatment allocation ratio.

In CD-1, all patients had prior biologic failure. Of these patients, 61% (301/495) had inadequate response or were intolerant to two or more biologic therapies.

In CD-2, 45% (239/526) patients had prior biologic failure, and 55% (287/526) had an inadequate response or were intolerant to treatment with conventional therapies but not to biologic therapy (without prior biologic failure).

Only study outcomes for patients with prior biologic failure will be presented below. Among these patients at baseline in CD-1 and CD-2, 34% and 44% of patients received corticosteroids, 7% and 3% of patients received immunomodulators, and 15% and 12% of patients received aminosalicylates, respectively.

In both studies, patients receiving corticosteroids at baseline initiated a corticosteroid taper regimen starting at Week 4.

### *Clinical Disease Activity and Symptoms*

In CD-1 and CD-2, a significantly greater proportion of patients with prior biologic failure treated with RINVOQ 45 mg achieved the co-primary endpoint of clinical remission at Week 12 compared to placebo (Table 22). In both studies, onset of efficacy occurred as early as Week 2 compared to placebo, with a significantly greater proportion of patients treated with RINVOQ 45 mg achieving clinical response (CR-100). A significantly greater proportion of patients achieved clinical remission at Week 4 compared to placebo.

In Studies CD-1 and CD-2, a significantly greater proportion of patients with prior biologic failure treated with RINVOQ 45 mg (51% and 59%, respectively) compared to placebo (27% and 25%, respectively) achieved clinical response per CDAI at Week 12.

In both studies, patients receiving RINVOQ 45 mg experienced significantly greater improvement from baseline in fatigue, as measured by FACIT-F score at Week 12 compared to placebo.

### *Endoscopic Assessment*

In CD-1 and CD-2, a significantly greater proportion of patients with prior biologic failure treated with RINVOQ 45 mg achieved the co-primary endpoint of endoscopic response at Week 12 compared to placebo (Table 22). In CD-1 and CD-2, a greater proportion of patients with prior biologic failure treated with RINVOQ 45 mg (17% and 16%, respectively) compared to placebo (0% and 1%, respectively) achieved mucosal healing (SES-CD ulcerated surface subscore of 0 in patients with SES-CD ulcerated surface subscore  $\geq 1$  at baseline) at Week 12. In CD-1 and CD-2, a greater proportion of patients with prior biologic failure treated with RINVOQ 45 mg (14% and 12%, respectively) compared to placebo (0% and 1%, respectively) achieved SES-CD 0-2.

## **Table 22. Proportion of Patients with Prior Biologic Failure Meeting Primary and Additional Efficacy Endpoints in Induction Studies CD-1 and CD-2**

Study	CD-1 (U-EXCEED)			CD-2 (U-EXCEL)		
	PBO N=171	UPA 45 mg N=324	Treatment Difference (95% CI)	PBO N=78	UPA 45 mg N=161	Treatment Difference (95% CI)
<b>Co-Primary Endpoints at Week 12</b>						
<b>Clinical remission<sup>a</sup></b>	14%	40%	26% (19, 33)*	14%	47%	33% (22, 44)*
<b>Endoscopic response<sup>b</sup></b>	4%	35%	31% (25, 37)*	9%	38%	29% (19, 39)*
<b>Additional Endpoints at Week 12</b>						
<b>Clinical remission per CDAI<sup>c</sup></b>	21%	39%	18% (10, 26)*	16%	44%	28% (17, 39)*
<b>Corticosteroid-free clinical remission<sup>a,d</sup></b>	N=60 7%	N=108 37%	30% (19, 41)*	N=36 6%	N=70 39%	33% (19, 47)*
<b>Endoscopic remission<sup>e</sup></b>	2%	19%	17% (12, 22)*	4%	21%	17% (9, 24)*
Abbreviation: PBO = placebo, UPA = upadacitinib * p ≤ 0.001, UPA vs PBO comparison, treatment difference (95% CI) <sup>a</sup> Average daily very soft or liquid SF ≤ 2.8 and APS ≤ 1.0 and neither greater than baseline <sup>b</sup> Decrease in SES-CD > 50% from baseline of the induction study (or for patients with an SES-CD of 4 at baseline of the induction study, at least a 2-point reduction from baseline of the induction study) <sup>c</sup> CDAI < 150 <sup>d</sup> Discontinuation of steroid and achievement of clinical remission among patients on steroid at baseline <sup>e</sup> SES-CD ≤ 4 and at least a 2-point reduction versus baseline and no subscore > 1 in any individual variable						

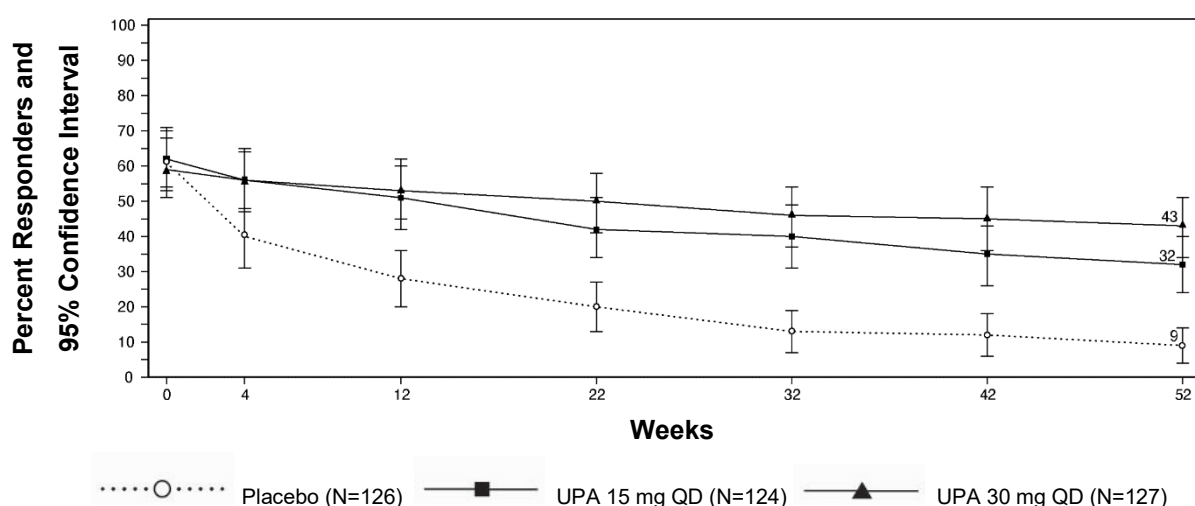
**Maintenance study (CD-3)**

The primary efficacy analysis for CD-3 evaluated 502 patients who achieved clinical response per SF/APS (≥ 30% decrease in average daily very soft or liquid SF and/or ≥ 30% decrease in average daily APS and neither greater than baseline) with the 12-week RINVOQ 45 mg once daily induction treatment. Patients were re-randomised to receive a maintenance regimen of either RINVOQ 15 mg or 30 mg once daily or placebo for 52 weeks, representing a total of at least 64 weeks of therapy. Of the re-randomised responders in the primary analysis, 377 patients had prior biologic failure. Only study outcomes for patients with prior biologic failure will be presented below.

***Clinical disease activity and symptoms***

A significantly greater proportion of patients treated with RINVOQ 15 mg and 30 mg achieved the co-primary endpoint of clinical remission per SF/APS at Week 52 compared to placebo (Figure 10, Table 23).

**Figure 10 Proportion of patients with prior biologic failure achieving clinical remission in maintenance study CD-3**



Patients receiving RINVOQ 30 mg experienced significantly greater improvement from baseline in fatigue, as measured by FACIT-F score at Week 52 compared to placebo.

**Table 23 Proportion of patients with prior biologic failure meeting primary and additional efficacy endpoints at Week 52 in maintenance study CD-3 (U-ENDURE)**

Treatment Group	PBO <sup>+</sup> N=126	UPA 15 mg N=124	UPA 30 mg N=127	Treatment Difference 15 mg vs PBO (95% CI)	Treatment Difference 30 mg vs PBO (95% CI)
<b>Co-Primary Endpoints</b>					
<b>Clinical remission<sup>a</sup></b>	9%	32%	43%	24% (14, 33) <sup>*</sup>	34% (24, 44) <sup>*</sup>
<b>Endoscopic response<sup>b</sup></b>	4%	23%	39%	19% (11, 27) <sup>*</sup>	35% (26, 44) <sup>*</sup>
<b>Additional Endpoints</b>					
<b>Clinical remission per CDAI<sup>c</sup></b>	12%	34%	45%	22% (12, 32) <sup>*</sup>	33% (23, 43) <sup>*</sup>
<b>Corticosteroid-free clinical remission<sup>a,d</sup></b>	9%	32%	41%	24% (14, 33) <sup>*</sup>	32% (22, 42) <sup>*</sup>
<b>Maintenance of clinical remission<sup>a,e</sup></b>	N=77 13%	N=77 44%	N=75 60%	31% (18, 45) <sup>*</sup>	47% (34, 60) <sup>*</sup>
<b>Endoscopic remission<sup>f</sup></b>	2%	16%	27%	14% (7, 21) <sup>*</sup>	24% (16, 33) <sup>*</sup>
<b>Deep remission<sup>a,f,g</sup></b>	2%	13%	21%	11% (4, 17) <sup>**</sup>	19% (11, 26) <sup>*</sup>

Abbreviation: PBO = placebo, UPA = upadacitinib

<sup>+</sup> The placebo group consisted of patients who achieved clinical response per SF/APS with RINVOQ 45 mg at the end of the induction study and were randomised to receive placebo at the start of maintenance therapy.

<sup>\*</sup> p ≤ 0.001, UPA vs PBO comparison, adjusted treatment difference (95% CI)

<sup>\*\*</sup> p ≤ 0.01, UPA vs PBO comparison, adjusted treatment difference (95% CI)

- <sup>a</sup> Average daily very soft or liquid SF  $\leq 2.8$  and APS  $\leq 1.0$  and neither greater than baseline
- <sup>b</sup> Decrease in SES-CD  $> 50\%$  from baseline of the induction study (or for patients with an SES-CD of 4 at baseline of the induction study, at least a 2-point reduction from baseline of the induction study)
- <sup>c</sup> CDAI  $< 150$
- <sup>d</sup> Corticosteroid-free for 90 days prior to Week 52 and achievement of clinical remission. Among the subset of patients who were on corticosteroids at induction baseline, 35% (N=46) in RINVOQ 15 mg group, 40% (N=50) in RINVOQ 30 mg group, and 2% (N=50) in placebo were corticosteroid-free for 90 days prior to Week 52 and in clinical remission.
- <sup>e</sup> Defined as achievement of clinical remission at Week 52 in patients who achieved clinical remission at the entry of the maintenance study.
- <sup>f</sup> SES-CD  $\leq 4$  and at least a 2-point reduction versus baseline and no subscore  $>1$  in any individual variable
- <sup>g</sup> Clinical remission and endoscopic remission

Patients who were not in clinical response per SF/APS to RINVOQ induction at Week 12 in CD-1 and CD-2 received RINVOQ 30 mg once daily (122 patients) for an additional 12 weeks. Patients receiving extended treatment had longer mean disease duration (12.3 years), a higher proportion of prior biologic failure (79.5%), and more frequently failed at least 3 biologic therapies (42.3%) than the overall patient population enrolled in the induction studies. In the patients with prior biologic failure (97 patients), 23% achieved clinical remission and 54% achieved clinical response at Week 24. Of the patients who responded to the extended treatment period and continued to receive maintenance treatment with RINVOQ 30 mg, 18% achieved clinical remission and 15% achieved endoscopic response at Week 52.

#### *Endoscopic assessments*

In the patients with prior biologic failure in CD-3, a significantly greater proportion of patients treated with RINVOQ 15 mg and 30 mg achieved the co-primary endpoint of endoscopic response at Week 52 compared to placebo (Table 23). A greater proportion of patients treated with RINVOQ 15 mg and 30 mg (12% and 20%, respectively) compared to placebo (2%) achieved mucosal healing (SES-CD ulcerated surface subscore of 0 in patients with SES-CD ulcerated surface subscore  $\geq 1$  at baseline) at Week 52. A greater proportion of patients treated with RINVOQ 15 mg and 30 mg (11% and 18%, respectively) compared to placebo (2%) achieved SES-CD 0-2 at Week 52. Corticosteroid-free endoscopic remission among patients on steroid at baseline was achieved in a greater proportion of patients treated with RINVOQ 15 mg and 30 mg (15% and 24%, respectively) compared to placebo (0%) at Week 52.

#### *Rescue treatment*

In CD-3, patients who demonstrated inadequate response or lost response during maintenance were eligible to receive rescue treatment with RINVOQ 30 mg. In the patients with prior biologic failure who were randomised to RINVOQ 15 mg and received rescue treatment of RINVOQ 30 mg, 83% and 88% achieved clinical response per SF/APS and 42% and 55% achieved clinical remission 12 weeks and 24 weeks after initiating rescue, respectively. Of the patients who were randomised to placebo group

and received rescue treatment of RINVOQ 30 mg, 87% and 93% achieved clinical response per SF/APS and 52% and 55% achieved clinical remission 12 weeks and 24 weeks after initiating rescue, respectively.

### *Health-related and quality of life outcomes*

In CD-1 and CD-2, patients treated with RINVOQ achieved greater improvement from baseline in IBDQ total score, all IBDQ domain scores including bowel symptoms, systemic symptoms, emotional function, and social function, at Week 12 compared to placebo. These improvements were maintained in patients treated with RINVOQ 15 mg and 30 mg through Week 52 in CD-3.

### **Pharmacokinetics**

Upadacitinib plasma exposures are proportional to dose over the therapeutic dose range. Steady-state plasma concentrations are achieved within 4 days with minimal accumulation after multiple once-daily administrations.

### *Absorption*

Following oral administration of upadacitinib extended-release formulation, upadacitinib is absorbed with a median  $T_{max}$  of 2 to 4 hours.

Coadministration of upadacitinib with a high-fat meal had no clinically relevant effect on upadacitinib exposures (increased  $AUC_{inf}$  29% and  $C_{max}$  39% to 60%). In clinical trials, RINVOQ was administered without regard to meals (see «Dosage and Administration»).

### *Distribution*

Upadacitinib is 52% bound to plasma proteins. Upadacitinib has a blood to plasma ratio of 1.0 indicating that it partitions similarly between plasma and blood cellular components.

### *Metabolism*

Upadacitinib metabolism is mediated by CYP3A4 with a potential minor contribution from CYP2D6. The pharmacologic activity of upadacitinib is attributed to the parent molecule. In a human radiolabeled study, upadacitinib accounted for 79% of the total radioactivity in plasma while the two main metabolites detected (products of monooxidation followed by glucuronidation or monooxidation followed by ring opening) accounted for 13% and 7.1% of the total plasma radioactivity, respectively. No active metabolites have been identified for upadacitinib.



### *Elimination*

Following single dose administration of [<sup>14</sup>C] upadacitinib immediate-release solution, upadacitinib was eliminated predominantly as the unchanged parent substance in urine (24%) and feces (38%). Approximately 34% of upadacitinib dose was excreted as metabolites. Upadacitinib mean terminal elimination half-life ranged from 9 to 14 hours.

### *Kinetics in specific patient groups*

#### *Hepatic impairment*

Upadacitinib AUC was 28% and 24% higher in subjects with mild (Child-Pugh A) and moderate (Child-Pugh B) hepatic impairment, respectively, compared to subjects with normal liver function. Upadacitinib C<sub>max</sub> was unchanged in subjects with mild hepatic impairment and 43% higher in subjects with moderate hepatic impairment compared to subjects with normal liver function. Upadacitinib was not studied in patients with severe hepatic impairment (Child-Pugh C, see Dosage/Administration).

#### *Renal impairment*

Upadacitinib AUC was 18%, 33%, and 44% higher in subjects with mild (estimated glomerular filtration rate 60 to 89 mL/min/1.73 m<sup>2</sup>), moderate (estimated glomerular filtration rate 30 to 59 mL/min/1.73 m<sup>2</sup>), and severe (estimated glomerular filtration rate 15 to 29 mL/min/1.73 m<sup>2</sup>) renal impairment, respectively, compared to subjects with normal renal function. Upadacitinib C<sub>max</sub> was similar in subjects with normal and impaired renal function. Upadacitinib was not studied in subjects with end stage renal impairment (estimated glomerular filtration rate <15 mL/min/1.73 m<sup>2</sup>) or in subjects undergoing renal dialysis (see Dosage/Administration).

#### *Other Intrinsic Factors*

Sex, body weight, race, age, and ethnicity did not have a clinically meaningful effect on upadacitinib exposure.

Upadacitinib pharmacokinetics are consistent across patients with rheumatoid arthritis, psoriatic arthritis, ankylosing spondylitis, atopic dermatitis, ulcerative colitis, and Crohn's disease.

### **Preclinical data**

#### *Repeated dose toxicity*

In nonclinical studies in animals, decreases in circulating lymphocytes and decreased cellularity of lymphoid tissues, as well as suppression of erythropoiesis, were observed in rats and dogs at

clinically relevant doses. Secondary effects related to opportunistic infections, such as demodicosis (mange) in dogs, were observed at exposures approximately two times the expected exposures (AUC) at the clinical dose of 15 mg, at similar exposures to the expected exposure at the clinical dose of 30 mg, and at 0.9 times the expected exposure at the clinical dose of 45 mg.

### *Genotoxicity*

Upadacitinib was not mutagenic or genotoxic based on the results of *in vitro* and *in vivo* tests for gene mutations and chromosomal aberrations.

### *Carcinogenicity*

Upadacitinib, at exposure levels approximately 4 and 10 times the clinical dose of 15 mg (on an AUC basis at oral doses in male and female rats at 15 and 20 mg/kg/day, respectively), 2 and 5 times the clinical dose of 30 mg, and 1.7 and 4 times the clinical dose of 45 mg was not carcinogenic based on a 2 year carcinogenicity study in Sprague-Dawley rats. Upadacitinib was not carcinogenic in a 26-week carcinogenicity study in CByB6F1-Tg(HRAS)2Jic transgenic mice.

### *Reproductive toxicity*

Upadacitinib is teratogenic in both rats and rabbits when given at exposures of 1.6 or 15 times the clinical dose of 15 mg, 0.8 and 7.6 times the clinical dose of 30 mg, and 0.6 and 6 times the clinical dose of 45 mg for rats and rabbits, respectively (on an AUC basis at maternal oral doses of 4 mg/kg/day or 25 mg/kg/day, respectively). Effects in rats included an increase in two particular skeletal malformations (i.e., misshapen humerus and bent scapula) and an increase in bent bones of the fore- and hind-limbs. Developmental effects in rabbits included an increase in post-implantation losses, increase in total and early resorptions, lower fetal body weights, and increased incidence of cardiac malformations. In a pre-/postnatal development study in rats, there were no maternal effects, no effects on parturition, lactation or maternal behaviour and no effects on their offspring.

Upadacitinib had no effect on fertility in male or female rats at doses up to 50 mg/kg/day in males and 75 mg/kg/day in females in a fertility and early embryonic development study. Dose related increases in foetal resorptions associated with post-implantation losses at 25 and 75 mg/kg/day in this study were attributed to the developmental/teratogenic effects of upadacitinib in rats.

Following administration of upadacitinib to lactating rats, the concentrations of upadacitinib in milk over time generally paralleled those in plasma, with approximately 30-fold higher exposure in milk relative to maternal plasma. Approximately 97% of drug-related material in milk was parent drug.

## **Other information**

### *Shelf life*

The drug product can be used only up to the expiry date identified by «EXP».

### *Special precautions for storage*

Do not store above 25 °C.

Store in the original blister to protect from moisture.

Keep out of reach of children.

## **Authorisation number**

67257 (Swissmedic)

## **Packs**

RINVOQ 15 mg: blister with 28 prolonged-release tablets (B)

RINVOQ 30 mg: blister with 28 prolonged-release tablets (B)

RINVOQ 45 mg: blister with 28 prolonged-release tablets (B)

## **Marketing authorisation holder**

AbbVie AG, 6330 Cham

## **Date of revision of the text**

April 2024